

UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

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NATIONAL ASSOCIATION OF MANUFACTURERS,  
CHAMBER OF COMMERCE OF THE  
UNITED STATES OF AMERICA,  
BUSINESS ROUNDTABLE,

Petitioners

v.

No. 12-1422

SECURITIES AND EXCHANGE COMMISSION,

Respondent

AMNESTY INTERNATIONAL USA,

Intervenor

---

CERTIFICATE LISTING AND DESCRIBING  
THE RECORD BEFORE THE  
SECURITIES AND EXCHANGE COMMISSION

Pursuant to Section 25(a)(2) of the Securities Exchange Act and Rule 17(b) of the Federal Rules of Appellate Procedure, the Securities and Exchange Commission (“Commission”) certifies that the record listed below includes all information considered by the Commission in formulating its Final Rule, Conflict Minerals, Release No. 34-67716 (August 22, 2012), *published at* 77 Fed. Reg. 56,274 (September 12, 2012), with the exception of materials readily available such as books, treatises, statutes, rules, cases, orders, Commission releases, no-action letters, and certain historical materials.

Doc.

No.                      Description

Proposed Rule

1. Proposed Rule, Conflict Minerals, Rel. No. 34-63547 (December 15, 2010), *published at* 75 Fed. Reg. 80,948 (December 23, 2010).

Extension of Comment Period Release

2. Proposed Rule; Conflict Minerals, Extension of Comment Period, Rel. No. 34-63793 (January 28, 2011), *published at* 76 Fed. Reg. 6,110-02 (February 3, 2011).

Final Rule

3. Final Rule: Conflict Minerals, Release No. 34-67716, (August 22, 2012) *published at* 77 Fed. Reg. 56,274 (September 12, 2012).

Roundtable on Conflict Minerals

4. Press Release, Securities and Exchange Commission, SEC Announces Agenda and Panelists for Roundtable on Conflict Minerals (Oct. 14, 2011), available at <http://www.sec.gov/news/press/2011/2011-210.htm>.
5. Roundtable on Issues Relating to Conflict Minerals, Release No. 34-65508 (October 7, 2011), 76 Fed. Reg. 63,573-01 (October 13, 2011).
6. Transcript of Roundtable on Conflict Minerals before U.S. Securities and Exchange Commission (October 18, 2011), available at <http://www.sec.gov/spotlight/conflictminerals/conflictmineralsroundtable101811-transcript.txt>.

Comment Letters

<http://www.sec.gov/comments/s7-40-10/s74010.shtml>

7. Comments have been received from individuals and entities using the following Letter Type A: 22.

<u>Doc. No.</u>	<u>Description</u>
8.	Comments have been received from individuals and entities using the following Letter Type B: 12.
9.	Comments have been received from individuals and entities using the following Letter Type C: 12,292.
10.	Comments have been received from individuals and entities using the following Letter Type D: 13,660.
11.	Comments have been received from individuals and entities using the following Letter Type E: 3,470.
12.	Comments have been received from individuals and entities using the following Letter Type F: 84.
13.	Comments have been received from individuals and entities using the following Letter Type G: 37.
14.	Comments have been received from individuals and entities using the following Letter Type H: 9,686.
15.	Comments have been received from individuals and entities using the following Letter Type I: 52.
16.	Comments have been received from individuals and entities using the following Letter Type J: 3.
17.	Comments have been received from individuals and entities using the following Letter Type K: 41.
18.	Ann R. Shannon, Co-Founder, A Thousand Sisters Campaign, Co-Founder, Outcry for Congo Campaign, Portland, OR, December 15, 2010.

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19. Laura Matter, Reading Specialist, California State University Monterey Bay, Monterey, CA, December 15, 2010.
20. Lori G. Kechter, Surprise, AZ, December 15, 2010.
21. Griffin Teggeman, Taylor, TX, December 16, 2010.
22. Edward Lynch, December 16, 2010.
23. Advanced Medical Technology Association (AdvaMed), Aerospace Industries Association (AIA), American Association of Exporters and Importers (AAEI), American Automotive Policy Council (AAPC), Business Alliance for Customs Modernization (BACM), IPC - Association Connecting Electronics Industries Joint Industry Group (JIG), National Association of Manufacturers (NAM), National Electrical Manufacturers Association (NEMA) National Foreign Trade Council (NFTC), National Retail Federation (NRF), Retail Industry Leaders Association (RILA) Semiconductor Equipment and Materials International (SEMI), TechAmerica, USA\*ENGAGE, U.S. Chamber, December 16, 2010.
24. Joseph Cummins, Prospector of Minerals/Metal Ore, Carrollton, GA, December 20, 2010.
25. Laura Hitt, Professor of Voice & Speech, Division of Theatre & Dance, West Virginia University, Morgantown, WV, December 20, 2010.
26. Norman Coppola, Fabius, NY, December 24, 2010.
27. Spencer Adams, December 24, 2010.
28. Laura Haykel, Rochester, NY, December 28, 2010.
29. Conor B. Scott, Dublin, Ireland, December 28, 2010.
30. Susan Nesbitt, San Ysidro, CA, December 28, 2010.

<u>Doc. No.</u>	<u>Description</u>
31.	Ian Linton, President, Metal Solutions Corp., December 28, 2010.
32.	Chris Barnard, Germany, December 29, 2010.
33.	Debbie Ehlers, CA, December 31, 2010.
34.	Bruce Watzman, Senior Vice President, Regulatory Affairs, National Mining Association, January 3, 2011.
35.	Steve and Nancy Gould, New York, NY, January 5, 2011.
36.	Obiora Embry, Engineer-In-Training, Lexington, KY, January 5, 2011.
37.	Maria Nasif, January 5, 2011.
38.	Joseph S. Cox, Lake Forest, CA, January 6, 2011.
39.	Barbara Searles, January 6, 2011.
40.	Kari L. Voss-Drost, Rushmore, MN, January 6, 2011.
41.	Ian Telfer, Chairman, and Aram Shishmanian, Chief Executive Officer, World Gold Council, January 7, 2011.
42.	Brian Vosburg, Grosse Pointe Park, MI, January 7, 2011.
43.	Cecilia L. Gardner, Esq., Jewelers Vigilance Committee; Ruth Batson, American Gem Society; David W. Cochran, Manufacturing Jewelers & Suppliers of America; Matthew A. Runci, Jewelers of America; and Brent Cleaveland, Fashion Jewelry & Accessories Trade Association, January 10, 2011.
44.	Catholic Relief Services and the Commission on Natural Resources of the DRC Bishops' Conference, January 10, 2011.

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45. Michael Riess, Materials Management Corp., January 13, 2011.
46. Joseph Casper, Vice President, Safety, National Stone, Sand Gravel Association, Alexandria, VA, January 13, 2011.
47. Dr. Mohd Ajib Anuar, Chairman, The Kuala Lumpur Tin Market, January 17, 2011.
48. Robert W. Row, Palo Alto, CA, January 18, 2011.
49. Larry Manziek Ph.D., Executive Director, International Precious Metals Institute, January 19, 2011.
50. Ben Parsons, Chelsea, MA, January 21, 2011.
51. L. Amy, January 21, 2011.
52. Jake Maguire, Austin, TX, January 21, 2011.
53. Patricia Kuenzi, January 21, 2011.
54. Matthew Butcher, Norfolk, VA, January 21, 2011.
55. Paul J. Helbling, Retired Educator, January 21, 2011.
56. Joyce M. Cockerham, Troy, NY, January 21, 2011.
57. Jacob Davis, Chief Operating Officer, Give Peace A Deadline, Boulder, CO, January 21, 2011.
58. Marianne Rowden, President and CEO, American Association of Exporters and Importers, January 21, 2011.
59. Julia Koch, Lansing, MI, January 22, 2011.

<u>Doc. No.</u>	<u>Description</u>
60.	Lila E. Cornell, Cranberry Township, PA, January 22, 2011.
61.	David Owen, Mobile, AL, January 22, 2011.
62.	Carol Brunlinger, Boise, ID, January 24, 2011.
63.	Carolyn C. Hughes, Newport News, VA, January 25, 2011.
64.	Chua Cheong Yong, Malaysia Smelting Corp., January 26, 2011.
65.	Rev. Jana L. Williams, Weatherly Heights Baptist Church, Huntsville, AL, January 25, 2011.
66.	Robert D. Hormats, Under Secretary of State for Economic, Energy, and Agricultural Affairs, and Maria Otero, Under Secretary of State for Democracy and Global Affairs, January 25, 2011.
67.	Rep. Spencer Bachus, Chairman of the House Committee on Financial Services, January 25, 2011.
68.	John L. Sharp, Corporate Product Compliance Manager, TriQuint Semiconductor, Inc., Hillsboro, OR, January 26, 2011.
69.	Taiwan Semiconductor Manufacturing Company, Ltd., January 27, 2011.
70.	Don Shay, Cambridge, MA, January 27, 2011.
71.	Richard Burt, President, Tantalum-Niobium International Study Center, January 27, 2011.
72.	Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd., January 27, 2011.
73.	Howland Greene Consultants LLC, January 28, 2011.

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74. Sterling E. Miller, PA, January 29, 2011.
75. Tena Scruggs, January 30, 2011.
76. John Crawley, CEO, Niotan, Inc., Mound House, NV, January 30, 2011.
77. Srinivasan Venkatakrishnan, CFO, AngloGold Ashanti Limited, Johannesburg, South Africa, January 31, 2011.
78. Ian Ehrlich, January 31, 2011.
79. Eddy Mbona, Project Officer, Secretariat of the International Conference of the Great Lakes Region, Bujumbura, Burundi, January 31, 2011.
80. Jeffrey Trott, Pflugerville, TX, January 31, 2011.
81. Stephen L. Kass, Chair, International Human Rights Committee, New York City Bar Association, January 31, 2011.
82. Dennis P. Meyer, American Tin Trade Association, Granite City, February 1, 2011.
83. Ashbel C. Williams, Executive Director & CIO, State Board of Administration of Florida, February 3, 2011.
84. Christopher J. Lee, Member of Congress, February 3, 2011.
85. Howard J. Hubbard, Bishop of Albany, Chairman, Committee on International Justice and Peace and Ken Hackett, President, Catholic Relief Services, February 8, 2011.
86. Brian Christie, Managing Director, AxaTrade, February 10, 2011.



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87. John Broxham, Commercial Director, and Andre De Kandelaer, Member of the Board, Jean Goldschmidt International SA, February 14, 2011.
88. Karen Savala, President, Semiconductor Equipment and Materials International (SEMI) Americas, February 15, 2011.
89. Reid Rector, February 21, 2011.
90. Patrick B. Dorsey, Senior Vice President, Secretary and General Counsel, Tiffany & Co., February 22, 2011.
91. Rick Goss, Vice President for Environment and Sustainability, Information Technology Industry Council, February 24, 2011.
92. Lewis Citrenbaum & Joseph Kelly, February 24, 2011.
93. Michael Rae, Chief Executive Officer, Responsible Jewellery Council, February 25, 2011.
94. Kerry J. DeKeyser, Director Environmental, Health & Safety, Plexus, February 25, 2011.
95. Larry Drummond, General Manager, Metalor Technologies USA, February 25, 2011.
96. Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd., February 25, 2011.
97. John N. Kanyoni, Federation des Entreprises du Congo, February 25, 2011.
98. Ami R. Mpungwe, Chairman, Tanzanian Chamber of Minerals and Energy, February 25, 2011.
99. Christina Miller, Director, and Susan Kingsley, Senior Adviser, Ethical Metalsmiths, February 28, 2011.

