

1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF CALIFORNIA
3 SAN FRANCISCO DIVISION

4 DAVID AND NATASHA WIT, *et al.*,
5 Plaintiffs,

6 v.

7 UNITED BEHAVIORAL HEALTH,
8 Defendant.

Case No. 3:14-CV-02346-JCS
Related Case No. 3:14-CV-05337-JCS

~~[PROPOSED]~~ FINAL JUDGMENT

9 GARY ALEXANDER, *et al.*,
10 Plaintiffs,

11 v.

12 UNITED BEHAVIORAL HEALTH,
13 Defendant.

14 The Court having found Defendant United Behavioral Health (“UBH”) liable for
15 breaches of fiduciary duty and violations of the terms of the members of the classes in violation
16 of 29 U.S.C. §§ 1132(a)(1)(B) and (a)(3)(A), ECF No. 418 (Findings of Fact and Conclusions of
17 Law), and entered an order imposing remedies flowing from UBH’s violations, setting out the
18 declaratory and injunctive relief including reprocessing and appointing a special master, ECF
19 No. 491 at 85-99, it is hereby ORDERED, ADJUDGED AND DECREED as follows:

20 **I. DECLARATORY JUDGMENT**

21 1. UBH, which also operates as OptumHealth Behavioral Solutions, administers
22 mental health and substance use disorder benefits for commercial welfare benefit plans. In that
23 capacity, UBH exercises discretion with respect to the administration of benefits, and is a
24 fiduciary with respect to the plans it administers.

25 2. UBH has developed Level of Care Guidelines and Coverage Determination
26 Guidelines (collectively, “Guidelines”) that it uses for making coverage determinations.
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1 3. UBH issued an adverse benefit determination to each class member¹ that was
2 based, in whole or in part, on UBH's Guidelines.

3 4. UBH's Guidelines are not terms of the class members' plans.

4 5. The terms of the plans of each class member of the Wit and Alexander Guidelines
5 Classes required, as one condition of coverage, that services be consistent with generally
6 accepted standards of care. UBH uses its Guidelines to interpret and apply those plan terms, and
7 acts in a fiduciary capacity when it develops, revises and applies its Guidelines.

8 6. The class members had a right, under ERISA and their plans, to have UBH
9 adjudicate whether requested services met that condition according to criteria that were, in fact,
10 consistent with generally accepted standards of care.

11 7. The following standards are generally accepted in the field of mental health and
12 substance use disorder treatment and placement:

13 a. Effective treatment requires treatment of the individual's underlying condition
14 and is not limited to alleviation of the individual's current symptoms.

15 b. Effective treatment requires treatment of co-occurring behavioral health disorders
16 and/or medical conditions in a coordinated manner that considers the interactions
17 of the disorders and conditions and their implications for determining the
18 appropriate level of care.

19 c. Patients should receive treatment for mental health and substance use disorders at
20 the least intensive and restrictive level of care that is safe and effective. Placement
21 in a less restrictive environment is appropriate only if it is likely to be safe and
22 just as effective as treatment at a higher level of care in addressing a patient's
23 overall condition, including underlying and co-occurring conditions.

24 d. When there is ambiguity as to the appropriate level of care, the practitioner should
25 err on the side of caution by placing the patient in a higher level of care.

26 ¹ The final class definitions for the Wit Guidelines Class, the Alexander Guidelines Class, and
27 the Wit State Mandate Class, as well as the applicable Class Periods, are set forth in the Court's
28 Order on UBH's decertification motion, ECF No. 490. The members of the three classes are
referred to collectively herein as the "class members."

- 1 e. Effective treatment of mental health and substance use disorders includes services
2 needed to maintain functioning or prevent deterioration.
- 3 f. The appropriate duration of treatment for behavioral health disorders is based on
4 the individual needs of the patient; there is no specific limit on the duration of
5 such treatment.
- 6 g. The unique needs of children and adolescents must be taken into account when
7 making level of care decisions involving their treatment for mental health or
8 substance use disorders.
- 9 h. The determination of the appropriate level of care for patients with mental health
10 and/or substance use disorders should be made on the basis of a multidimensional
11 assessment that takes into account a wide variety of information about the patient.
- 12 8. The UBH Guidelines at issue in this case – *i.e.*, those listed in Trial Exhibit 880
13 (attached hereto as Exhibit A) – are significantly and pervasively more restrictive than generally
14 accepted standards of care, in the following ways:
- 15 a. UBH’s Guidelines place excessive emphasis on acuity and crisis stabilization,
16 while ignoring the effective treatment of members’ underlying conditions.
- 17 b. UBH’s Guidelines fail to address the effective treatment of co-occurring
18 conditions.
- 19 c. UBH’s Guidelines fail to err on the side of caution in favor of higher levels of
20 care when there is ambiguity and, instead, push patients to lower levels of care
21 where such a transition is safe, even if the lower level of care is likely to be less
22 effective.
- 23 d. UBH’s Guidelines preclude coverage for treatment to maintain level of function.
- 24 e. UBH’s Guidelines from 2014 to 2017 preclude coverage based on lack of
25 motivation.
- 26 f. UBH’s Guidelines fail to address the unique needs of children and adolescents.
- 27 g. UBH’s Guidelines use an overly broad definition of “custodial care,” coupled
28 with an overly narrow definition of “active” treatment and “improvement.”

1 h. UBH's Guidelines impose mandatory prerequisites for coverage rather than
2 determining the appropriate level of care based on a multidimensional approach.

3 9. For these reasons, as to each member of the Wit Guideline Class, each and every
4 adverse benefit determination made by UBH based in whole or in part on any of the Guidelines
5 listed in Exhibit A between May 22, 2011 and June 1, 2017, was wrongful and made in violation
6 of plan terms and ERISA.

7 10. For these reasons, as to each member of the Alexander Guideline Class, each and
8 every adverse benefit determination made by UBH based in whole or in part on any of the
9 Guidelines listed in Exhibit A between December 4, 2011 and June 1, 2017, was wrongful and
10 made in violation of plan terms and ERISA.

11 11. The UBH Guidelines at issue in this case also deviate from the ASAM Criteria,
12 published by the American Society for Addiction Medicine, in a multitude of ways, including by
13 failing to provide for coverage of residential treatment at levels 3.1, 3.3 and 3.5.

14 12. Since August 18, 2011, Illinois law has required insurers to use the ASAM
15 Criteria to make coverage determinations for treatment of substance abuse disorders.

16 13. UBH violated Illinois law between August 18, 2011 and January 1, 2016 because
17 UBH did not use the ASAM Criteria to administer claims for substance use disorder treatment
18 and UBH's own Guidelines were not consistent with the ASAM Criteria.

19 14. Since October 1, 2013, Connecticut law has required insurers to use the ASAM
20 Criteria, or a set of criteria an insurer "demonstrates to the Insurance Department is consistent
21 with" the ASAM Criteria.

22 15. UBH violated Connecticut law throughout the Class Period because UBH did not
23 use the ASAM Criteria to administer claims for substance use disorder treatment and UBH's
24 own Guidelines were not consistent with the ASAM Criteria.

25 16. The "crosswalks" UBH submitted to Connecticut regulators in 2013 and 2015 to
26 demonstrate its Guidelines were consistent with the ASAM Criteria materially mischaracterized
27 the UBH Guidelines by stating that "the criteria from all 3 ASAM levels [3.1, 3.3 and 3.5] are
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1 included in the admission criteria for Reside[n]tial Rehabilitation.” At the time these statements
2 were made to Connecticut regulators, UBH knew them to be false.

3 17. Since July 10, 2015, Rhode Island law has required that payors including insurers
4 “rely upon the criteria of the American Society of Addiction Medicine when developing
5 coverage for levels of care for substance-use disorder treatment.” 27 R.I. Gen. Laws § 27-38.2-
6 1(g) (2015); 2015 R.I. Pub. Laws 15-236 (15-H 5837A).

