UNITED STATES COURT OF APPEALS

DISTRICT OF COLUMBIA CIRCUIT

333 Constitution Avenue, NW Washington, DC 20001-2866 Phone: 202-216-7000 | Facsimile: 202-219-8530

	AGENCY DOCKETING STATEMENT Administrative Agency Review Proceedings (To be completed by appellant/petitioner)		
1.	CASE NO. 12-1422 2. DATE DOCKETED: 10-19-2012 (amended 10-22-2012)		
3.	CASE NAME (lead parties only) National Association of Manufacturers v. U.S. Securities and Exchange Commission		
4.	TYPE OF CASE: Review Appeal Enforcement Complaint Tax Court IS THIS CASE REQUIRED BY STATUTE TO BE EXPEDITED? Yes No If YES, cite statute		
6. a.	CASE INFORMATION: Identify agency whose order is to be reviewed: U.S. Securities and Exchange Commission		
D.	Give agency docket or order number(s): Give date(s) of order(s): 08-22-2012 Release No. 34-67716; File No. S7-40-10; 77 Fed. Reg. 56,274 (Sept. 12, 2012)		
	Has a request for rehearing or reconsideration been filed at the agency? C Yes • No If so, when was it filled? By whom?		
	Has the agency acted? C Yes C No If so, when?		
e.	Identify the basis of appellant's/petitioner's claim of standing. <u>See</u> D.C. Cir. Rule 15(c)(2): See attached.		
 f. Are any other cases involving the same underlying agency order pending in this Court or any other? C Yes No If YES, identify case name(s), docket number(s), and court(s) 			
-	g. Are any other cases, to counsel's knowledge, pending before the agency, this Court, another Circuit Court, or the Supreme Court which involve <i>substantially the same issues</i> as the instant case presents?		
	✓ Yes ● No If YES, give case name(s) and number(s) of these cases and identify court/agency:		
	Have the parties attempted to resolve the issues in this case through arbitration, mediation, or any other alternative for dispute resolution? \bigcirc Yes \bigcirc No If YES, provide program name and participation dates.		
Sig	nature Pt- LL Date 11-21-2012		
	me of Counsel for Appellant/Petitioner Peter D. Keisler		
Address Sidley Austin LLP, 1501 K St., NW, Washington, D.C. 20005			
E-N	Mail pkeisler@sidley.com Phone (202) 736-8027 Fax (202) 736-8711		
ATTACH A CERTIFICATE OF SERVICE Note: If counsel for any other party believes that the information submitted is inaccurate or incomplete, counsel may so advise the Clerk within 7 calendar days by letter, with copies to all other parties, specifically referring to the challenged statement.			

USCA Form 41 August 2009 (REVISED)

IN THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

NATIONAL ASSOCIATION OF)
MANUFACTURERS, CHAMBER)
OF COMMERCE OF THE UNITED)
STATES OF AMERICA, BUSINESS)
ROUNDTABLE)
Petitioners,)) No. 12-1422
VS.)
UNITED STATES SECURITIES AND EXCHANGE COMMISSION,))
Respondent.)
)

ADDENDUM TO DOCKETING STATEMENT

Answer to question 6e: "Petitioners are three business associations, collectively representing thousands of companies in every size and sector of American business. Many of Petitioners' members are reporting companies which manufacture products; the challenged rule requires them to expend resources determining the source of certain minerals in their products and filing disclosures with the SEC. In addition, other members, while not themselves required to file reports with the SEC, will need to expend similar resources determining the same information in order to provide it to customers who are required to file such reports."

CERTIFICATE OF SERVICE

I hereby certify that I have caused true and correct copies of the foregoing Docketing Statement, Corporate Disclosure Statement, Certificate as to Parties, Rulings, and Related Cases, Statement of Issues To Be Raised, Consent Motion To Expedite, Statement of Intent To Utilize Deferred Joint Appendix, and the Securities and Exchange Commission's rule challenged in the instant action to be served via ECF this 21st day of November, 2012, on the following party by means of service on counsel:

U.S. Securities and Exchange Commission 100 F Street, NE Washington, D.C. 20549 John Wallace Avery, Senior Litigation Counsel Tracey Anne Hardin, Senior Counsel Benjamin Lawrence Schiffrin, Senior Counsel

Peter D. Keisler