<u>Doc. No.</u>	<u>Description</u>
100.	Jennifer Mazin, Senior Counsel, Barrick Gold Corp., Toronto, Ontario, Canada, February 28, 2011.
101.	David Hirschmann, President and CEO, Center for Capital Markets Competitiveness, and Myron Brilliant, Senior Vice President, International Affairs, U.S. Chamber of Commerce, February 28, 2011.
102.	Sen. Richard Durbin and Congressman Jim McDermott, United States Congress, Washington, DC, February 28, 2011.
103.	James R. Dale, Administrative Director, Refractory Metals Association, February 28, 2011.
104.	Corinna Gilfillan, Head of U.S. Office, Global Witness, Washington, DC, February 28, 2011.
105.	Aram Shishmanian, Chief Executive Officer, World Gold Council, February 28, 2011.
106.	Advanced Medical Technology Association, February 28, 2011.
107.	Brilliant Earth, February 28, 2011.
108.	Nai Kasauh Mon, Director of the Human Rights Foundation of Monland (HURFOM), Thailand, February 28, 2011.
109.	Daniel G. Hacker, President, Hacker Jewelers, Designers & Goldsmiths, Inc., Tecumseh, MI, March 1, 2011.
110.	Anna Bario, Bario-Neal Jewelry, March 1, 2011.
111.	Stewart Grice, Mill Products Director, Hoover & Strong Inc., March 1, 2011.

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112. Lawrence Heim, CPEA, The Elm Consulting Group International LLC, Georgia, March 1, 2011.
113. Jabu Maphalala, Secretariat, Mining Industry Associations of Southern Africa, March 1, 2011.
114. Marc Choyt, Director, Fair Jewelry Action USA, and Greg Valerio, Fair Jewelry Action, United Kingdom, March 1, 2011.
115. Jim Steitz, March 1, 2011.
116. Farha-Joyce Haboucha, Managing Director and Director of Socially Responsive Investments, Rockefeller Financial Asset Management, March 1, 2011.
117. Michael F. Altschul, Senior Vice President and General Counsel, CTIA-The Wireless Association, March 1, 2011.
118. Gretchen Peck, Renewal, Minneapolis, MN, March 1, 2011.
119. Thomas Myers, Associate General Counsel, Personal Care Products Council, March 1, 2011.
120. James McAliney and Antonis Papadourakis, Arkema, Inc., March 1, 2011.
121. Andreas Lackner, President, CEO, Global Tungsten & Powders Corp., March 1, 2011.
122. Howard Dicker, Chair, Securities Regulation Committee, Business Law Section, New York State Bar Association, March 1, 2011.
123. Larry Cox, Amnesty International; Lisa Shannon, A Thousand Sisters; John Bradshaw, Enough Project; Karen Stauss, Free the Slaves; Corinna Gilfillan, Global Witness; Arvind Ganesan, Human Rights Watch; Tzivia Schwartz Getzug, Jewish World Watch; Morton Halperin, Open Society

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- Policy Center; Rabbi David Saperstein, Religious Action Center of Reform Judaism; Kent Hill, World Vision, March 1, 2011.
124. Susan S. Coffey, Senior Vice President, Member Quality and International Affairs, American Institute of Certified Public Accountants, March 1, 2011.
125. Keith C. Hennessee, Vice President, Chief Compliance Officer, National Oilwell Varco, March 1, 2011.
126. Coalition to Abolish Slavery and Trafficking, Free the Slaves, International Justice Mission, Not for Sale Campaign, Polaris Project, March 1, 2011.
127. Axel Mutia, North Kivu Artisanal Mining Cooperatives Representative, Goma, Congo, The Democratic Republic of The Congo, March 1, 2011.
128. Erik O. Autor, Vice President, Int'l Trade Counsel, National Retail Federation, March 2, 2011.
129. Toby G. Pomeroy, Board Member for ARM, the Alliance for Responsible Mining, Corvallis, OR, March 2, 2011.
130. Patricia Aubel, Livermore, CA, March 2, 2011.
131. KPMG LLP, March 2, 2011.
132. Mark Viso, President and CEO, Pact, March 2, 2011.
133. Advanced Medical Technology Association, American Apparel & Footwear Association, American Association of Exporters and Importers, Consumer Electronics Association, Consumer Electronics Retailers Coalition, Emergency Committee for American Trade, IPC-Association Connecting Electronics Industries, Joint Industry Group, National Association of Manufacturers, National Foreign Trade Council, National Retail Federation, Retail Industry Leaders Association, TechAmerica, USA Engage, March 2, 2011.

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134. Stewart Murray, Chief Executive, London Bullion Market Association, London, United Kingdom, March 2, 2011.
135. National Mining Association, March 2, 2011.
136. Alan Stockmeister, CEOI Managing Director, Ohio Precious Metals, LLC, March 2, 2011.
137. American Apparel & Footwear Association, March 2, 2011.
138. Michael Petricone, Senior Vice President, Government Affairs, Consumer Electronics Association, March 2, 2011.
139. Columban Center for Advocacy and Outreach, Leadership Conference of Women Religious, Sisters of Mercy of the Americas – Institute Justice Team, Missionary Oblates, Justice, Peace and Integrity of Creation Office (JPIC), Maryknoll Office for Global Concerns, March 2, 2011.
140. Communications and Information network Association of Japan, Japan Auto Parts Industries Association, Japan Business Machine and Information System Industries Association, Japan Electronics and Information Technology Industries Association, The Japan Electrical Manufacturers' Association, Japan Machinery Center for Trade and Investment, March 2, 2011.
141. United States Telecom Association, March 2, 2011.
142. Brian C. Toohey, President, Semiconductor Industry Association, March 2, 2011.
143. Ernst & Young LLP, March 2, 2011.
144. Deloitte & Touche LLP, March 2, 2011.

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145. John Engler, Business Roundtable, Washington, DC, March 2, 2011.
146. Jon Feigelson, Esq., SVP, General Counsel and Head of Corporate Governance, TIAA-CREF, New York, NY, March 2, 2011.
147. Grant Thornton LLP, March 2, 2011.
148. Robert Walker, Vice President, ESG Services, NEI Investments, Vancouver, Canada, March 2, 2011.
149. David A. Hartquist, President & General Counsel, Copper & Brass Fabricators Council, Inc., March 2, 2011.
150. Fern Abrams, IPC - Association Connecting Electronics Industries, Arlington, VA, March 2, 2011.
151. David A. Hartquist, President & General Counsel, Copper & Brass Fabricators Council, Inc., March 2, 2011.
152. Barbara Kyle, National Coordinator, Electronics TakeBack Coalition, San Francisco, CA, March 2, 2011.
153. Payal Sampat and Scott Cardiff, International Program Directors, EARTHWORKS' No Dirty Gold Campaign, March 2, 2011.
154. The Enough Project, Washington, DC, March 2, 2011.
155. Moore & Van Allen PLLC, March 2, 2011.
156. Nicole M. McDaniel, March 2, 2011.
157. Kara N. Seliga, March 2, 2011.
158. Davis Polk & Wardwell LLP, March 2, 2011.

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159. Emilie Kapp, CA, March 2,2011.
160. Cecilia L. Gardner, Jewelers Vigilance Committee; Ruth Batson, American Gem Society; David W. Cochran, Manufacturing Jewelers & Suppliers of America; Matthew A. Runci, Jewelers of America; Brent Cleveland, Fashion Jewelry and Accessories Trade Association, March 2, 2011.
161. David A. Baker, Vice President and Chief Sustainability Officer, Newmont Mining Corp., Greenwood Village, CO, March 2, 2011.
162. Lizzy Gates, Pleasanton, CA, March 2, 2011.
163. Tim S. McLaughlin, March 2, 2011.
164. Keyna P. Skeffington, Vice President and Deputy General Counsel, Medtronic, Inc., March 2, 2011.
165. Sarah Bronzini, March 2, 2011.
166. Katrina Paraskevopoulos, March 2, 2011.
167. Kiley Johnson, Pleasanton, CA, March 2, 2011.
168. Patricia Jurewicz, Responsible Sourcing Network, on behalf of the 24 organizations of the Multi-Stakeholder Group, March 2, 2011.
169. Geneva Desin, Pleasanton, CA, March 2, 2011.
170. Erin Schoendienst, March 2, 2011.
171. Cleary Gottlieb Steen & Hamilton LLP, March 2, 2011.
172. Taran Kaur, CA, March 2, 2011.
173. Audreann Giovanetti, March 2, 2011.

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174. Monique Oxender, Manager, Supply Chain Sustainability, and Raphael Z. Richmond, Counsel, SEC and Capital Markets, Ford Motor Co., March 2, 2011.
175. Aditi Mohapatra, Calvert Asset Management Company, Inc. on behalf of 49 investors, Bethesda, MD, March 2, 2011.
176. Adam Marx, March 2, 2011.
177. John Berlau, Competitive Enterprise Institute, March 2, 2011.
178. Kelly M. Morgan, March 2, 2011.
179. Stephen Jacobs, Senior Director, International Business Policy, National Association of Manufacturers, March 2, 2011.
180. Stephanie Lester, Vice President, International Trade Retail Industry Leaders Association, and Gregory L. Rohde, Executive Director, Consumer Electronics Retailers Coalition, March 2, 2011.
181. Paul E. Gutermann, Akin Gump Strauss Hauer & Feld LLP, March 2, 2011.
182. John Sharp, TriQuint Semiconductor, Inc., Hillsboro, OR, March 2, 2011.
183. Andrew Matheson, March 2, 2011.
184. Teresa Fogelberg, Deputy Chief Executive, Global Reporting Initiative, Amsterdam, Netherlands, March 3, 2011.
185. Jenna B., California, March 3, 2011.
186. Kelsey E. Williams, Student, Pleasanton, CA, March 3, 2011.
187. Dipti Rai, March 3, 2011.



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188. Megha Lambah, Pleasanton, CA, March 3, 2011.
189. Alec Elgood, CA, March 3, 2011.
190. Sarah Lee, Pleasanton, CA, March 3, 2011.
191. Fabio Eduardo de Pieri Spina, General Counsel and Global Corporate Affairs Director, Vale S.A., March 3, 2011.
192. Eddy Zhang, Pleasanton, CA, March 3, 2011.
193. Neila B. Radin, Society of Corporate Secretaries and Governance Professionals, New York, NY, March 3, 2011.
194. Benjamin Dach, March 3, 2011.
195. Alex Shih, Pleasanton, CA, March 4, 2011.
196. Robert M. Stanton, United States Steel Corp., Pittsburgh, PA, March 4, 2011.
197. David H. Zellner, Chief Investment Officer, and Vidette Bullock Mixon, Director, Corporate Relations, General Board of Pension and Health Benefits of The United Methodist Church, Glenview, IL, March 2, 2011.
198. Justine Masika Bihamba, Coordinator, SYNERGIE, A Platform of 35 Women's Rights Groups Standing up for Victims of Sexual, Violence, March 7, 2011.
199. Prince Kihangi Kyamwami, General Secretary of Bedewa/Walikale, March 8, 2011.
200. Theodore Kingsley, General Attorney, AT&T Inc., March 9, 2011.