7 18. UBH violated Rhode Island law from July 10, 2015 through the end of the Class
8 Period because UBH did not use the ASAM Criteria to administer claims for substance use
9 disorder treatment and UBH’s Guidelines were not “consistent with” the ASAM Criteria.

10 19. Throughout the entire Class Period, Texas Law required insurance companies to
11 apply criteria issued by the Texas Department of Insurance (“TDI Criteria”) in making medical
12 necessity determinations with respect to claims for substance use disorder treatment when an
13 individual’s plan was governed by Texas law and treatment was sought from a provider or
14 facility in Texas. 28 Tex. Admin. Code § 3.8011 (1991).

15 20. UBH violated Texas law during the Class Period by applying its own Guidelines
16 rather than applying solely the TDI Criteria to claims covered by the Texas statute.

17 21. The Wit State Mandate Class members’ plans and applicable state law required
18 UBH to use specific state-mandated criteria to make medical necessity determinations. These
19 class members, therefore, had a right, under ERISA and their plans, to have UBH adjudicate
20 their claims solely according to the state-mandated criteria. UBH did not do so, thereby violating
21 ERISA and these class members’ plans.

22 22. As to the Wit State Mandate Class, each and every adverse benefit determination
23 made by UBH based in whole or in part on the Guidelines listed in Exhibit A within the
24 following periods was wrongful and made in violation of plan terms, ERISA, and the applicable
25 state law:

- 26 a. Between May 22, 2011 and June 1, 2017 for plans governed by Texas law;
27 b. Between August 18, 2011 and January 1, 2016 for plans governed by Illinois law;
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1 c. Between October 1, 2013 and June 1, 2017 for plans governed by Connecticut
2 law; and

3 d. Between July 10, 2015 and June 1, 2017 for plans governed by Rhode Island law.

4 23. UBH's Guideline development process was tainted by UBH's financial interests
5 throughout the Class Period.

6 24. UBH is a fiduciary within the meaning of ERISA, 29 U.S.C. § 1001 *et seq.*

7 25. As a fiduciary, UBH owes fiduciary duties to the participants and beneficiaries of
8 the plans UBH administers, including the duties set forth in 29 U.S.C § 1104(a)(1).

9 26. For all the reasons stated above and in the Court's Findings of Fact and
10 Conclusions of Law, ECF No. 418 ("FFCL"), UBH breached its fiduciary duties to the class
11 members, including its obligations under 29 U.S.C. §§ 1104(a)(1)(A), (a)(1)(B), and (a)(1)(D),
12 when it developed, revised and applied the Guidelines.

13 **II. REMAND TO THE ADMINISTRATOR FOR REPROCESSING**

14 It is hereby ORDERED that each and every adverse benefit determination meeting the
15 criteria for Class Membership in this case (each one, a "Remanded ABD") is hereby remanded to
16 UBH to be reprocessed in a manner consistent with the Court's FFCL, Remedies Order and this
17 Judgment. Such reprocessing shall be completed as follows, all at UBH's expense:

18 **A. Completion of the Administrative Record**

19 1. Class members and/or their healthcare providers may (but are not required to)
20 submit to UBH additional evidence relevant to the services for which coverage was denied in the
21 Remanded ABD, including but not limited to (i) medical records and/or other clinical
22 information concerning the request for coverage at the proposed level of care; and/or (ii) records
23 substantiating services received at the requested level of care after a pre-service or concurrent
24 denial, including any bills related thereto, whether or not the class member submitted a post-
25 service claim to UBH for such services.

26 2. UBH shall set up user-friendly processes to enable class members to submit such
27 additional information via mail; fax; and/or an online web portal, at the class member's option,
28 and to ensure that any such information is promptly added to the class member's administrative

1 record. The Special Master (discussed in § IV below) shall review and approve the processes to
2 ensure their adequacy for this purpose.

3 3. A class member's submission shall be deemed timely if it is postmarked or
4 received by UBH within 90 days after the Class Administrator sends the Class Notice pursuant to
5 § II above. The Special Master shall have the discretion to extend this time period for all class
6 members, for a subset of class members, or for a particular class member.

7 4. If a class member's administrative record remains incomplete after the close of
8 this period, such that UBH is unable to make specific findings applying the Court-approved
9 criteria, UBH shall not issue an adverse benefit determination unless UBH first makes a good-
10 faith effort to contact the provider listed on the relevant request for coverage and attempts to
11 collect the additional necessary clinical information from the provider. The Special Master shall
12 determine what steps are sufficient to constitute a good-faith effort for these purposes.

13 **B. Criteria to be Applied Upon Remand**

14 On remand, UBH will re-evaluate only whether the proposed treatment at the requested
15 level of care was consistent with generally accepted standards of care. In order to make this
16 redetermination, UBH will conduct a full and fair review of all of the available clinical
17 information for all services received by the class member at the requested level of care,
18 regardless of whether the member submitted a post-service claim for such services prior to this
19 Judgment, and will apply the following criteria, which the Court has found are consistent with
20 generally accepted standards of care:

21 1. To re-evaluate requests for coverage for the treatment of class members with a
22 primary diagnosis of substance use disorder, UBH will apply the most recent edition of the
23 ASAM Criteria, the 2013 edition of which was admitted at trial as Trial Exhibit 662. When re-
24 evaluating requests for residential treatment of a substance use disorder, UBH shall approve
25 coverage if the member qualified for services at any of the sub-levels identified in the ASAM
26 Criteria (*i.e.*, Levels 3.1, 3.3, 3.5, and 3.7).

27 2. To re-evaluate requests for coverage of treatment for class members who were
28 adults at the time of the relevant treatment with a primary diagnosis of a mental health condition,

1 UBH will apply the latest edition of the LOCUS, the 2010 edition of which was admitted at trial
2 as Trial Exhibit 653.

3 3. To re-evaluate requests for coverage of treatment for class members who were
4 between the ages of 6 and 18 at the time of the relevant treatment and had a primary diagnosis of
5 a mental health condition, UBH will apply the most recent edition of the CASII, the 2014 edition
6 of which was admitted at trial as Trial Exhibit 645.

7 4. To re-evaluate requests for coverage of treatment for class members who were
8 ages 5 or under at the time of the relevant treatment and had a primary diagnosis of a mental
9 health condition, UBH will apply the most recent edition of the ECSII.

10 **C. No Retaliation**

11 In reprocessing the class members' requests for coverage on remand, UBH is prohibited
12 from: (i) denying a request on any ground other than the lack of medical necessity or the clinical
13 inappropriateness of the services, as determined according to the criteria required by the Court in
14 § II.B of this Judgment, except exclusions or limitations UBH cited in its original written
15 notification of denial to the class member; (ii) re-evaluating any coverage determination made
16 with respect to a class member other than the Remanded ABD; and (iii) seeking to recoup or
17 offset, from the class member or their provider(s), any amounts UBH pays pursuant to this
18 Judgment, including by withholding or reducing any benefits authorized in connection with any
19 subsequent request for coverage by the class member.

20 **D. Procedures Following Re-Determination**

21 Following a full and fair review, UBH shall issue its benefit determination on remand, as
22 follows:

23 **1. Procedures in the Event of a Denial or Partial Denial on Remand**

24 If, following a full and fair review of all of the available information and
25 application of the relevant criteria under § II.B of this Judgment, UBH determines in
26 good faith that coverage is not available to the class member in whole or in part, UBH
27 will issue an adverse benefit determination that complies strictly with 29 C.F.R. §
28 2560.503-1.

- 1 a. UBH’s determination shall be considered an initial adverse benefit determination
2 for purposes of ERISA and its implementing regulations, including 29 C.F.R. §
3 2560.503-1, and the class member shall be entitled to avail himself or herself of
4 all rights to administrative appeal, including external appeal, available pursuant to
5 ERISA and the class member’s plan and/or any causes of action arising from such
6 adverse benefit determination.
- 7 b. UBH’s written notice of the determination shall include specific and detailed
8 findings supporting the determination, including citations to the clinical evidence
9 and the specific provisions of the applicable criteria on which UBH’s conclusion
10 is based. The notice shall also include specific instructions for appealing the
11 determination, including, where applicable, instructions on how to obtain an
12 external appeal, and shall inform the class member of his or her right to file an
13 ERISA lawsuit challenging the new determination after the administrative appeals
14 are exhausted.

15 **2. Procedures in the Event of Approval of Coverage on Remand**

16 If, following a full and fair review of all of the available information and
17 application of the relevant criteria under § II.B of this Judgment, UBH determines in
18 good faith that the requested services at the requested level of care were consistent with
19 generally accepted standards of care and therefore coverage should be approved on
20 remand, in whole or in part:

- 21 a. UBH will notify the class member of its determination.
- 22 b. UBH’s written notice of the determination shall include specific and detailed
23 findings supporting the determination, including citations to the clinical evidence
24 and the specific provisions of the applicable criteria on which UBH’s conclusion
25 is based.
- 26 c. UBH will then calculate the amount of benefits the class member is owed under
27 the terms of the applicable plan in effect at the time the request for coverage was
28 originally received.

- 1 i. In calculating the amount of benefits owed, UBH shall include benefits
2 owed for all services the class member received at the level of care at issue
3 in the Remanded ABD that UBH finds are consistent with the criteria in §
4 II.B, above, regardless of whether the class member submitted a post-
5 service claim for them prior to this Judgment. UBH is not required to
6 cause benefits to be paid for services the class member received at a level
7 of care that is different than the one at issue in the Remanded ABD.
- 8 ii. In calculating the amount of benefits owed, UBH shall apply the financial
9 terms contained in the class member's plan in effect as of the date the
10 Remanded ABD was issued, including deductibles, out-of-pocket
11 maximums, co-pays or coinsurance, coordination of benefits and
12 subrogation. For in-network claims, UBH shall apply the applicable
13 contracted provider reimbursement rates in effect as of the date of the
14 Remanded ABD. If, prior to this Judgment, a class member otherwise met
15 his or her deductible or out-of-pocket maximum for the plan or calendar
16 year(s) at issue, UBH shall deem the deductible or maximum to have been
17 met for purposes of calculating the amount of benefits owed.
- 18 iii. UBH shall pay to the class member the calculated benefit amount, plus
19 interest pursuant to § II.E of this Judgment, within 30 days after UBH
20 adjudicates the claim. If UBH's records reflect that the class member
21 assigned the benefits to another recipient, including through a direction to
22 pay a provider, UBH shall make the benefit payment in accordance with
23 its usual policies and practice relating to such assignments and/or
24 directions. Where the benefit payment is made, in whole or in part, to one
25 or more assignees, the recipient(s) of the payment shall also receive their
26 proportionate share of the interest provided for under § II.E.
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1 d. UBH may not offset against the benefits calculated pursuant to § II.D.2.c of this
2 Judgment any benefits previously paid to the class member or his or her provider
3 in connection with other services requested by the member.

4 **E. Interest**

5 UBH shall pay interest on all amounts it is required to pay pursuant to this Judgment,
6 calculated at the rate provided under 28 U.S.C. § 1961 (the weekly average 1-year constant
7 maturity Treasury yield, as published by the Board of Governors of the Federal Reserve System,
8 for the calendar week preceding the date of the judgment, compounded annually) from the date
9 on which the bill for services from the provider who provided services to the class member
10 initially came due until the date on which payment of benefits is made by UBH.

11 **F. Certification and Reporting**

12 Within 60 days after completing the reprocessing procedures described above for all
13 class members, UBH shall submit to the Court the following: (1) a certification that it has
14 reprocessed all Remanded ABDs according to the requirements set by the Court; and (2) a report
15 on the outcome of reprocessing, including, at a minimum, the following information: (a) the total
16 number of requests for coverage, by level of care, that were reprocessed pursuant to this
17 Judgment; (b) the number of class members, by level of care, whose requests for coverage were
18 denied on remand; (c) the number of class members, by level of care, whose adverse benefit
19 determinations were reversed in whole or in part on remand (including how many were reversed
20 in whole, and how many in part); and (d) the number of class members who received a benefit
21 payment following reprocessing, and the lowest, highest, median, and average amount of the
22 payments, by level of care.

23 **III. INJUNCTIVE RELIEF**

24 **A. UBH is hereby permanently ENJOINED from:**

25 1. Using any of the Guidelines listed on Exhibit A when making coverage-related
26 determinations as to whether services are consistent with generally accepted standards of care.

27 2. Using, when making coverage-related determinations as to whether services are
28 consistent with generally accepted standards of care, any Guidelines that include, alone or in

1 combination, as a mandatory prerequisite for coverage, any criterion listed on the Consolidated
2 Claims Chart filed in this matter on February 12, 2018 (ECF No. 404-2), regardless of whether
3 any such criterion is expressed in facially different language, except that UBH is not enjoined
4 from using the following criteria: Common Criteria ¶¶ 4-5 from UBH's 2011 and 2012 Level of
5 Care Guidelines; Common Criteria ¶ 6 from UBH's 2013 Level of Care Guidelines; and
6 Continued Service Criterion ¶ 5 from UBH's 2012 and 2013 Level of Care Guidelines.

7 **B. UBH is hereby ORDERED to:**

8 1. Henceforth, and for a period of ten (10) years from the date of this Judgment –
9 unless this term is modified by the Court following discovery and briefing at the conclusion of
10 the first five years this injunction is in effect, make any and all coverage-related determinations
11 under ERISA-governed plans about whether services are consistent with generally accepted
12 standards of care according to criteria that are consistent with generally accepted standards of
13 care, as established in this Court's FFCL, and the requirements of any applicable state law.

14 a. Unless applicable state law requires UBH to use different criteria, UBH shall use
15 the following criteria:

- 16 i. With respect to requests for coverage for the treatment of class members
17 with a primary diagnosis of substance use disorder, the most recent edition
18 of the ASAM Criteria. Faithful application of the ASAM Criteria to
19 requests for coverage of residential treatment requires consideration of the
20 criteria applicable to each of the sub-levels of residential treatment
21 identified in the ASAM Criteria (*i.e.*, Levels 3.1, 3.3, 3.5, and 3.7).
- 22 ii. With respect to requests for coverage of treatment of adults with a primary
23 diagnosis of a mental health condition, the most recent edition of LOCUS
24 published by the American Association of Community Psychiatrists.
- 25 iii. With respect to requests for coverage of treatment of children and
26 adolescents (ages 6 through 18) who have a primary diagnosis of a mental
27 health condition, the most recent edition of the CASII, published by the
28 American Academy of Child and Adolescent Psychiatrists.

1 iv. With respect to requests for coverage of treatment of children and
2 adolescents (ages 5 or younger) who have a primary diagnosis of a mental
3 health condition, the most recent edition of the ECSII, published by the
4 American Academy of Child and Adolescent Psychiatrists.

5 b. If applicable state law mandates the use of different criteria from those set forth
6 above, UBH shall faithfully apply the state-mandated criteria.

7 2. With the oversight and approval of the Special Master, UBH shall promptly
8 develop and implement a program for training UBH's Care Advocates, Peer Reviewers, external
9 clinical consultants, and any other personnel who make or have input into clinical coverage
10 determinations, on the faithful application of the coverage criteria prescribed in § II.B, above.