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201.	Didier de Failly s.j, Directeur du Bureau d'Etudes Scientifiques et Techniques (B.E.S.T), Bukavu, Sud-Kivu, D.R. Congo, March 10, 2011.
202.	John Crawley, CEO, Niotan Inc., Mound House, NV, March 21, 2011.
203.	Under Secretary Robert Hormats and Under Secretary Maria Otero, United States Department of State, Washington, DC, March 24, 2011.
204.	Doug Pearce, Chief Executive Officer and Chief Investment Officer, BC Investment Management Corp., March 28, 2011.
205.	Daniel J. Popeo, Cory L. Andrews, Washington Legal Foundation, March 30, 2011.
206.	John C. Bradshaw, Executive Director, Enough Project, March 31, 2011.
207.	William J. Williams, Jr., April 4, 2011.
208.	Bishop Nicolas Djomo Lola, President, Catholic Bishops' Conference of the Democratic Republic of the Congo, April 5, 2011.
209.	Josué Mukulumanya, President, and Emmanuel Byomunjira, Générale des Coopératives Minières du Sud Kivu (GECOMISKI), République démocratique du Congo, April 8, 2011.
210.	Sr. Gayle Lwanga, National Coordinator, National Advocacy Center, Sisters of the Good Shepherd, April 8, 2011.
211.	Jerome Ernst, Takoma Park, MD, April 11, 2011.
212.	Cullen C. Larson, Cumming, GA, April 13, 2011.
213.	William A. Vos, Diocesan Director, Catholic Relief Services, St. Cloud, MN, April 14, 2011.

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214. Madeline Fox, River Ridge, LA, April 15, 2011.
215. Elizabeth Krupka, Allentown, PA, April 19, 2011.
216. Greg Wolfe, Sacramento, CA, April 20, 2011.
217. Pat Hayes, CRS Advocacy Group, Radnor, PA, April 20, 2011.
218. Robert D. Gorman, Executive Director, Catholic Charities Diocese of Houma-Thibodaux, Houma, LA, April 21, 2011.
219. Anthony Pohlen, South Bend, IN, April 22, 2011.
220. Matthew R. Palkert, Higher Education staff, Oak Park, IL, April 25, 2011.
221. Jacek Orzechowski, Franciscan Friars of the Holy Name Province, Silver Spring, MD, April 25, 2011.
222. Kyle Atcheson, April 25, 2011.
223. Martin J. Bauwens, Lawyer, Johannesburg, South Africa, April 28, 2011.
224. Nancy Sestak, Volunteer, Immacualte Heart of Mary Social Justice Committee, Atlanta, GA, April 28, 2011.
225. Hugh V. Larkin, Mundelein, IL, May, 2, 2011.
226. Dan J. Esposito, Manhattan Beach, CA, May 4, 2011.
227. Thomas V. Connor, Wallkill, NY, May 4, 2011.
228. Patrick McCormick, Student Body President, University of Notre Dame, May 4, 2011.
229. Dorothea E. DiGiovanni, Ardmore, PA, May 5, 2011.

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230.	Andrew Martin, Rockville, MD, May 6, 2011.
231.	Martin J. Bauwens, Managing Director, MJB Consulting, Johannesburg, South Africa, May 9, 2011.
232.	Maryann C. Love, PhD, Associate Professor of International Relations, The Catholic University of America, Washington, DC, May 9, 2011.
233.	Ir. Moise Bucumi, Minister of Energy and Mines, Republic of Burundi, May 12, 2011.
234.	John S. Taylor, Chicago, IL, May 13, 2011.
235.	William M. Ngeleja, Minister of Energy and Minerals, United Republic of Tanzania, May 23, 2011.
236.	William M. Ngeleja, Minister of Energy and Minerals, United Republic of Tanzania, May 23, 2011.
237.	Richard Russo, Applied Spectra Inc., May 27, 2011.
238.	Martin J. Bauwens, Managing Director, MJB Consulting, May 30, 2011.
239.	Thomas W. White, WilmerHale, on behalf of IPC—Association Connecting Electronics Industries, June 2, 2011.
240.	Francis Cardinal George, O.M.I., Archbishop of Chicago, June 10, 2011.
241.	Francisca E. Oboh-Ikuenobe, PhD, Rolla, MO, June 15, 2011.
242.	Kirtland C. Griffin, Wallingford, CT, June 16, 2011.
243.	Aram Shishmanian, Chief Executive Officer, World Gold Council, June 20, 2011.

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244. Neila B. Radin, Chair, Securities Law Committee, Society of Corporate Secretaries & Governance Professionals, New York, NY, June 21, 2011.
245. Randal S. Milch, Executive VP & General Counsel, Verizon Communications, June 24, 2011.
246. Responsible Jewellery Council, June 24, 2011.
247. Jeffrey W. Rubin, Chair, Federal Regulation of Securities Committee, Business Law Section, American Bar Association, New York, NY, June 30, 2011.
248. Adam Taylor, Vice President for Advocacy, World Vision US, July 8, 2011.
249. Martin Kabwelulu, Ministre des Mines, République Démocratique du Congo, July 15, 2011.
250. Tom Quaadman, Vice President, U.S. Chamber of Commerce, July 18, 2011.
251. Franklin J. Vargo, National Association of Manufacturers, July 26, 2011.
252. Martin J. Bauwens, Managing Director, MJB Consulting, July 27, 2011.
253. John van Put, Senior Vice President, H.C. Starck GmbH, Munich, Germany, July 27, 2011.
254. Spencer Bachus, Chairman, House Committee on Financial Services; Gary G. Miller, Chairman, Subcommittee on International Monetary Policy and Trade; Robert J. Dold, Vice Chairman, Subcommittee on International Monetary Policy and Trade; and Steve Stivers, Member, House Committee on Financial Services, July 28, 2011.

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255. Amol Mehra, International Corporate Accountability Roundtable; Corinna Gilfillan, Global Witness; Seema Joshi, Amnesty International; Paul Donowitz, EarthRights International; Arvind Ganesan, Human Rights Watch; John Bradshaw, Enough Project; Bennett Freeman, Calvert Investments; Karen Stauss, Free the Slaves; Fred Kramer, Jewish World Watch; Rachelle Lyndaker Schlabach, Mennonite Central Committee; James E. Winkler, The United Methodist Church; Adam Russell Taylor, World Vision; and Lisa Shannon, A Thousand Sisters, July 29, 2011.
256. H.E. Ambassador Liberata Mulamula, International Conference on the Great Lakes Region (ICGLR); Angel Gurría, Secretary-General, Organisation for Economic Co-operation and Development; and Fred Robarts, Coordinator, UN Group of Experts on the DRC extended pursuant to resolution 1952 (2010), July 29, 2011.
257. Letter from participants in the multi-stakeholder forum for conflict-free mineral supply chains convened by the ICGLR, OECD and UN Group of Experts on the DRC, July 29, 2011.
258. Justine Masika Bihamba, Coordinator, North Kivu Women's Synergy for Sexual Violence Victims; Fidel Bafilemba, Board Members President, SOS Africa; Lwayer Gautier Misonia, Coordinator, Research Center on Environment, Democracy and Human Rights; Janvier Murairi, President, Small Farmers' Development Initiatives, August 1, 2011.
259. Aram Shishmanian, Chief Executive Officer, World Gold Council, August 1, 2011.
260. Diana Hollis, August 3, 2011.
261. Stewart Murray, Chief Executive, London Bullion Market Association, London, United Kingdom, August 5, 2011.
262. The Enough Project, August 10, 2011.

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263. John Berlau, Director, Center for Investors and Entrepreneurs, Alex Nowrasteh, Policy Analyst, and Luke Pelican, Policy Fellow, Competitive Enterprise Institute, August 22, 2011.
264. Advanced Micro Devices, Inc., Africa Faith and Justice Network, Boston Common Asset Management, LLC, Calvert Asset Management Co., Inc., Congo Global Action, Enough Project, Falling Whistles, Free the Slaves, Future 500, General Electric Company, Global Witness, Hewlett-Packard Company, Interfaith Center on Corporate Responsibility, Jantzi-Sustainalytics, Jesuit Conference, Jewish World Watch, Mercy Investment Services, Inc., Microsoft Corp., Royal Philips Electronics, Trillium Asset Management, Unity Minerals, US SIF: The Forum for Sustainable and Responsible Investment, August 22, 2011.
265. Amol Mehra, Coordinator, International Corporate Accountability Roundtable, Washington, DC, August 24, 2011.
266. Peter Rosenblum, Clinical Professor, Columbia Law School, New York, NY, September 7, 2011.
267. Corinna Gilfillan, Head of U.S. Office for Global Witness, Washington, DC, September 7, 2011.
268. Gary P. Bradley, Via Affirmativa (international art network), Raleigh, NC, September 19, 2011.
269. Melanie Matthews, West Chester, PA, September 19, 2011.
270. Clark Grey Howell, Founder, ktpHowell, Davidson, NC, September 20, 2011.
271. Amol Mehra, Coordinator, ICAR; John Bradshaw, Executive Director, Enough Project; and Corinna Gilfillan, Head of U.S. Office, Global Witness, September, 23, 2011.

<u>Doc. No.</u>	<u>Description</u>
272.	Reps. Howard L. Berman, Donald M. Payne, Jim McDermott, Karen Bass and Barney Frank; United States Congress, September 23, 2011.
273.	Natalie P. Wagner Willis, Owner/Founder of VitalFinancialsLLC, Denver, CO, September 29, 2011.
274.	Natalie P. Wagner Willis, Owner/Founder of VitalFinancialsLLC, Denver, CO, September 30, 2011.
275.	Walter Grail, RRT, Grand Junction, CO, October 1, 2011.
276.	Charles Blakeman, Business Advisory, Denver, CO, October 9, 2011.
277.	Martin J. Bauwens, LLB, MJB Consulting, October 9, 2011.
278.	Me Olivier Bahemuke Ndoole, Coordinator, Action Sociale pour le Paix et le Developpement (ASPD), Goma, The Democratic Republic of The Congo, October 10, 2011.
279.	Melvin Ayogu, Ph.D., Fellow, and Zenia A. Lewis, Research Assistant, Africa Growth Initiative, Brookings Institution, Washington, DC, October 11, 2011.
280.	Martin J. Bauwens, LLB, MJB Consulting, October 12, 2011.
281.	Congressman Jim McDermott, Member of Congress, Washington, DC, October 12, 2011.
282.	Assheton L. Stewart Carter, Ph.D., Senior Vice President, Global Engagement, Pact Inc., Washington, DC, October 13, 2011.
283.	Andreas Lackner, President & CEO, Global Tungsten & Powders Corp., October 13, 2011.
284.	Linzey G. Toole, Jr., Smithfield, NC, October 14, 2011.



<u>Doc. No.</u>	<u>Description</u>
285.	Prince Kihangi Kyamwami, Secretary General, Rep. of BEDEWA, Goma, The Democratic Republic of The Congo, October 15, 2011.
286.	Martin Kabwelulu, RDC Minister of Mines, October 15, 2011.
287.	Mark B. Taylor, Senior Researcher, Fafo Institute for Applied International Studies, Oslo, Norway, October 17, 2011.
288.	Emilio G. Collado, III, Executive Director, American Watch Association, Washington, DC, October 17, 2011.
289.	Mulumba K. Serge, President, CDMC Mining Cooperative in DRC/Katanga, Lubumbashi, The Democratic Republic of The Congo, October 17, 2011.
290.	Patricia Jurewicz, Director, Responsible Sourcing Network, a project of As You Sow, October 18, 2011.
291.	Margot Wallstrm, United Nations Special Rep. of the Secretary-General on Sexual Violence in Conflict, New York, NY, October 18, 2011.
292.	Brian Lee, VP Purchasing, Racine, WI, October 18, 2011.
293.	Bennett Freeman, Senior Vice President, Sustainability Research and Policy, Calvert Investments, Bethesda, MD, October 18, 2011.
294.	Barbara Boxer, John Boozman, Chrstopher A. Coons, Patrck J. Leahy, Frank R. Lautenberg, Jeff Merkley, Barbara A. Mikulski, Robert P. Casey, Jr., Sherrod Brown, Sheldon Whitehouse, Ron Wyden, and Mark Begich, Members of Congress, October 18, 2011.
295.	Benedict S. Cohen, Chief Counsel for Government Operations, The Boeing Company, October 18, 2011.

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296. Kay Nimmo, ITRI, October 19, 2011.
297. Karen Stauss, Free the Slaves, Washington, DC, October 19, 2011.
298. Mike Riess, Materials Management Corp., October 20, 2011.
299. Randi Schroeder, October 21, 2011.
300. Fred Robarts, Coordinator, Group of Experts on the DRC, October 21, 2011.
301. Janvier Murairi, President, and Saidi Kubuya, Secretary-General, ASSODIP ASBL (Association Pour Le Developpement Des Initiatives Paysannes), Goma, The Democratic Republic of The Congo, October 21, 2011.
302. James Chizungu Rudahindwa, Vice Chairman, SOS Africa Head Office Eastern DR Congo, Goma, Democratic Republic of The Congo, October 24, 2011.
303. Curtis Shank, Columbia, MO, October 25, 2011.
304. Chua Cheong Yong, Group Chief Operating Officer, Smelting, Malaysia Smelting Corp. Berhad, October 25, 2011.
305. Winslow Sargeant, Ph.D., Chief Counsel for Advocacy, and Dillon Taylor, Assistant Chief Counsel for Advocacy, SBA Office of Advocacy, October 25, 2011.
306. Payson Center Tulane University, New Orleans, LA, October 25, 2011.
307. Colonel Christian Ngaboyeka Bahati, Directeur charg des ressources naturelles de la 10 region militaire, Bukavu, The Democratic Republic of The Congo, October 26, 2011.

<u>Doc. No.</u>	<u>Description</u>
308.	Anna Stout, MPH candidate, Harvard School of Public Health and Advocate at Congo Action Now, Boston, MA, October 26, 2011.
309.	Andrew Matheson, Boston Silicon Materials LLC, October 26, 2011.
310.	Student, Clark University, October 27, 2011.
311.	Gautier Muhindo Misonia, Coordinator, and Isaac Mumbere Wikerevollo, Head of the Right to Peace and Natural Resources Program, CREDDHO, Goma, The Democratic Republic of The Congo, October 28, 2011.
312.	Jim Patrick, Senior Vice President, Canadian Wireless Telecommunications Association, October 28, 2011.
313.	Claigan Environmental Inc., Ottawa, Canada, October 28, 2011.
314.	John Nsana Kanyoni, Vice President of the Mining Chamber, Fédération des Entreprises du Congo (FEC), October 28, 2011.
315.	Aram Shishmanian, Chief Executive Officer, World Gold Council; Stuart Murray, Chief Executive Officer, London Bullion Markets Association; and Michael Rae, Chief Executive Officer, Responsible Jewellery Council, October 28, 2011.
316.	David Schatsky, Principal Analyst / Founder, Green Research, New York, NY, October 29, 2011.
317.	Michael C. Scott-Joynt, Recently (May 31st 2011) retired Anglican Bishop of Winchester, Church of England, Chichester, United Kingdom, October 30, 2011.
318.	Neal M. Goldberg, Vice President and General Counsel, National Cable & Telecommunications Association, October 31, 2011.
319.	Stephanie Stenner, Santa Cruz, CA, October 31, 2011.

<u>Doc. No.</u>	<u>Description</u>
320.	Zoe Stricker, Santa Cruz, CA, October 31, 2011.
321.	Michel Lalonde, Senior Vice-President - General Counsel, BCE Inc., Montreal, Canada, October 31, 2011.
322.	Neil Messick, Vice President, Government Relations, ArcelorMittal, Washington, DC, October 31, 2011.
323.	Douglas Hileman, CPEA, Douglas Hileman Consulting LLC, Van Nuys, CA, October 31, 2011.
324.	James W. Sprowl, CPEA, Chairman, Board of Environmental, Health & Safety Auditor Certifications, October 31, 2011.
325.	Harbir K. Mahal, San Bruno, CA, October 31, 2011.
326.	Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd., October 31, 2011.
327.	Andrew P. Broadbent, President, The Auditing Roundtable, Inc., October 31, 2011.
328.	Frederic Hensen, November 1, 2011.
329.	Stephen Jacobs, Senior Director, International Business Policy, National Association of Manufacturers, Washington, DC, November 1, 2011.
330.	Fern Abrams, Director of Government Relations and Environmental Policy, November 1, 2011.
331.	Erik O. Autor, Vice President, Int'l Trade Counsel, National Retail Federation, November 1, 2011.

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332. Theodore L. Knudson, CIH, Director, Product Stewardship, Materion Corp., November 1, 2011.
333. Robert S. Schwartz, Constantine Cannon LLP, counsel to Consumer Electronics Retailers Coalition, Washington, DC, November 1, 2011.
334. Cecilia L. Gardner, Esq., President, CEO and General Counsel, Jewelers Vigilance Committee; Ruth Batson, Exec. Dir & CEO, American Gem Society; David W. Cochran, President & CEO, Manufacturing Jewelers & Suppliers of America; Matthew A. Runci, President, Jewelers of America; and Brent Cleaveland, Executive Director, Fashion Jewelry & Accessories Trade Association, November 1, 2011.
335. Andrew Van Haute, Associate General Counsel, AdvaMed, November 1, 2011.
336. Amol Mehra, Coordinator, International Corporate Accountability Roundtable and Corinna Gilfillan, Head of U.S. Office, Global Witness, November 1, 2011.
337. Dan Varroney, Acting President and CEO, TechAmerica, November 1, 2011.
338. The National Mining Association, November 1, 2011.
339. Vava Tampa, Executive Director, Save the Congo, November 1, 2011.
340. R. James Assaf, Vice President, General Counsel & Secretary, KEMET Corp., November 1, 2011.
341. Mike Davis, Conflict Resources Team Leader, Global Witness, Washington, DC, November 1, 2011.
342. Katelyn Dadamo, November 1, 2011.

<u>Doc. No.</u>	<u>Description</u>
343.	Jim Neill, Vice President, Product Safety, Retail Industry Leaders Association, November 1, 2011.
344.	Larry Manziek PhD, Executive Director, International Precious Metals Institute, November 1, 2011.
345.	Michael Barnes, Chief Executive Officer, Signet Jewelers Ltd., November 1, 2011.
346.	Darren Fenwick, The Enough Project, Washington, DC, November 2, 2011.
347.	Christina Studt, November 4, 2011.
348.	Martin Kabwelulu, Ministre des Mines, République Démocratique du Congo, November 8, 2011.
349.	Nicolas Djomo Lola, Bishop of Tshumbe, President, Episcopal Conference of Catholic Bishops of the Democratic Republic of Congo (CENCO), Kinshasa, The Democratic Republic of The Congo, November 8, 2011.
350.	Kyra M. Mumbauer, Director, Industry Affairs, Food Drug, and Cosmetic Packaging and Consumer Issues, Society of the Plastics Industry, Inc., Washington, DC, November 9, 2011.
351.	Radjabu Mutabazi, Export/Sales Manager, REMEX Minerals Ltd., Burundi, November 9, 2011.
352.	Patricia Jurewicz, Coordinator of the Multi-Stakeholder Group (MSG) comprised of 29 issuers, non-governmental organizations and investors. Director of Responsible Sourcing Network (RSN), a project of As You Sow, San Francisco, CA, November 10, 2011.
353.	Judith Sargentini, Member of the European Parliament, Brussels, November 17, 2011.

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354. Susan S. Coffey, CPA, Senior Vice President, Member Quality and International Affairs, American Institute of Certified Public Accountants, November 17, 2011.
355. Charles Blakeman, Denver, CO, November 18, 2011.
356. Olympia J. Snowe, Ranking Member, U.S. Senate Committee on Small Business; Sam Graves, Chair, U.S. House Committee on Small Business; Scott P. Brown, Member, U.S. Senate Committee on Small Business and Entrepreneurship; Roscoe Barlett, Member, U.S. House Committee on Small Business; Scott Tipton, Member, U.S. House Committee on Small Business; and Joe Walsh, Member, U.S. House Committee on Small Business, November 17, 2011.
357. Gary Niekerk, Bob Leet, and Andrea Fava, Intel Corp., November 22, 2011.
358. Tony M. Edwards, Executive Vice President & General Counsel, National Association of Real Estate Investment Trusts, November 23, 2011.
359. David Hirschmann, President and CEO, Center for Capital Markets Competitiveness, and Myron Brilliant, Senior Vice President, International Affairs; U.S. Chamber of Commerce, November 29, 2011.
360. A.R. Trey Hodgkins, III, Senior Vice President, National Security and Procurement Policy, TechAmerica; Alan Chvotkin, Executive Vice President & Counsel, Professional Services Council; Peter Steffes, Vice President, Government Policy, National Defense Industrial Association; Richard L. Corrigan, Policy Committee Rep., American Council of Engineering Companies; Susan Tonner, Assistant Vice President, Acquisition Policy, Aerospace Industries Association; R. Bruce Josten, Executive Vice President, Government Affairs, U.S. Chamber of Commerce, November 28, 2011.
361. Claigan Environmental Inc., December 1, 2011.

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362. Aram Shishmanian, Chief Executive Officer, World Gold Council; Stewart Murray, Chief Executive, London Bullion Market Association; Michael Rae, Chief Executive Officer, Responsible Jewellery Council, December 9, 2011.
363. Renee L. Ellmers, Member of Congress, December 13, 2011.
364. Claigan Environmental, December 16, 2011.
365. Jonathan M. Hughes, Director, Assent Compliance, Ottawa, Canada, December 19, 2011.
366. Delly Mawazo Sesete, Geneva, Switzerland, December 19, 2011.
367. Corinna Gilfillan, Head of U.S. Office, Global Witness, Washington, DC, December 20, 2011.
368. Mark E. Amodei, Member of Congress, December 20, 2011.
369. Amol Mehra, Esq., Coordinator, International Corporate Accountability Roundtable, Washington, DC, December 21, 2011.
370. Amol Mehra, Esq., International Corporate Accountability Roundtable; John Bradshaw, Enough Project; Simon Taylor, Global Witness; Arvind Ganesan, Human Rights Watch; Patricia Feeney, Rights and Accountability in Development; Daniel Solomon, STAND: The Student-led Division of United to End Genocide; Susan Farnsworth, Global Rights; Natalie Bridgeman Fields, Accountability Counsel; Fred Kramer, Jewish World Watch; and Jonathan Kaufman, EarthRights International, December 22, 2011.
371. Mora P. Johnson, Government of Canada, Foreign Affairs and International Trade, Ottawa, Canada, December 23, 2011.
372. Rep. Steve Womack, Member of Congress, December 23, 2011.



<u>Doc. No.</u>	<u>Description</u>
373.	Eric Kajemba, Observatoire Gouvernance et Paix, and Pere Didier de Failly, Bureau d'Etudes Scientifiques et Techniques, December 26, 2011.
374.	Tim Murphy, Member of Congress, December 29, 2011.
375.	Michael Beggs, Contingency Area Logistician, San Antonio, TX, January 12, 2012.
376.	D.J. van Wyk, Director, International Diamond Standard, South Africa, January 12, 2012.
377.	George & Frances Alderson, Catonsville, MD, January 12, 2012.
378.	Carolyn Moon, Corpus Christi, TX, January 12, 2012.
379.	Sego Jackson, Clinton, WA, January 13, 2012.
380.	Rick Goss, Vice President for Environment and Sustainability, Information Technology Industry Council, Washington, DC, January 13, 2012.
381.	Jana Morgan, Global Witness, January 13, 2012.
382.	Carol Lawson, Jackson Heights, NY, January 15, 2012.
383.	Lorraine Layton, Las Vegas, NV, January 16, 2012.
384.	Claigan Environmental, Kanata, Ontario, Canada, January 17, 2012.
385.	Roxann Smith, January 26, 2012.
386.	David Schatsky, Principal Analyst / Founder, Green Research, New York, NY, January 27, 2012.