11 a. UBH shall complete its training of any such personnel who will make or have
12 input into reprocessing of coverage determinations on remand (see § II, above), to
13 the satisfaction of the Special Master, within 60 days following the Court's
14 appointment of the Special Master. The Special Master shall report to the Court
15 when this initial phase of training is complete.

16 b. UBH shall complete its training of any other personnel covered by this
17 subsection, to the satisfaction of the Special Master, within 90 days following the
18 Court's appointment of the Special Master. The Special Master shall report to the
19 Court when this second phase of training is complete.

20 c. UBH's training program shall include plans for training new personnel as they
21 may be hired in the future and for refreshing the training of existing employees on
22 at least an annual basis. UBH shall be required to obtain the approval of the
23 Special Master on the design of UBH's ongoing training program within 90 days
24 following the Court's appointment of the Special Master.

25 3. With the oversight of the Special Master, UBH shall promptly develop and
26 implement a program, to train UBH's Care Advocates, Peer Reviewers, external clinical
27 consultants, any other personnel who make or have input into coverage determinations, and all
28 senior and executive management on UBH's duties under ERISA, including what it means to be

1 an ERISA fiduciary and to administer benefit plans solely in the interests of participants and
2 beneficiaries, as well as the need to comply with plan terms.

- 3 a. UBH shall complete its training of any such personnel who will make or have
4 input into reprocessing of coverage determinations on remand (see § II, above), to
5 the satisfaction of the Special Master, within 60 days following the Court's
6 appointment of the Special Master. The Special Master shall report to the Court
7 when this initial phase of training is complete.
- 8 b. UBH shall complete its training of any other personnel covered by this
9 subsection, to the satisfaction of the Special Master, within 90 days following the
10 Court's appointment of the Special Master. The Special Master shall report to the
11 Court when this second phase of training is complete.
- 12 c. UBH's training program shall include plans for training new personnel as they
13 may be hired in the future and for refreshing the training of existing employees on
14 at least an annual basis. UBH shall be required to obtain the approval of the
15 Special Master on the design of UBH's ongoing training program within 90 days
16 following the Court's appointment of the Special Master.

17 **IV. SPECIAL MASTER**

18 As set forth in the Court's Order Appointing A Special Master, ECF No. 528, which is
19 incorporated herein by reference, the Court has appointed Douglas R. Young as Special Master
20 to serve as an independent monitor to oversee and verify UBH's compliance with this Judgment,
21 including UBH's faithful implementation of the training program, disclosures and reprocessing
22 procedures ordered herein.

23 **V. INTERIM AND FINAL DEADLINES**

24 1. UBH's reprocessing of the Remanded ABDs shall begin upon the earlier of (a)
25 UBH's receipt of additional information pursuant to Section II.A, above; or (b) the conclusion of
26 the 90-day period for submitting such information, as specified in § II.A.3, above.

27 2. UBH shall complete its reprocessing of the class members' requests for coverage
28 within one (1) year of the earliest date on which the notices required under this Court's Remedies

1 Order (ECF No. 491 at 90:1-14) are sent to the class. The Special Master shall have the authority
2 to extend this deadline either upon the request of a class member or, following a showing of
3 good cause, upon UBH's request.

4 3. The Special Master shall file a report in the docket for this case every 60 days on
5 his/her activities, including the status of the reprocessing procedures. The Special Master shall
6 have the authority to require UBH to report to the Special Master or to the Court on other issues
7 and at other times, in his/her discretion.

8 **VI. RETENTION OF JURISDICTION**

9 The Court retains jurisdiction over this action for the duration of the injunction, that is,
10 ten years, unless the injunction is terminated sooner pursuant to § III.B.1 of this Judgment.

11 **VII. ATTORNEYS FEES, EXPENSES AND COSTS**

12 If a timely motion is filed pursuant to Fed. R. Civ. P. 54(d)(2) as provided in the Court's
13 order dated November 12, 2020 (ECF No. 495) extending the time until November 24, 2020, the
14 Court will separately consider whether Plaintiffs' counsel should be awarded their reasonable
15 attorneys' fees and the costs and expenses of these consolidated actions.

16
17 **IT IS SO ORDERED.**

18 Dated: February 1, 2021



19 _____
20 The Honorable Joseph C. Spero
21 Chief United States Magistrate Judge

Exhibit A

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14
15 **UNITED STATES DISTRICT COURT**
NORTHERN DISTRICT OF CALIFORNIA
16 **SAN FRANCISCO DIVISION**

17 DAVID AND NATASHA WIT, et al.,
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27 **STIPULATIONS OF FACT**

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA TRIAL EXHIBIT 880 Case Nos. 14-cv-2346-JCS/14-cv-5337-JCS Date Entered _____ By _____ Deputy Clerk
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RECITALS

WHEREAS, on May 8, 2017, the Court ordered the parties to file a “joint case management conference statement with joint stipulations regarding appropriate groupings and which coverage determination guidelines incorporate which level of care guidelines.”

WHEREAS, since then, the parties have met-and-conferred on numerous occasions via written correspondence and telephonic conferences about potential joint stipulations regarding the groupings of UBH’s coverage determination guidelines.

WHEREAS, through stipulations and Court orders, the deadline to file joint stipulations regarding the groupings of coverage determination guidelines has been extended to June 9, 2017.

WHEREAS, Plaintiffs David and Natasha Wit, Brian Muir, Brandt Pfeifer, Lori Flanzraich, Cecilia Holdnak, Gary Alexander, Corinna Klein, and David Haffner (collectively “Plaintiffs”) contend that each “Coverage Determination Guidelines” (or “CDGs”) on Exhibit A incorporates the admission, continued stay and discharge criteria contained in the referenced “Level of Care Guidelines” (or “LOCGs” or “LOCs”), whether by cross-reference, by containing language identical to or substantially similar to the language in a specific Level of Care Guideline, or based on other evidence to be presented at trial.

WHEREAS, Plaintiffs contend that the groupings set forth in Exhibit A will streamline the presentation of evidence at trial because the CDGs are flawed to the same extent and for the same reasons as the LOCGs whose level of care criteria they incorporate, such that, if the Court determines that a referenced LOCG includes level of care criteria that are inconsistent with generally accepted standards of care such that UBH’s use of that LOCG was improper, UBH’s use of a CDG incorporating that LOCG was likewise improper.

WHEREAS, except for Coverage Determination Guidelines that relate specifically to substance use disorders (listed in Group 8, described below), or contain or refer to criteria for determining whether care is “custodial” (listed in Group 9, described below), Plaintiffs challenge the Coverage Determination Guidelines in these cases only to the extent that they incorporate a Level of Care Guideline (whether by cross-reference or otherwise).

WHEREAS, UBH disagrees that the various references to LOCGs in the CDGs that are

1 set forth in paragraphs 20-28, below, and in Exhibit A (a) incorporate the entirety of the
2 referenced LOCG(s) into the CDG such that the LOCG(s) is completely part of the CDG at issue
3 or (b) warrant imposing all the findings about the referenced LOCG(s) onto the CDG.

4 WHEREAS, UBH contends that the groupings set forth in paragraphs 20-28, below, and
5 in Exhibit A are not suitable to try this case on a classwide basis because, among other things,
6 even though portions of LOCGs are incorporated into or referenced in CDGs in varying and
7 differing degrees, there is not complete incorporation of all the terms of a particular LOCG into
8 all of the CDGs that are part of the same group.

9 **STIPULATIONS OF FACT**

10 By and through their undersigned counsel of record, Plaintiffs David and Natasha Wit,
11 Brian Muir, Brandt Pfeifer, Lori Flanzraich, Cecilia Holdnak, Gary Alexander, Corinna Klein,
12 and David Haffner (collectively “Plaintiffs”), and Defendant United Behavioral Health (“UBH”),
13 admit, for the purposes of the above-captioned cases only, the truth of the definitions and facts set
14 forth below.