<u>Doc. No.</u>	<u>Description</u>
387.	Communication from the European Commission to the European Parliament, the Council and the European Economic and Social Committee, January 27, 2012.
388.	Teresa Wall, January 31, 2012.
389.	Jim Wells, January 31, 2012.
390.	Boston Common Asset Management, LLC; Calvert Asset Management Co., Inc.; Interfaith Center on Corporate Responsibility; Jesuit Conference of the United States; Marianist Province of the US; Mercy Investment Services, Inc.; Missionary Oblates of Mary Immaculate; Responsible Sourcing Network, a project of As You Sow; Sustainalytics; Trillium Asset Management; Tri-State Coalition for Responsible Investment, February 1, 2012.
391.	Nicole T. Meier, Fort Wayne, IN, February 3, 2012.
392.	William Leight, Cambridge, MA, February 3, 2012.
393.	Kelley Ready, Ph.D., Lecturer in Sustainable International Development at the Heller School for Social Policy and Management at Brandeis University, Waltham, MA, February 4, 2012.
394.	Carolyn T. Israel, M.C.A.T., Nat'l Certification Board for Therapeutic Massage and Body Work, Santa Cruz, CA, February 7, 2012.
395.	Kathy Mulvey, Director, Conflict Risk Network, February 5, 2012.
396.	Kathy Mulvey, Director, Conflict Risk Network, Washington, DC, February 7, 2012.
397.	Kasada Chen, Taipei, Taiwan, February 8, 2012.

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398. Stephen L. Kass, Chair, International Human Rights Committee, The Association of the Bar of the City of New York, February 7, 2012.
399. Antonia Matthew, February 8, 2012.
400. Stephen Jacobs, Senior Director, International Economic Affairs, National Association of Manufacturers, Washington, DC, February 10, 2012.
401. Fern Abrams, Director, Government Relations and Environmental Policy, IPC – Association Connecting Electronics Industries, February 14, 2012.
402. Gradye Parsons, Stated Clerk of the General Assembly, Presbyterian Church (U.S.A.), February 15, 2012.
403. Sen. Patrick Leahy, Sen. Christopher Coons, Congressman Howard Berman, Congressman Jim McDermott, Congressman Donald Payne, Congressman Gregory Meeks, Congressmember Karen Bass, Members of Congress, Washington, DC, February 16, 2012.
404. Sens. Barbara Boxer, Frank R. Lautenberg, Barbara A. Mikulski, Sheldon Whitehouse and Ron Wyden, U.S. Senate, February 16, 2012.
405. Leith Anderson, President, National Association of Evangelicals, February 17, 2012.
406. Deborah Fikes, World Evangelical Alliance, February 17, 2012.
407. Adam R. Taylor, Vice President for Advocacy, World Vision US, and Robert Kisyula, National Director, World Vision DRC, February 21, 2012.
408. Janet Sullivan, Chair, Congo Education Team, Presbyterian Church USA, February 23, 2012.
409. Corinna Gilfillan, Head of U.S. Office, Global Witness, February 27, 2012.

<u>Doc. No.</u>	<u>Description</u>
410.	Julia Westwater, February 28, 2012.
411.	Sen. Mark S. Critz, U.S. House of Representatives, February 29, 2012.
412.	Catholic Relief Services and Commission, March 5, 2012.
413.	Rep. James B. Renacci, U.S. House of Representatives, March 6, 2012.
414.	Rep. Bill Shuster, United States House of Representatives, March 12, 2012.
415.	Charles F. Blakeman, Groupe Weyi International, Denver, CO, March 15, 2012.
416.	Chuck Blakeman, March 15, 2012.
417.	Ryan E. Brenner, GW STAND (Student Division of United to End Genocide), Washington, DC, March 15, 2012.
418.	William Quam, Managing Director, Nordic Sun Worldwide Ltd., Kigali, Rwanda, March 17, 2012.
419.	Sen. Mark Pryor, United States Senate, March 19, 2012.
420.	Steve Cairns, Director of Youth Ministries, Preston Hollow Presbyterian Church, March 20, 2012.
421.	Princesse Odette Maniema Krempin, Executive Chairwoman, Societe Miniere du Maniema SPRL, March 21, 2012.
422.	Rep. Jason Altmire, U.S. House of Representatives, March 23, 2012.
423.	Chuck Blakeman, Groupe Weyi International, March 29, 2012.
424.	Aram Shishmanian, CEO, World Gold Council, London, United Kingdom, March 29, 2012.

<u>Doc. No.</u>	<u>Description</u>
425.	Claude Kabemba, PhD, Director, Southern Africa Resource Watch, April 4, 2012.
426.	Sadiki Byombuka, Member of National Parliament, Kinshasa, Congo, The Democratic Republic of The, April 11, 2012.
427.	Sen. Patrick J. Toomey, U.S. Senate, April 12, 2012.
428.	Richard Burt and Kay Nimmo, on behalf of the iTSCi Programme Governance Committee, April 14, 2012.
429.	Jim Winkler, General Secretary, General Board of Church and Society of The United Methodist Church, April 19, 2012.
430.	Jan P. Stubblefield, Charlotte, NC, May 8, 2012.
431.	Rep. Robert E. Latta, U.S. House of Representatives, May 16, 2012.
432.	Sen. Jeff Merkley, U.S. Senate, and Reps. Peter DeFazio, Earl Blumenauer, Kurt Schrader, and Suzanne Bonamici, Members of Congress, May 17, 2012.
433.	Chuck Blakeman, May 23, 2012.
434.	6th, 7th, and 8th Graders and Teachers, Foothills School of Arts and Sciences, Boise, ID, May 31, 2012.
435.	Nelson A. Morgan, June 4, 2012.
436.	Rep. Steven LaTourette, U.S. House of Representatives, June 13, 2012.
437.	David Hirschmann, President and CEO, Center for Capital Markets Competitiveness, and Myron Brilliant, Senior Vice President, International Division; U.S. Chamber of Commerce, July 11, 2012.

<u>Doc. No.</u>	<u>Description</u>
438.	David P. Strauss, Managing Director, Electronic Materials, Praxair, Inc., July 18, 2012.
439.	Rights & Accountability in Development, July 18, 2012.
440.	Sydney Thiessen, Happy Valley, OR, July 18, 2012.
441.	Dr. Eric Engle, Law Faculty, Humboldt Universität Berlin, July 20, 2012.
442.	Jessica Lemos, Director, International Trade Policy, International Economic Affairs, National Association of Manufacturers, August 1, 2012.
443.	Rep. Tim Murphy, Chairman, and Rep. Peter J. Visclosky, Vice Chairman, Congressional Steel Caucus, U.S. House of Representatives, August 2, 2012.
444.	Mauricio Lazala, Deputy Director, Business & Human Rights Resource Centre, London, United Kingdom, August 6, 2012.
445.	Fidel Bafilemba, Sasha Lezhnev, and Sarah Zingg Wimmer, The Enough Project, August 9, 2012.
446.	David M. Sindelar, Chief Executive Officer, Viasystems Group, Inc., August 9, 2012.
447.	Rep. Gary G. Miller, et al., U.S. House of Representatives, August 10, 2012.
448.	Aerospace Industries Association, Information Technology Industry Council, IPC - Association Connecting Electronics Industries, and U.S. Chamber of Commerce, August 15, 2012.
449.	Janice Disasi, WV, August 16, 2012.
450.	Bryan A. Ellis, CEO, Global Advanced Metals Pty Ltd, Waltham, MA, August 16, 2012.

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451. Robert Lamm, Society of Corporate Secretaries and Governance Professionals, New York, NY, August 16, 2012.
452. Candice Harris, Philadelphia, PA, August 20, 2012.
453. Stewart Murray, Chief Executive, London Bullion Market Association, August 21, 2012.
454. Erika R George, Esq, Professor of Law, Chair Center for Global Justice, and John Byram Plimpton, Student, University of Utah, S.J. Quinney College of Law, Salt Lake City, UT, August 22, 2012.
455. Responsible Sourcing Network on behalf of 50 Multi-stakeholders, August 22, 2012.

#### Meetings with Commission Officials

456. Memorandum from the Division of Corporation Finance regarding a January 19, 2011, meeting with Representatives of the World Gold Council, Newmont Mining Corporation, Sutherland Asbill & Brennan, and The Klein & Saks Group.
457. Memorandum from the Division of Corporation Finance regarding a January 31, 2011, meeting with Representatives of Global Witness.
458. Memorandum from the Office of Commissioner Aguilar regarding a January 31, 2011, meeting with Representatives of Global Witness.
459. Memorandum from the Office of Commissioner Paredes regarding a February 2, 2011, meeting with Representatives of Global Witness.
460. Memorandum from the Division of Corporation Finance regarding a February 7, 2011, meeting with Representatives of The Enough Project and DLA Piper.

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461. Memorandum from the Division of Corporation Finance regarding a February 9, 2011, meeting with Representatives of Ford Motor Company.
462. Memorandum from the Division of Corporation Finance regarding a February 10, 2011, meeting with Representatives of the National Association of Manufacturers, Honda, The Boeing Co., Lockheed Martin Corp., Rockwell Automation, the Motor & Equipment Manufacturers Association, Schweitzer Engineering Laboratories, Inc., Caterpillar Inc., Honeywell International Inc., Siemens, IPC, Tata Steel, Abbott Laboratories, Tyco Electronics Corp., Emerson, and United Technologies Corp.
463. Memorandum from the Office of the Chairman regarding a February 10, 2011, meeting with Representatives of the New York Chapter of the Society for Corporate Secretaries and Governance Professionals.
464. Memorandum from the Office of the Chairman regarding a February 17, 2011, meeting with Representatives of the United Nations.
465. Memorandum from the Office of Commissioner Luis A. Aguilar regarding a February 17, 2011, meeting with Representatives of The Enough Project.
466. Memorandum from the Division of Corporation Finance regarding a February 25, 2011, meeting with Representatives of Tyco Electronics Corp.
467. Memorandum from the Division of Corporation Finance regarding a February 25, 2011, meeting with Andrew Matheson and Representatives of The Enough Project and DLA Piper.
468. Memorandum from the Office of the Chairman regarding a February 25, 2011, meeting with Representatives of Covington and Burling, Information Technology Industry Council, Apple, Hewlett-Packard Company, and Motorola.



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469. Memorandum from the Office of Commissioner Elisse B. Walter regarding a February 8, 2011, email from Amy Goodman, Gibson, Dunn & Crutcher LLP.
470. Memorandum from the Office of Commissioner Elisse B. Walter regarding a February 3, 2011, meeting with Representatives of Global Witness.
471. Memorandum from the Division of Corporation Finance regarding a March 3, 2011, meeting with Representatives of AT&T.
472. Memorandum from the Division of Corporation Finance regarding a March 7, 2011, meeting with Representatives of General Electric Company.
473. Memorandum from the Office of Commissioner Troy A. Paredes regarding a March 8, 2011, meeting with Representatives of Catholic Relief Services and United States Conference of Catholic Bishops.
474. Memorandum from the Division of Corporation Finance regarding a March 10, 2011, meeting with Representatives of Niotan, Inc., Denham Capital Management LP, and Foley Hoag LLP.
475. Memorandum from the Division of Corporation Finance regarding a March 10, 2011, conference call with Representatives of Responsible Sourcing Network, Advance Micro Devices Inc., The Enough Project, General Electric Co., Boston Common Asset Management, LLC, Trillium Asset Management, LLC, Falling Whistles, Ford Motor Company, Free the Slaves, Friends of the Congo, Interfaith Center on Corporate Responsibility, Jantzi-Sustainalytics Inc., the Social Investment Forum and the Jesuit Conference.
476. Memorandum from the Division of Corporation Finance regarding a March 24, 2011, conference call with Representatives of Social Investment Forum, Trillium Asset Management Corp., Boston Common Asset Management, LLC, Responsible Sourcing Network, and Calvert Asset Management Company, Inc.

<u>Doc. No.</u>	<u>Description</u>
477.	Memorandum from the Office of Commissioner Aguilar regarding a March 15, 2011, meeting with Representatives of Catholic Relief Services and United States Conference of Catholic Bishops.
478.	Memorandum from the Office of Commissioner Aguilar regarding a March 17, 2011, meeting with Representatives of Social Investment Forum.
479.	Memorandum from the Office of Commissioner Paredes regarding a March 30, 2011, meeting with Representatives of Verizon and Best Buy.
480.	Memorandum from the Division of Corporation Finance regarding a March 30, 2011, meeting with Representatives of Advanced Micro Devices Inc. and The Glover Park Group.
481.	Memorandum from the Division of Corporation Finance regarding a March 30, 2011, meeting with Representatives of Best Buy Co., Inc. and Verizon Communications Inc.
482.	Memorandum from the Office of Commissioner Paredes regarding an April 1, 2011, meeting with Representatives of the Boeing Company, IPC - Association Connecting Electronics Industries, Honeywell, and National Association of Manufacturers.
483.	Memorandum from the Division of Corporation Finance regarding an April 4, 2011, telephone call with a Representative from the office of U.S. Rep. Jim McDermott.
484.	Memorandum from the Office of Commissioner Aguilar regarding an March 30, 2011, meeting with Representatives of Verizon and Best Buy.
485.	Memorandum from the Office of Commissioner Paredes regarding an April 1, 2011, meeting with Representatives of Calvert Investments, Boston Silicon Materials LLC, DLA Piper, Grassroots Consulting Group, and the Enough Project.

<u>Doc. No.</u>	<u>Description</u>
486.	Memorandum from the Division of Corporation Finance regarding an April 5, 2011, meeting with Representatives of Catholic Relief Services, United States Conference of Catholic Bishops Office of International Justice and Peace, and Latin America and Global Trade.
487.	Memorandum from the Division of Corporation Finance regarding an April 5, 2011, meeting with Representatives of Calvert Investments, Boston Silicon Materials LLC, DLA Piper, Grassroots Consulting Group, and the Enough Project.
488.	Memorandum from the Division of Corporation Finance regarding an April 6, 2011, meeting with a Representative of the Embassy of the Republic of Korea.
489.	Memorandum from the Office of Commissioner Paredes regarding an April 14, 2011, meeting with Representatives of the World Gold Council and Klein and Saks Group.
490.	Memorandum from the Division of Corporation Finance regarding an April 28, 2011, meeting with Representatives of Arkema Inc.
491.	Memorandum from the Division of Corporation Finance regarding an April 28, 2011, meeting with a Representative of The Elm Consulting Group International LLC.
492.	Memorandum from the Division of Corporation Finance regarding a May 6, 2011, telephone call with a Representative from the office of U.S. Rep. Jim McDermott.
493.	Memorandum from the Division of Corporation Finance regarding a May 12, 2011, meeting with Representatives of NEI Investments.
494.	Memorandum from the Office of the Chairman regarding a May 17, 2011, meeting with Representatives of Global Witness.

<u>Doc. No.</u>	<u>Description</u>
495.	Memorandum from the Division of Corporation Finance regarding a June 1, 2011, meeting with Representatives of the World Gold Council and The Klein & Saks Group.
496.	Memorandum from the Division of Corporation Finance regarding a June 1, 2011, meeting with representatives of AngloGold Ashanti and The Klein & Saks Group.
497.	Memorandum from the Division of Corporation Finance regarding a June 9, 2011, meeting with Representatives of The Densus Group.
498.	Memorandum from the Division of Corporation Finance regarding a June 20, 2011, meeting with Representatives of ITRI, Ltd.
499.	Memorandum from the Office of Commissioner Paredes regarding a June 22, 2011, meeting with members of a multi-stakeholder group.
500.	Memorandum from the Division of Corporation Finance regarding a June 22, 2011, meeting with Representatives of the Democratic Republic of the Congo.
501.	Memorandum from the Division of Corporation Finance regarding a June 22, 2011, meeting with Representatives of H.C. Starck, The Carlyle Group, and Foley Hoag LLP.
502.	Memorandum from the Division of Corporation Finance regarding a June 22, 2011, meeting with Representatives of Motorola Solutions and Pact.
503.	Memorandum from the Office of Commissioner Troy A. Paredes regarding a July 5, 2011, meeting with Representatives of the International Corporate Accountability Roundtable, Global Witness, Enough Project and Amnesty International USA.

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504. Memorandum from the Office of Commissioner Aguilar regarding a July 13, 2011, meeting with Representatives of the International Corporate Accountability Roundtable, a Coalition of Human Rights Groups.
505. Memorandum from the Office of Commissioner Troy A. Paredes regarding a July 19, 2011, meeting with Representatives of the Aerospace Industries Association, the National Association of Manufacturers, Boeing, Honda, Applied Materials, Honeywell, Lockheed, Caterpillar, United Technologies, Motor Equipment Manufacturers Association, Siemens and Northrop Grumman.
506. Memorandum from the Division of Corporation Finance regarding a July 20, 2011, meeting with Representatives of Honda, Siemens Corp., Lockheed Martin, Honeywell, Northrop Grumman Corp., the Aerospace Industries Association, the Motor & Equipment Manufacturers Association, Applied Materials, Inc., Caterpillar, United Technologies Corp., The Boeing Company, the National Association of Manufacturers, and IPC.
507. Memorandum from the Office of Commissioner Luis A. Aguilar regarding a July 20, 2011, meeting with Representatives of The Boeing Company, IPC, Lockheed Martin, Northrop Grumman Corp., and Siemens Corp.
508. Memorandum from the Office of Commissioner Aguilar regarding a July 29, 2011, meeting with Representatives of the National Retail Federation.
509. Memorandum from the Office of Commissioner Aguilar regarding an August 1, 2011, meeting with Representatives of AngloGold Ashanti Limited, AngloGold Ashanti North America Inc., and The Klein & Saks Group.
510. Memorandum from the Office of Commissioner Paredes regarding an August 1, 2011, meeting with Representatives of AngloGold Ashanti Limited and The Klein & Saks Group.

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511. Memorandum from the Office of Legislative and Intergovernmental Affairs regarding a July 22, 2011, phone call with Jessica Simon of Sen. Richard Durbin's office.
512. Memorandum from the Office of Commissioner Paredes regarding an August 3, 2011, meeting with a Representative of National Retail Federation.
513. Memorandum from the Office of Commissioner Aguilar regarding an August 16, 2011, meeting with Representatives of the World Gold Council.
514. Memorandum from the Office of Commissioner Paredes regarding an August 17, 2011, meeting with Representatives of the World Gold Council and The Klein & Saks Group.
515. Memorandum from the Office of Commissioner Walter regarding an August 17, 2011, meeting with Representatives of the World Gold Council and The Klein & Saks Group.
516. Memorandum from the Office of Commissioner Aguilar regarding a September 12, 2011, meeting with Representatives of Free the Slaves.
517. Memorandum from the Division of Corporation Finance regarding a September 19, 2011, meeting with Representatives of Global Witness, The Enough Project and the International Corporate Accountability Roundtable.
518. Memorandum from the Office of Commissioner Aguilar regarding a September 20, 2011, meeting with Sen. Richard Durbin and Rep. Jim McDermott and their respective staff.
519. Memorandum from the Division of Corporation Finance regarding a September 26, 2011, meeting with Representatives of the American Institute of Certified Public Accountants and others.

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520. Memorandum from the Division of Corporation Finance regarding an October 4, 2011, meeting with Representatives of the offices of Sen. Richard Durbin and Rep. Jim McDermott and Pact.
521. Memorandum from the Division of Corporation Finance regarding an October 5, 2011, meeting with Representatives of the Observatoire Gouvemance et Paix, CongoResources.org, Bureau d'Etudes Scientifiques et Technques, and Patton Boggs LLP.
522. Memorandum from the Office of Legislative and Intergovernmental Affairs regarding an October 5, 2011, meeting with Representatives of the offices of Sen. Richard Durbin and Rep. Jim McDermott.
523. Memorandum from the Office of Commissioner Paredes regarding an October 20, 2011, meeting with Representatives of the U.S. Chamber of Commerce.
524. Memorandum from the Office of Commissioner Walter regarding an October 21, 2011, meeting with Representatives of the U.S. Chamber of Commerce and Zimmer, Inc.
525. Memorandum from the Office of Commissioner Aguilar regarding an October 20, 2011, meeting with Representatives of the U.S. Chamber of Commerce and Zimmer, Inc.
526. Memorandum from the Division of Corporation Finance regarding an October 24, 2011, meeting with Representatives of the Global Witness, International Corporate Accountability Roundtable, and the North Kivu province of the Democratic Republic of the Congo.
527. Memorandum from the Office of Legislative and Intergovernmental Affairs regarding an October 13, 2011, meeting with Representatives of the offices of Sen. Richard Durbin and Rep. Jim McDermott.

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528. Memorandum from the Division of Corporation Finance regarding an October 27, 2011, meeting with Representatives of the Retail Industry Leaders Association, Costco Wholesale Corp., Wal-Mart Stores, Inc., Consumer Electronic Retail Coalition, Best Buy Co., Inc., Target Corporation, Sears Holdings, Inc., Dick's Sporting Goods Inc., Staples, Inc. and The Home Depot, Inc.
529. Memorandum from the Office of Commissioner Troy A. Paredes regarding an October 31, 2011, meeting with a Resprsentative of the United Nations Group of Experts on the Congo.
530. Memorandum from the Division of Corporation Finance regarding an October 31, 2011, meeting with a Representative of the United Nations Group of Experts on the Congo.
531. Memorandum from the Division of Corporation Finance regarding a November 2, 2011, telephone call with Representatives of the London Bullion Market Association.
532. Memorandum from the Division of Corporation Finance regarding a November 4, 2011, telephonic meeting with Representatives of the American Institute of Certified Public Accountants, KPMG LLP, Ernst & Young LLP, and the Center for Audit Quality.
533. Memorandum from the Division of Corporation Finance regarding a November 15, 2011, meeting with a Representative of ITRI Ltd.
534. Memorandum from the Division of Corporation Finance regarding a November 17, 2011, meeting with Representatives of the Japan Electronics and Information Technology Industries Association.
535. Memorandum from the Division of Corporation Finance regarding a December 9, 2011, meeting with Representatives of KEMET Corp. and Holland & Knight.



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Description

536. Memorandum from the Office of Commissioner Paredes regarding a December 12, 2011, meeting with Representatives of Claigan Environmental and Assent Compliance.
537. Memorandum from the Division of Corporation Finance regarding a December 13, 2011, meeting with Representatives of Claigan Environmental and Assent Compliance.
538. Memorandum from the Division of Corporation Finance regarding a December 16, 2011, meeting with Representatives of Global Witness, the Enough Project, the International Corporate Accountability Roundtable, and an expert from North Kivu.
539. Memorandum from the Office of Commissioner Gallagher regarding a December 12, 2011, meeting with Representatives of Global Witness, Claigan Environmental, and CREEDHO.
540. Memorandum from the Office of Commissioner Gallagher regarding a December 9, 2011, meeting with Representatives of the National Association of Manufacturers.
541. Memorandum from the Office of Commissioner Paredes regarding a January 6, 2012, meeting with Representatives of DLA Piper and Enough Project.
542. Memorandum from the Division of Corporation Finance regarding a January 13, 2012, telephonic meeting with a Representative of the United States Council for International Business.
543. Memorandum from the Office of Commissioner Paredes regarding a January 17, 2012, telephonic meeting with a Representative of IPC.
544. Memorandum from the Office of Commissioner Paredes regarding a January 17, 2012, telephonic meeting with a Representative of the Enough Project.