15 **DEFINITIONS**

16 1. “UBH” means defendant United Behavioral Health, whether or not operating as
17 OptumHealth Behavioral Solutions, along with each of its employees.

18 2. “Plan” means an employer-sponsored health benefit plan governed by ERISA for
19 which UBH is/was responsible for making coverage determinations with respect to Requests for
20 Coverage for mental health or substance use disorder treatment during the Class Period.

21 3. “Request for Coverage” means a request for insurance coverage under a Plan,
22 whether prospective or retrospective, for outpatient, intensive outpatient or residential treatment
23 for mental health conditions or substance use disorders.

24 4. “Clinical Non-Coverage Determination” means any clinical denial of a Request for
25 Coverage, whether or not such denial or determination is/was final.

26 5. “Member” means a Participant in or Beneficiary of a Plan, as defined in 29 U.S.C.
27 § 1002(7) & (8).

28 6. “Peer Reviewer” means a physician or doctoral-level psychologist authorized by

1 UBH to make a Clinical Non-Coverage Determination.

2 7. The word “include” (and any variations thereof) means including, but not limited
3 to.

4 **FACTS**

5 1. Among other things, UBH administers insurance benefits for behavioral health
6 services for various health benefit Plans.

7 2. Behavioral health services include services for the specific diagnosis and treatment
8 of mental health conditions or substance use disorders.

9 3. UBH administers Requests for Coverage on behalf of Members of ERISA-
10 governed health benefit Plans.

11 4. At the time of each named Plaintiff’s Non-Coverage Determination that gives rise
12 to his or her claims in this action, as described in the Complaints, the Plaintiff’s Plan was
13 governed by ERISA.

14 5. The specific terms and conditions of coverage for mental health and substance use
15 disorder treatment administered by UBH are set forth in the Plan term documents for each Plan,
16 including but not limited to the Certificate of Coverage and/or Summary Plan Description.

17 6. UBH has created a set of clinical policies and guidelines, which include but are not
18 limited to its LOCGs and its CDGs which are discussed further in ¶¶ 10-31, below.

19 7. Before UBH issues a Clinical Non-Coverage Determination, it offers a peer review
20 with a Peer Reviewer.

21 8. If the Peer Reviewer makes a Clinical Non-Coverage Determination, UBH
22 provides written notification of the determination to the Member and the provider.

23 9. UBH’s Peer Reviewers include their notes relating to each Clinical Non-Coverage
24 Determination, the facts considered in making the determination, and the rationale for their
25 decision, in electronic databases, including the LINX database and the PULSE database. The
26 LINX database and the PULSE database are sources for some of the clinical information that is in
27 the ARTT database.

28 10. ~~The document produced by UBH with the bates number UBHWIT0014654 is a~~

Trial Exhibit 1

1 true and correct copy of the Level of Care Guidelines in effect from March 28, 2011 to March 25,
2 2012 (hereafter, the “2011 Level of Care Guidelines”).

3 ~~The document produced by UBH with the bates number UBHWIT0000001~~ is a
4 true and correct copy of the Level of Care Guidelines in effect from March 26, 2012 to March 18,
5 2013 (hereafter, the “2012 Level of Care Guidelines”).

Trial Exhibit 2

6 ~~The document produced by UBH with the bates number UBHWIT0000082~~ is a
7 true and correct copy of the Level of Care Guidelines in effect from March 19, 2013 to January
8 20, 2014 (hereafter, the “2013 Level of Care Guidelines”).

Trial Exhibit 3

9 ~~The document produced by UBH with the bates number UBHWIT0000170~~ is a
10 true and correct copy of the Level of Care Guidelines in effect from January 21, 2014 to January
11 19, 2015 (hereafter, the “2014 Level of Care Guidelines”).

Trial Exhibit 4

12 ~~The document produced by UBH with the bates number UBHWIT0354266~~ is a
13 true and correct copy of the Level of Care Guidelines in effect from January 20, 2015 to January
14 18, 2016 (hereafter, the “2015 Level of Care Guidelines”).

Trial Exhibit 5

15 ~~The document produced by UBH with the bates number UBHWIT0353748~~ is a
16 true and correct copy of the Level of Care Guidelines in effect from January 19, 2016 to June 20,
17 2016 (hereafter, the “January 2016 Level of Care Guidelines”).

Trial Exhibit 6

18 ~~The document produced by UBH with the bates number UBHWIT0656347~~ is a
19 true and correct copy of the Level of Care Guidelines in effect from June 20, 2016 to March 12,
20 2017 (hereafter, the “June 2016 Level of Care Guidelines”).

Trial Exhibit 7

21 ~~The document attached as Exhibit B~~ is a true and correct copy of the Level of Care
22 Guidelines in effect from March 12, 2017 to the present (hereafter, the “2017 Level of Care
23 Guidelines”).

Trial Exhibit 8

24 ~~The documents attached as Exhibits C through H~~ are true and correct copies of the
25 following Coverage Determination Guidelines:

Trial Exhibits 218, 219, 221, 222, 223, and 224

- 26 a. ~~Exhibit C~~ – Anxiety Disorders (effective February 1, 2017 to the present);
- 27 b. ~~Exhibit D~~ – Disruptive, Impulse-Control & Conduct Disorders (effective February
28 1, 2017 to the present);

Trial Exhibit 218

Trial Exhibit 219

Trial Exhibit 222

1 c. ~~Exhibit E~~ – Bipolar and Related Disorders (effective May 1, 2017 to the present);

Trial Exhibit 221

2 d. ~~Exhibit F~~ – Custodial care (inpatient & residential services) (effective March 1,
3 2017 to the present);

Trial Exhibit 223

4 e. ~~Exhibit G~~ – Other Conditions That May Be a Focus of Clinical Attention
5 (Previously V-Code Conditions) (effective May 1, 2017 to the present);

Trial Exhibit 224

6 f. ~~Exhibit H~~ – Trauma- and Stressor-Related Disorders (effective May 1, 2017 to the
7 present).

8 19. The chart attached hereto as Exhibit A is a list of all Level of Care Guidelines and
9 certain Coverage Determination Guidelines in effect from May 22, 2011 through the present, each
10 of which was produced by UBH with the bates numbers listed on the chart (with the exception of
11 the 2017 Level of Care Guidelines and any CDGs listed with an end date of “Present,” which
12 Plaintiffs have obtained from UBH’s website, www.providerexpress.com). Each document listed
13 on the chart is a true and correct copy of the specified Level of Care Guidelines or Coverage
14 Determination Guideline. The dates listed in the columns headed “Start Date” and “End Date”
15 state the dates on which each listed guideline was in effect. Plaintiffs confirm that Exhibit A
16 contains a complete list of all guidelines at issue in these related actions.

17 20. The guidelines in Plaintiffs’ proposed “Group 1” are indicated on Exhibit A and
18 are also listed in the Chart attached hereto as Exhibit A.1. The 2011 Level of Care Guidelines
19 were in effect for at least some of the same period as the Coverage Determination Guidelines
20 listed in Exhibit A.1, as reflected in the “Start Date” and “End Date” columns of the chart.

21 21. The guidelines in Plaintiffs’ proposed “Group 2” are indicated on Exhibit A and
22 are also listed in the Chart attached hereto as Exhibit A.2. The 2012 Level of Care Guidelines (a)
23 were in effect for at least some of the same period as the Coverage Determination Guidelines
24 listed in Exhibit A.2, as reflected in the “Start Date” and “End Date” columns of the chart, and/or
25 (b) were specifically referenced in those Coverage Determination Guidelines. Some Coverage
26 Determination Guidelines that are in “Group 2” were adopted after the 2012 Level of Care
27 Guidelines were no longer in effect. Those Coverage Determination Guidelines are in “Group 2”
28 based only on a reference or citation to the 2012 Level of Care Guidelines and are shown in the

1 attached Exhibit I, which duplicates information from Exhibit A for the Coverage Determination
2 Guidelines at issue, by green highlighting.