<u>Doc. No.</u>	<u>Description</u>
545.	Memorandum from the Office of Commissioner Paredes regarding a January 18, 2012 telephonic meeting with a Representative of the National Association of Manufacturers.
546.	Memorandum from the Office of Commissioner Paredes regarding a January 19, 2012, telephonic meeting with a Representative of Responsible Sourcing Network/As You Sow.
547.	Memorandum from the Division of Risk, Strategy, and Financial Innovation regarding a January 9, 2012 meeting with Representatives of International Corporate Accountability Roundtable, Global Witness and Enough Project.
548.	Memorandum from the Office of Commissioner Paredes regarding a January 31, 2012 telephonic meeting with a Representative of Boeing.
549.	Memorandum from the Office of Commissioner Paredes regarding a January 31, 2012 meeting with representatives of DLA Piper and Enough Project.
550.	Memorandum from the Office of Commissioner Gallagher regarding a January 31, 2012, meeting with representatives of Enough Project, International Corporate Accountability Roundtable, and DLA Piper US LLP.
551.	Memorandum from the Division of Corporation Finance regarding a February 1, 2012 meeting with Representatives of the Embassy of Israel.
552.	Memorandum from the Division of Corporation Finance regarding a February 2, 2012 meeting with Representatives of the International Corporate Accountability Roundtable, Global Witness, and The Enough Project.

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Description

553. Memorandum from the Office of Commissioner Paredes regarding a February 3, 2012 meeting with representatives of ICAR and Global Witness.
554. Memorandum from the Office of Commissioner Paredes regarding a February 3, 2012 telephonic meeting with a representative of Friends of the Congo.
555. Memorandum from the Office of Commissioner Walter regarding a February 3, 2012 meeting with Representatives of Global Witness, ICAR, and Green Research.
556. Memorandum from the Office of Commissioner Paredes regarding a February 14, 2012 meeting with Representatives of Global Witness.
557. Memorandum from the Office of Commissioner Paredes regarding a February 15, 2012, meeting with Representatives of Responsible Sourcing Network, a project of As You Sow, Calvert Asset Management Co., General Electric Company, Africa Faith and Justice Network, US SIF, Free the Slaves, Hewlett Packard, Mercy Investment Services, and Trillium Asset Management.
558. Memorandum from the Office of Commissioner Paredes regarding a February 16, 2012, meeting with Representatives of Global Witness.
559. Memorandum from the Division of Corporation Finance regarding a February 16, 2012, meeting with Jason Stearns, former Coordinator, UN Group of Experts on DR Congo.
560. Memorandum from the Office of Commissioner Walter regarding a February 16, 2012, meeting with Representatives of ICAR and UN group of experts.
561. Memorandum from the Division of Corporation Finance regarding a February 17, 2012, meeting with Representatives of The Enough Project,

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- General Electric Co., Jesuit Conference, Calvert Asset Management Co., Africa Faith and Justice Network, and US SIFs.
562. Memorandum from the Office of Commissioner Luis A. Aguilar regarding a February 13, 2012, meeting with Representatives of Responsible Sourcing Network, a project of As You Sow, Calvert Asset Management Co., General Electric, Hewlett Packard, Africa Faith and Justice Network, US SIF, and Jesuit Conference.
563. Memorandum from the Office of Commissioner Paredes regarding a March 1, 2012, meeting with Representatives of National Association of Manufacturers, Siemens, Honeywell, Retail Industry Leaders Association, Honda, Boeing, Abbott Labs, American Apparel and Footwear Association, and Emerson Electric.
564. Memorandum from the Office of Legislative and Intergovernmental Affairs regarding a February 14, 2012, meeting with a Representative of Congressman Jim McDermott's office.
565. Memorandum from the Division of Corporation Finance regarding a March 13, 2012, meeting with Representatives of Human Rights Watch, RAID UK, the International Corporate Accountability Roundtable, and Global Witness.
566. Memorandum from the Office of Commissioner Troy A. Paredes regarding a March 14, 2012, meeting with Representatives of Global Witness, International Corporate Accountability Roundtable (ICAR), Rights & Accountability in Development (RAID), and Human Rights Watch.
567. Memorandum from the Office of Commissioner Troy A. Paredes regarding an April 17, 2012, meeting with a Representative of the World Gold Council and The Klein & Saks Group.
568. Memorandum from the Division of Corporation Finance regarding an April 17, 2012, meeting with a Representative of the World Gold Council and The Klein & Saks Group.

<u>Doc. No.</u>	<u>Description</u>
569.	Memorandum from the Office of Commissioner Paredes regarding an April 18, 2012, meeting with Representatives of the London Bullion Market Association.
570.	Memorandum from the Division of Corporation Finance regarding an April 19, 2012, meeting with Representatives of Warnaco Inc., Michar LLC, Ralph Lauren Corporation, American Eagle Outfitters, Macy's, Inc., United States Association of Importers of Textiles and Apparel, and Squire Sanders (US) LLP.
571.	Memorandum from the Division of Corporation Finance regarding an April 20, 2012, meeting with Representatives of the London Bullion Market Association and HSBC Bank plc.
572.	Memorandum from the Division of Corporation Finance regarding a May 11, 2012, meeting with Representatives of Claigon Environmental and Global Witness.
573.	Memorandum from the Division of Corporation Finance regarding a June 6, 2012, meeting with Representatives of Global Witness and the International Corporate Accountability Roundtable.
574.	Memorandum from the Office of Commissioner Troy A. Paredes regarding a June 14, 2012, meeting with Representatives of Enough Project, the International Corporate Accountability, and Global Witness.
575.	Memorandum from the Division of Corporation Finance regarding a July 10, 2012, meeting with Representatives of Enough Project, the International Corporate Accountability, and United to End Genocide.
576.	Memorandum from the Division of Corporation Finance regarding a July 18, 2012, meeting with Representatives of National Association of Manufacturers, the US Chamber of Commerce, and other business associations and companies.

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Description

577. Memorandum from the Office of Commissioner Luis A. Aguilar regarding a May 3, 2012, meeting with Representatives of US SIF, the Forum for Sustainable and Responsible Investment.
578. Memorandum from the Office of Commissioner Luis A. Aguilar regarding a June 15, 2012, meeting with Representatives of International Corporate Accountability Roundtable, Global Witness, and Enough Project.
579. Memorandum from the Office of Commissioner Luis A. Aguilar regarding an April 20, 2012, meeting with Representatives of the London Bullion Market Association.
580. Memorandum from the Division of Corporation Finance regarding a July 24, 2012, meeting with Representatives of The Boeing Co.
581. Memorandum from the Division of Corporation Finance regarding a July 26, 2012, meeting with Representatives of the Prime Policy Group.
582. Memorandum from the Office of the Chairman regarding a July 23, 2012, telephone call with Representatives of the U.S. Chamber of Commerce.
583. Memorandum from the Division of Corporation Finance regarding an August 1, 2012, telephone call with Representatives of the Royal Netherlands Embassy.

Submitted Comments on Specialized Disclosures: Title XV Provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act (from <http://www.sec.gov/comments/df-title-xv/specialized-disclosures/specialized-disclosures.shtml>)

584. Comments have been received from individuals and entities using the following Letter Type A: 342

<u>Doc. No.</u>	<u>Description</u>
585.	Comments have been received from individuals and entities using the following Letter Type B: 28
586.	Comments have been received from individuals and entities using the following Letter Type C: 49
587.	Chris Locovare, President, KewlStuff Inc., Bay Shore, New York, July 28, 2010.
588.	Stuart P Seidel, Esq., Washington, DC, August 5, 2010.
589.	Larry Manziek Ph.D., Executive Director, International Precious Metals Institute, August 17, 2010.
590.	Stephen Greene, Principal, Howland Greene Consultants LLC, Lowell, MA, August 24, 2010.
591.	Mike Koehler, Professor, Assistant Professor of Business Law, Butler University, Indianapolis, IN, September 3, 2010.
592.	Cecilia L. Gardner, Esq., <i>et al.</i> , Jewelers Vigilance Committee, New York, NY, September 13, 2010.
593.	Timothy M. Toy, and Ronald D. Brown, Toy & Brown,, New York, NY, September 24, 2010.
594.	Deborah R. Meshulam, DLA Piper LLP (US), Washington, DC, September 24, 2010.
595.	Patrick Dorsey, Senior Vice President, Secretary and General Counsel, Tiffany & Co., September 29, 2010.
596.	R. Craig Johnson, General Counsel, Rio Tinto US & South America, Salt Lake City, Utah, October 1, 2010.

<u>Doc. No.</u>	<u>Description</u>
597.	Sen. Richard Durbin and Congressman Jim McDermott, October 4, 2010.
598.	Corinna Gifillan, Head of U.S. Office, Global Witness, October 12, 2010.
599.	Kyle Isakower and Patrick T. Mulva, American Petroleum Institute, October 12, 2010.
600.	Douglas J Kealey, Environmental and Regulatory Affairs Manager, Garmin Int'l, Inc., Olathe, KA, October 12, 2010.
601.	Michael L Rae, Chief Executive Officer, Responsible Jewellery Council, Vermont, VIC 3133 AUSTRALIA, October 13, 2010.
602.	Robert B. Lawrence, Senior Policy Advisor, Global Witness, October 12, 2010.
603.	Jeffrey W Rubin, Chair, Committee on Federal Regulation of Securities, Business Law Section, American Bar Association, New York, NY, October 19, 2010.
604.	Martin J. ten Brink, Executive Vice President Controller, Royal Dutch Shell plc, October 25, 2010.
605.	Stephanie Lester, Vice President, International Trade, Retail Industry Leaders Association, October 27, 2010.
606.	Catherina Hurlburt, Gainesville, VA, October 27, 2010.
607.	Coalition of Immokalee Workers, Coalition to Abolish Slavery and Trafficking, Free the Slaves, International Justice Mission, Not for Sale Campaign, Polaris Project, and Vital Voices Global Partnership, October 27, 2010.
608.	Tina Davis, Filmmaker, Oslo, Norway, October 28, 2010.



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609. Nina B. Lanctot, pastor, October 29, 2010.
610. Robert W Row, Palo Alto, CA, October 29, 2010.
611. Suzanne E Lind, faith based NGO administrator, Kinshasa, The Democratic Republic of The Congo, October 29, 2010.
612. Sam Rosenfeld, CEO, Densus Group, Plano, TX, October 29, 2010.
613. Maneesh Pangasa, Yuma, AZ, November 3, 2010.
614. Cravath, Swaine & Moore LLP; Cleary Gottlieb Steen & Hamilton LLP; Davis Polk & Wardwell LLP; Shearman & Sterling LLP; Simpson Thacher & Bartlett LLP; Skadden, Arps, Slate, Meagher & Flom LLP; Sullivan & Cromwell LLP; Wilmer Cutler Pickering Hale and Dorr LLP, November 5, 2010.
615. Karen Savala, President, Americas, Semiconductor Equipment and Materials International, November 8, 2010.
616. Reps. Howard L. Berman, Donald M. Payne, and Christopher H. Smith, U.S. House of Representatives, November 8, 2010.
617. Martha S. Knight, Global Board of Global Ministries of the United Methodist Church, November 10, 2010.
618. Richard Burt, President, Tantalum-Niobium International Study Center, November 10, 2010.
619. Steve Mason, Coordinator of SRI Activities, Church of the Brethren Benefit Trust, Elgin, IL, November 12, 2010.
620. Bennett Freeman, Calvert Asset Management Company, Inc.; Paul Bugala, Calvert Asset Management Company, Inc.; Lisa N. Woll, Social Investment Forum, November 15, 2010.