3 22. The guidelines in Plaintiffs' proposed "Group 3" are indicated on Exhibit A and
4 are also listed in the Chart attached hereto as Exhibit A.3. The 2013 Level of Care Guidelines (a)
5 were in effect for at least some of the same period as the Coverage Determination Guidelines
6 listed in Exhibit A.3, as reflected in the "Start Date" and "End Date" columns of the chart, and/or
7 (b) were specifically referenced in those Coverage Determination Guidelines. Some Coverage
8 Determination Guidelines that are in "Group 3" were adopted after the 2013 Level of Care
9 Guidelines no longer in effect. Those Coverage Determination Guidelines are in "Group 3"
10 based only on a reference or citation to the 2013 Level of Care Guidelines and are shown in
11 Exhibit I by green highlighting.

12 23. The guidelines in Plaintiffs' proposed "Group 4" are indicated on Exhibit A and
13 are also listed in the Chart attached hereto as Exhibit A.4. The 2014 Level of Care Guidelines (a)
14 were in effect for at least some of the same period as the Coverage Determination Guidelines
15 listed in Exhibit A.4, as reflected in the "Start Date" and "End Date" columns of the chart, and/or
16 (b) were specifically referenced in those Coverage Determination Guidelines. Some Coverage
17 Determination Guidelines that are in "Group 4" were adopted after the 2014 Level of Care
18 Guidelines were no longer in effect. Those Coverage Determination Guidelines are in "Group 4"
19 based only on a reference or citation to the 2014 Level of Care Guidelines and are shown in
20 Exhibit I by green highlighting.

21 24. The guidelines in Plaintiffs' proposed "Group 5" are indicated on Exhibit A and
22 are also listed in the Chart attached hereto as Exhibit A.5. The 2015 Level of Care Guidelines (a)
23 were in effect for at least some of the same period as the Coverage Determination Guidelines
24 listed in Exhibit A.5, as reflected in the "Start Date" and "End Date" columns of the chart, and/or
25 (b) were specifically referenced in those Coverage Determination Guidelines. Some Coverage
26 Determination Guidelines that are in "Group 5" were adopted after the 2015 Level of Care
27 Guidelines were no longer in effect. Those Coverage Determination Guidelines are in "Group 5"
28 based only on a reference or citation to the 2015 Level of Care Guidelines and are shown in

1 Exhibit I by green highlighting.

2 25. The guidelines in Plaintiffs' proposed "Group 6" are indicated on Exhibit A and
3 are also listed in the Chart attached hereto as Exhibit A.6. The 2016 Level of Care Guidelines (a)
4 were in effect for at least some of the same period as the Coverage Determination Guidelines
5 listed in Exhibit A.6, as reflected in the "Start Date" and "End Date" columns of the chart, and/or
6 (b) were specifically referenced in those Coverage Determination Guidelines. Some Coverage
7 Determination Guidelines that are in "Group 6" were adopted after the 2016 Level of Care
8 Guidelines were no longer in effect. Those Coverage Determination Guidelines are in "Group 6"
9 based only on a reference or citation to the 2016 Level of Care Guidelines and are shown in
10 Exhibit I by green highlighting.

11 26. The guidelines in Plaintiffs' proposed "Group 7" are indicated on Exhibit A and
12 are also listed in the Chart attached hereto as Exhibit A.7. The 2017 Level of Care Guidelines
13 were in effect for at least some of the same period as the Coverage Determination Guidelines
14 listed in Exhibit A.7, as reflected in the "Start Date" and "End Date" columns of the chart.

15 27. The chart attached hereto as Exhibit A.8, titled "Group 8," lists all UBH Level of
16 Care Guidelines and Coverage Determination Guidelines in effect at any point from May 22,
17 2011 through June 1, 2017 that contain or refer to level of care criteria for the treatment of
18 substance use disorders.

19 28. The chart attached hereto as Exhibit A.9, titled "Group 9," lists all UBH Level of
20 Care Guidelines and Coverage Determination Guidelines in effect at any point from May 22,
21 2011 through June 1, 2017 that contain or refer to criteria for determining whether care is
22 "custodial."

23 29. In addition to being in effect for at least some of the same period as the Level of
24 Care Guideline with the corresponding "Group" number(s) (as set forth in ¶¶ 19-27, above), each
25 of the Coverage Determination Guidelines listed in Exhibit A contains one or more references to
26 one or more Level of Care Guidelines. The categories of reference language used in each CDG
27 are indicated in Columns O through V of Exhibit A.

28 30. Where indicated by an "X", the specified CDG contains the identified category of

1 reference language, as follows:

- 2 a. An “X” in the column titled “LOCG Reference A” means that the Coverage
3 Determination Guideline contains a reference to a Plan exclusion for services that
4 are “not consistent with . . . [UBH’s] level of care guidelines as modified from
5 time to time” or an exclusion for services that is similar to it.
- 6 b. An “X” in the column titled “LOCG Reference B” means that the Coverage
7 Determination Guideline contains the following language or language similar to it:
8 UBH “maintains that treatment . . . should be consistent with its level of care
9 guidelines”
- 10 c. An “X” in the column titled “LOCG Reference C” means that the Coverage
11 Determination Guideline contains the following language or language similar to it:
12 UBH “maintains clinical protocols that include the Level of Care Guidelines which
13 describe the scientific evidence, prevailing medical standards and clinical
14 guidelines supporting our determinations regarding treatment...[that are]
15 available...upon request.”
- 16 d. An “X” in the column titled “LOCG Reference D” means that the Coverage
17 Determination Guideline contains the following language or language similar to it:
18 UBH “maintains clinical protocols that describe the scientific evidence, prevailing
19 medical standards and clinical guidelines supporting our determinations regarding
20 [treatment/specific services]...[that are] available...upon request,” but this
21 language does not include the words, “Level of Care Guidelines.”
- 22 e. An “X” in the column titled “LOCG Reference E” means that the Coverage
23 Determination Guideline cites a Level of Care Guideline as support in a specific
24 paragraph or paragraphs.
- 25 f. An “X” in the column titled “LOCG Reference F” means that the Coverage
26 Determination Guideline contains language that is similar to the “Common
27 Criteria” and/or language relating to various levels of care from a specific Level of
28 Care Guideline.

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- g. An “X” in the column titled “LOCG Reference G” means that the Coverage Determination Guideline contains all of the provisions of the “Common Criteria and Clinical Best Practices for All Levels of Care” section of UBH’s 2015 and 2016 Level of Care Guidelines.
- h. An “X” in the column titled “LOCG Reference H” means that, among other language, the Coverage Determination Guideline includes a section entitled, “Level of Care Guidelines,” which states:

“Optum/ OptumHealthBehavioral Solutions of California Level of Care Guidelines are available at: <https://www.providerexpress.com/content/opeprovexpr/us/en/clinical-resources/guidelines-policies/locg.html>”

The Level of Care Guidelines are a set of objective and evidence-based behavioral health guidelines used to standardize coverage determinations, promote evidence-based practices, and support members’ recovery, resiliency, and wellbeing.”

In the version of each such Coverage Determination Guideline available on UBH’s website, the citation in blue, above, operates as a hyperlink to the 2017 Level of Care Guidelines.

31. The LOCGs and CDGs identified in paragraphs 10-18, above, and/or on Exhibit A are admissible into evidence at the trial of the above-captioned cases.

Dated: June 9, 2017

/s/ Caroline Reynolds
On Behalf of Plaintiffs

/s/ Nathaniel Bualat
On Behalf of Defendant
United Behavioral Health

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FILER'S ATTESTATION

Pursuant to Civil Local Rule 5-1(i)(3) regarding signatures, I attest that concurrence in the filing of this document has been obtained from the other signatories.