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621. Ingrid Dyott, Neuberger Berman Socially Responsive Fund (NB SRI), New York, NY, November 15, 2010.
622. National Mining Association, November 16, 2010.
623. Lauren M Compere, *et al.*, Managing Director, Boston Common Asset Management, Boston, MA, November 16, 2010.
624. Josie Dixon, November 17, 2010.
625. John Gilles, November 17, 2010.
626. Advanced Medical Technology Association (AdvaMed), Aerospace Industries Association (AIA), American Association of Exporters and Importers (AAEI), Association of Home Appliance Manufacturers (AHAM), Consumer Electronics Association (CEA), The Association of Equipment Manufacturers (AEM), IPC-Association Connecting Electronics Industries, National Association of Manufacturers (NAM) National Foreign Trade Council (NFTC), National Retail Federation (NRF), Retail Industry Leaders Association (RILA), Semiconductor Equipment and Materials International (SEMI), TechAmerica, USA\* ENGAGE, November 17, 2010.
627. Lauren Hauptman, November 17, 2010.
628. Lisa N Woll, Social Investment Forum (SIF), Washington, DC, November 18, 2010.
629. Christie Campello, November 17, 2010.
630. Darren Fenwick, Senior Government Affairs Manager, Enough Project, and Tim Mohin, Director, Corporate Responsibility, Advanced Micro Devices, November 17, 2010.
631. Jemma Underwood, November 18, 2010.

<u>Doc. No.</u>	<u>Description</u>
632.	Chris Saxon Koelker, November 18, 2010.
633.	Mark Meeks, November 18, 2010.
634.	David Parrent, November 18, 2010.
635.	Richard Goss, Information Technology Industry Council, November 18, 2010.
636.	National Association of Manufacturers, November 19, 2010.
637.	Robert Walker, Vice President, ESG Services, November 19, 2010.
638.	Brian Dutoi, Charlottesville, VA, November 19, 2010.
639.	Adam M Kanzer, Esq, Managing Director General Counsel Domini Social Investments LLC, November 20, 2010.
640.	Dominic Larkin, Sherbrooke, Qué., November 21, 2010.
641.	Judith Kingsley, Professor Emerita of English, Bluffton University, Bluffton, Ohio, November 22, 2010.
642.	IPC - Association Connecting Electronics Industries, November 22, 2010.
643.	Susan Baker, Portfolio Manager and ESG Research Analyst, Trillium Asset Management, Boston, MA, November 22, 2010.
644.	Isabel Munilla, Director, Publish What You Pay U.S., November 22, 2010.
645.	Kay Nimmo, Manager of Sustainability and Regulatory Affairs, ITRI Ltd., November 22, 2010.

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646. Diarmuid O’Hegarty, Deputy Chief Executive, London Metal Exchange, November 23, 2010.
647. Karina Litvack, Director, Head of Governance and Sustainable Investment, F&C Management Ltd., November 26, 2010.
648. Dennis Meyer, President, American Tin Trade Association, November 26, 2010.
649. JaNell Hicks, November 28, 2010.
650. James McAliney and Antonis Papadourakis, Arkema, November 29, 2010.
651. Dick Matgen, Executive Director, Open Square Foundation, November 29, 2010.
652. Wynnette M LaBrosse, President, Open Square Foundation, November 30, 2010.
653. Erin Cook, November 30, 2010.
654. Caroline King, November 30, 2010.
655. Jodee B. Brown, Salt Lake City, UT, November 30, 2010.
656. Benjamin L. Cardin, U.S. Senator, Washington DC, December 1, 2010.
657. Marco Simons, Legal Director, and Jonathan Kauffman, Staff Attorney, EarthRights International, December 2, 2010.
658. Kasauh Mon, Nai, Director of the Human Rights Foundation of Monland, Sangkhlaburi, Thailand, December 3, 2010.
659. Nicolas Grabar, Stephen L. Kass, and Robert E. Buckholz, Association of the Bar of the City of New York, December 5, 2010.

<u>Doc. No.</u>	<u>Description</u>
660.	Karin Lissakers, Executive Director, Revenue Watch Institute, December 6, 2010.
661.	Letter to Commissioner Aguilar, from Kyle Isakower and Patrick Mulva, American Petroleum Institute, December 9, 2010.
662.	Letter to Commissioner Walter, from Kyle Isakower and Patrick Mulva, American Petroleum Institute, December 9, 2010.
663.	Letter to Commissioner Casey, from Kyle Isakower and Patrick Mulva, American Petroleum Institute, December 9, 2010.
664.	Candida Owens Sales Manager, CRONIMET Central Africa AG, December 9, 2010.
665.	The Extractive Industries Working Group, December 13,2010.
666.	Nick Thorne, Managing Director, Thailand Smelting and Refining Co. Ltd., December 13, 2010.
667.	Harriet Steinberg, Lake Oswego, OR, December 14, 2010.
668.	Krista Furgerson, December 15, 2010.
669.	Ann Shannon, Co-Founder, A Thousand Sisters Campaign and Co-Founder, Outcry for Congo Campaign, December 15, 2010.
670.	Richa Sehgal, December 15, 2010.
671.	Laura Matter, A Thousand Sisters, Outcry for Congo, December 15, 2010.
672.	Thomas Turner, DRC Country Specialist, Amnesty International USA, December 15, 2010.

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673. Katherine Yarges, Newport, KY, December 15, 2010.
674. Lori Kechter, Administrator, Outcry for Congo Campaign, Member, A Thousand Sisters Campaign, December 15, 2010.

Meetings with SEC Officials

675. Memorandum from the Division of Corporation Finance regarding an August 11, 2010 telephone call with Representatives of Sen. Carte P. Goodwin.
676. Memorandum, dated August 11, 2010, from the Division of Corporation Finance regarding an August 11, 2010, meeting with a Representative of the National Retail Federation.
677. Memorandum, dated August 17, 2010, from the Division of Corporation Finance regarding an August 17, 2010, telephone call with Professor Peter Rosenblum of Columbia University.
678. Memorandum, dated August 31, 2010, from the Division of Corporation Finance regarding an August 31, 2010, meeting with Representatives organized by the National Association of Manufacturers.
679. Memorandum, dated September 8, 2010, from the Division of Corporation Finance regarding a September 8, 2010, meeting with Representatives of the Publish What You Pay Coalition.
680. Memorandum, dated September 15, 2010, from the Division of Corporation Finance regarding a September 15, 2010, meeting with Representatives of Global Witness.
681. Memorandum, dated September 21, 2010, from the Division of Corporation Finance regarding a September 21, 2010, meeting with a Representative of Extractive Industries Transparency Initiative.

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682. Memorandum, dated September 23, 2010, from the Division of Corporation Finance regarding a September 23, 2010, meeting with Representatives of Calvert Asset Management and Social Investment Forum.
683. Memorandum, dated September 24, 2010, from the Division of Corporation Finance regarding a September 24, 2010, meeting with Representatives of The Enough Project.
684. Memorandum, dated September 27, 2010, from the Division of Corporation Finance regarding a September 27, 2010, meeting with members of the American Petroleum Institute.
685. Memorandum, dated September 28, 2010, from the Division of Corporation Finance regarding a September 28, 2010, meeting with Representatives of the Jewelers Vigilance Committee, Jewelers of America, Weil, Gotshal & Manges LLP, Manufacturing Jewelers & Suppliers of America, The Klein & Saks Group, and the Richline Group.
686. Memorandum, dated October 1, 2010, from the Division of Corporation Finance regarding an October 1, 2010, telephone call with a Representative of the United Nations Group of Experts.
687. Memorandum, dated October 4, 2010, from the Division of Corporation Finance regarding an October 4, 2010, meeting with Representatives of the Information Technology Industry Council.
688. Memorandum, dated October 6, 2010, from the Division of Corporation Finance regarding an October 6, 2010, meeting with Representatives of Royal Dutch Shell.
689. Memorandum, dated October 12, 2010, from the Division of Corporation Finance regarding an October 12, 2010, meeting with Representatives of International Precious Metals Institute and Materials Management Corp.

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690. Memorandum, dated October 27, 2010, from the Division of Corporation Finance regarding an October 15, 2010, meeting with Representatives of the National Mining Association.
691. Memorandum from the Division of Corporation Finance regarding an October 29, 2010, meeting with Representatives from the office of Sen. Richard Durbin and from the office of Rep. Jim McDermott.
692. Memorandum, dated November 15, 2010, from the Division of Corporation Finance regarding a November 15, 2010, meeting with Representatives of ITRI, GraviTa, Inc., Malaysia Smetling Corporation, and AVX Corporation.
693. Memorandum, dated November 15, 2010, from the Division of Corporation Finance regarding a November 15, 2010, meeting with Representatives of the Democratic Republic of the Congo, Minerals Supply Africa, Ltd, and Cronimet Central Africa AG.
694. Memorandum, dated November 18, 2010, from the Office of Commissioner Casey regarding a November 18, 2010, meeting with Representatives of the American Petroleum Institute.
695. Memorandum, dated November 17, 2010, from the Division of Corporation Finance regarding a November 17, 2010, meeting with Representatives of Social Investment Forum, As You Sow, Boston Common Asset Management, Trillium Asset Management, Calvert Investments, and The International Interfaith Investment Group.
696. Memorandum, November 17, 2010, from the Division of Corporation Finance regarding a November 17, 2010, meeting with Representatives of As You Sow, Advance Micro Devices Inc., The Enough Project, Hewlett-Packard Company, General Electric Co., Friends of the Congo, Boston Common Asset Management, Trillium Asset Management, and Calvert Investments.



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697. Memorandum, dated November 18, 2010, from the Division of Corporation Finance regarding a November 18, 2010, meeting with Representatives of Retail Industry Leaders Association, Costco Wholesale Corp., Target Corp., Best Buy Co., Inc., J.C. Penney Company, Inc., Wal-Mart Stores, Inc., and Lowe's Companies, Inc.
698. Memorandum, dated November 18, 2010, from the Office of the Chairman regarding a November 18, 2010, meeting with Representatives of The Enough Project, Grassroots Reconciliation Group, and DLA Piper.
699. Memorandum, dated November 30, 2010, from the Office of Commissioner Luis A. Aguilar regarding a November 19, 2010, meeting with Representatives of The American Petroleum Institute.
700. Memorandum, dated December 2, 2010, from the Office of Commissioner Elisse B. Walter regarding a December 2, 2010, meeting with Representatives of the Information Technology Industry Council, Apple Inc., Motorola, Inc., and Covington & Burling LLP.
701. Memorandum, dated December 2, 2010, from the Division of Corporation Finance regarding a December 2, 2010, meeting with Representatives of The Densus Group.
702. Memorandum, dated December 6, 2010, from the Office of Commissioner Paredes regarding a December 3, 2010, meeting with Representatives of the Information Technology Industry Council, Apple Inc., Motorola, Inc., and Covington & Burling LLP.
703. Memorandum, dated September 12, 2011, from the Office of Commissioner Aguilar regarding a September 12, 2011, meeting with Representatives of Free the Slaves.

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Description

For the Commission, pursuant to delegated authority, by its Assistant  
Secretary.



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Jill Peterson

Dated: December 6, 2012



Counsel of record for intervenor:

Julie Alyssa Murray  
Public Citizen Litigation Group  
1600 20<sup>th</sup> Street, NW  
Washington, DC 20009

December 6, 2012

Respectfully submitted,

/s/ Daniel Staroselsky

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