Dated: June 9, 2017

/s/ Caroline Reynolds
Caroline Reynolds

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)										Level of Care Guideline References						
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H
9	UBHWIT0009571	Outpatient Treatment of Obsessive Compulsive Disorder	June 1, 2010	February 1, 2012	X												X				
10	UBHWIT0009652	Custodial Care and Inpatient Services	August 1, 2010	December 1, 2011	X												X				
11	UBHWIT0011205	Residential Rehabilitation for Substance Use Disorders	August 1, 2010	December 1, 2011	X												X				
12	UBHWIT0010945	Residential Treatment Center for Major Depressive Disorder	September 1, 2010	April 17, 2012	X	X											X	X			
13	UBHWIT0011023	Intensive Outpatient Program for Major Depressive Disorder	October 1, 2010	April 3, 2012	X	X											X	X			
14	UBHWIT0009601	Outpatient Treatment for Major Depressive Disorder (MDD)	October 1, 2010	April 3, 2012	X	X											X	X			
15	UBHWIT0010940	Conduct Disorder	October 1, 2010	April 17, 2012	X	X											X	X			
16	UBHWIT0011187	Personality Disorders	October 1, 2010	April 17, 2012	X	X											X	X			
17	UBHWIT0010966	Extended Outpatient Treatment Visits	November 1, 2010	May 1, 2012	X	X											X	X			
18	UBHWIT0011036	Learning Disorders	November 1, 2010	May 1, 2012	X	X											X	X			
19	UBHWIT0011057	Outpatient Treatment of Anorexia Nervosa	November 1, 2010	November 1, 2012	X	X											X	X			
20	UBHWIT0010984	Intensive Outpatient Program for Anorexia Nervosa	November 1, 2010	December 1, 2012	X	X											X	X			
21	UBHWIT0010909	Residential Treatment Center for Anorexia Nervosa	November 1, 2010	December 1, 2012	X	X											X	X			
22	UBHWIT0011041	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	November 1, 2010	July 1, 2011	X												X	X			
23	UBHWIT0011240	Motor Skills Disorder	December 1, 2010	May 1, 2012	X	X											X	X			
24	UBHWIT0011631	Residential Treatment of Bipolar Disorder	January 1, 2011	June 1, 2012	X	X											X	X			
25	UBHWIT0011653	Intensive Outpatient Treatment of Bipolar Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
26	UBHWIT0011434	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
27	UBHWIT0011722	Outpatient Treatment of Generalized Anxiety Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
28	UBHWIT0011380	Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
29	UBHWIT0011416	Residential Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
30	UBHWIT0001234	Outpatient Treatment of Bipolar Disorder	January 1, 2011	August 1, 2012	X	X											X	X			
31	UBHWIT0011818	Intensive Outpatient Treatment for Bulimia Nervosa	February 1, 2011	January 1, 2013	X	X											X	X			
32	UBHWIT0011869	Residential Treatment of Bulimia Nervosa	February 1, 2011	March 1, 2013	X	X											X	X			
33	UBHWIT0011851	Intensive Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012	X	X											X	X			
34	UBHWIT0011675	Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012	X	X											X	X			

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)									Level of Care Guideline References													
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H						
35	UBHWIT0011576	Residential Treatment for Schizophrenia	March 1, 2011	November 1, 2012	X	X											X										
36	UBHWIT0011964	Outpatient Treatment of Bulimia Nervosa	March 1, 2011	March 1, 2013	X	X											X										
1	UBHWIT0014654	2011 Level of Care Guidelines	March 28, 2011	March 26, 2012	X						X																
37	UBHWIT0011708	Outpatient Treatment of Dysthymic Disorder	March 29, 2011	November 1, 2012	X	X											X										
38	UBHWIT0012011	Not Otherwise Specified (NOS) Conditions	April 1, 2011	September 1, 2012	X	X											X										
39	UBHWIT0011950	Outpatient Treatment of Panic Disorder	April 1, 2011	October 1, 2012	X	X											X										
40	UBHWIT0011997	Outpatient Treatment of Adjustment Disorders	April 1, 2011	November 1, 2012	X	X											X										
41	UBHWIT0011734	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	April 1, 2011	November 1, 2012	X	X											X										
42	UBHWIT0011913	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012	X	X											X										
43	UBHWIT0011784	Mental Retardation	May 1, 2011	November 1, 2012	X	X											X										
44	UBHWIT0000421	Residential Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012	X												X										
45	UBHWIT0000386	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	July 1, 2011	June 1, 2013	X	X											X										
46	UBHWIT0000273	Autism Spectrum Disorders & Intensive Behavior Therapy	September 1, 2011	August 1, 2013	X	X											X										
47	UBHWIT0001135	Custodial Care and Inpatient Services	December 1, 2011	January 1, 2013	X	X											X										
48	UBHWIT0001118	Residential Rehabilitation for Substance Use Disorders	December 1, 2011	March 5, 2013	X	X											X										
49	UBHWIT0000292	Intensive Outpatient Program for Substance Use Disorders	January 1, 2012	October 1, 2012	X	X											X										
50	UBHWIT0000496	Outpatient Treatment of Substance Use Disorders	February 1, 2012	March 5, 2013	X	X											X										
51	UBHWIT0000454	Outpatient Treatment of Obsessive Compulsive Disorder	February 1, 2012	June 1, 2013	X	X											X										
2	UBHWIT0000001	2012 Level of Care Guidelines	March 26, 2012	March 19, 2013	X												X										
52	UBHWIT0000437	Intensive Outpatient Program for Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013	X	X											X										
53	UBHWIT0000608	Outpatient Treatment of Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013	X	X											X										
54	UBHWIT0001091	Residential Treatment Center for Major Depressive Disorder (MDD)	April 17, 2012	July 1, 2013	X	X											X										
55	UBHWIT0001110	Conduct Disorder	April 17, 2012	August 1, 2013	X	X											X										
56	UBHWIT0000943	Personality Disorders	April 17, 2012	August 1, 2013	X	X											X										
57	UBHWIT0000850	Extended Outpatient Sessions	May 1, 2012	April 1, 2013	X	X											X										
58	UBHWIT0001145	Learning Disorders	May 1, 2012	September 1, 2013	X	X											X										
59	UBHWIT0000926	Motor Skills Disorder	May 1, 2012	September 1, 2013	X	X											X										

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)									Level of Care Guideline References							
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H
60	UBHWIT0001050	Residential Treatment of Bipolar Disorder	June 1, 2012	November 1, 2013		X										X					
61	UBHWIT0000832	Outpatient Treatment of Generalized Anxiety Disorder	August 1, 2012	September 1, 2013		X											X				
62	UBHWIT0000695	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013		X										X					
63	UBHWIT0000867	Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013		X										X					
64	UBHWIT0000811	Residential Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013		X										X					
65	UBHWIT0000747	Intensive Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013		X										X					
66	UBHWIT0001193	Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013		X										X					
67	UBHWIT0000651	Not Otherwise Specified (NOS) Conditions	September 1, 2012	October 1, 2013		X											X				
68	UBHWIT0001175	Intensive Outpatient Program for Substance Use Disorders	October 1, 2012	March 5, 2013		X							X				X				
69	UBHWIT0000785	Intensive Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014		X					X									X	
70	UBHWIT0000931	Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014		X										X					
71	UBHWIT0000514	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014		X										X					
72	UBHWIT0000547	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014		X										X					
73	UBHWIT0005826	Residential Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014		X										X					
74	UBHWIT0000479	Outpatient Treatment of Anorexia Nervosa	November 1, 2012	April 1, 2014		X										X					
75	UBHWIT0000732	Outpatient Treatment of Dysthymic Disorder	November 1, 2012	July 1, 2013		X										X					
76	UBHWIT0000798	Outpatient Treatment of Adjustment Disorders	November 1, 2012	October 1, 2013		X										X					
77	UBHWIT0001031	Intensive Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013		X										X					
78	UBHWIT0000633	Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013		X										X					
79	UBHWIT0001071	Residential Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013		X										X					
80	UBHWIT0000845	Impulse Control Disorders	November 1, 2012	September 1, 2013		X															X
81	UBHWIT0000580	Mental Retardation	November 1, 2012	October 1, 2013		X															X

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)										Level of Care Guideline References						
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H
82	UBHWIT0000529	Intensive Outpatient Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014		X	X	X									X				
83	UBHWIT0000766	Residential Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014		X	X										X				
84	UBHWIT0001431	Custodial Care and Inpatient & Residential Services	January 1, 2013	February 1, 2014		X	X	X									X				
85	UBHWIT0013397	Intensive Outpatient Treatment of Bulimia Nervosa	January 1, 2013	April 1, 2014		X	X	X									X				
86	UBHWIT0013376	Outpatient Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014		X	X	X									X				
87	UBHWIT0013348	Residential Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014		X	X	X									X				
88	UBHWIT0001405	Treatment of Substance Use Disorders	March 5, 2013	March 1, 2014		X	X	X									X				
3	UBHWIT0000082	2013 Level of Care Guidelines	March 19, 2013	January 21, 2014		X	X	X									X				
89	UBHWIT0001459	Extended Outpatient Sessions	April 1, 2013	February 1, 2014				X									X				
90	UBHWIT0001544	Treatment of Obsessive Compulsive Disorder (OCD)	June 1, 2013	June 1, 2014				X									X				
91	UBHWIT0001300	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2013	June 1, 2014				X									X				
92	UBHWIT0013315	Treatment of Major Depressive Disorder (MDD) and Dysthymic Disorder	July 1, 2013	April 1, 2014				X									X				
93	UBHWIT0001343	Autism Spectrum Disorder & Intensive Behavior Therapy	August 1, 2013	October 1, 2014				X									X				
94	UBHWIT0001396	Conduct Disorder	August 1, 2013	October 1, 2014				X									X				
95	UBHWIT0001566	Personality Disorders	August 1, 2013	October 1, 2014				X									X				
96	UBHWIT0001439	Treatment of Dissociative Identity Disorder	September 1, 2013	May 1, 2014				X									X				
97	UBHWIT0001531	Motor Skills Disorder	September 1, 2013	September 1, 2014				X									X				
98	UBHWIT0001515	Learning Disorders	September 1, 2013	October 1, 2014				X									X				
99	UBHWIT0001468	Treatment of Generalized Anxiety Disorder	September 1, 2013	October 1, 2014				X									X				
100	UBHWIT0001510	Impulse Control Disorders	September 1, 2013	October 1, 2014				X									X				
101	UBHWIT0001588	Treatment of Posttraumatic Stress Disorder (PTSD)	October 1, 2013	October 1, 2015				X	X								X				
102	UBHWIT0001524	Mental Retardation	October 1, 2013	October 1, 2014				X									X				
103	UBHWIT0001536	Not Otherwise Specified (NOS) Conditions	October 1, 2013	October 1, 2014				X									X				
104	UBHWIT0001322	Treatment of Adjustment Disorder	October 1, 2013	October 1, 2014				X									X				
105	UBHWIT0001371	Treatment of Bipolar Disorder	November 1, 2013	October 1, 2014				X									X				
106	UBHWIT0001613	Treatment of Reactive Attachment Disorder (RAD)	November 1, 2013	October 1, 2014				X									X				
107	UBHWIT0001253	Treatment of Schizophrenia & Schizoaffective Disorder	November 1, 2013	October 1, 2014				X									X				
4	UBHWIT0000170	2014 Level of Care Guidelines	January 21, 2014	January 20, 2015				X									X				
108	UBHWIT0001740	Custodial Care and Inpatient & Residential Services	February 1, 2014	March 1, 2015				X									X				

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)									Level of Care Guideline References							
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H
136	UBHWIT0014129	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2014	October 1, 2015			X	X	X							X		X			
137	UBHWIT0013842	Conduct Disorder	October 1, 2014	July 1, 2015			X	X	X							X		X			
138	UBHWIT0013595	Binge Eating Disorder	October 1, 2014	October 1, 2015			X	X	X							X		X			
139	UBHWIT0013834	Other Specified and Unspecified Disorders	October 1, 2014	October 1, 2015			X	X	X							X		X			
140	UBHWIT0014120	Personality Disorders	October 1, 2014	October 1, 2015			X	X	X							X		X			
141	UBHWIT0013957	Specific Learning Disorder	October 1, 2014	October 1, 2015			X	X	X							X		X			
142	UBHWIT0013688	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	October 1, 2014	October 1, 2015			X	X	X							X		X			
143	UBHWIT0013668	Gambling Disorder	October 1, 2014	October 1, 2015			X	X	X							X		X			
144	UBHWIT0013713	Impulse Control Disorders	October 1, 2014	October 1, 2015			X	X	X							X		X			
145	UBHWIT0014050	Intellectual Disability (Intellectual Developmental Disorder)	October 1, 2014	October 1, 2015			X	X	X							X		X			
5	UBHWIT0354266	2015 Level of Care Guidelines	January 20, 2015	January 19, 2016						X											
146	UBHWIT0093205	Autism Spectrum Disorders and Intensive Behavior Therapy	February 1, 2015	August 1, 2016												X		X			
147	UBHWIT0092782	Treatment of Gender Dysphoria	February 1, 2015	October 1, 2015												X		X			
148	UBHWIT0329356	Custodial Care and Inpatient & Residential Services	March 1, 2015	April 1, 2016												X		X			
149	UBHWIT0329972	Extended Outpatient Sessions	March 1, 2015	February 1, 2016												X		X			
150	UBHWIT0098512	Motor Disorders	May 1, 2015	June 1, 2015												X		X			
151	UBHWIT0332441	Treatment of Substance-Related and Addictive Disorders	June 1, 2015	October 1, 2015												X		X			
152	UBHWIT0331883	Motor Disorders	June 1, 2015	October 1, 2015												X		X			
153	UBHWIT0093815	Treatment of Adjustment Disorder	July 1, 2015	October 1, 2015			X	X	X							X		X			
154	UBHWIT0093866	Conduct Disorder	July 1, 2015	October 1, 2015												X		X			
155	UBHWIT0093692	Treatment of Oppositional Defiant Disorder (ODD)	July 1, 2015	October 1, 2015												X		X			
156	UBHWIT0331797	Treatment of Substance-Related and Addictive Disorders	October 1, 2015	November 1, 2016												X		X			
157	UBHWIT0263253	Gambling Disorder	October 1, 2015	November 1, 2016				X	X	X						X		X			
158	UBHWIT0262831	Personality Disorders	October 1, 2015	February 1, 2016				X	X	X						X		X			
159	UBHWIT0262496	Treatment of Adjustment Disorder	October 1, 2015	May 1, 2016				X	X	X						X		X			
160	UBHWIT0331211	Treatment of Post Traumatic Stress Disorder (PTSD)	October 1, 2015	July 1, 2016												X		X			
161	UBHWIT0331248	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2015	July 1, 2016												X		X			
162	UBHWIT0331282	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2015	July 1, 2016												X		X			
163	UBHWIT0330169	Treatment of Anorexia Nervosa	October 1, 2015	July 1, 2016												X		X			
164	UBHWIT0330993	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2015	August 1, 2016												X		X			
165	UBHWIT0263262	Gender Dysphoria	October 1, 2015	October 1, 2016												X		X			
166	UBHWIT0330344	Treatment of Depressive Disorders	October 1, 2015	October 1, 2016												X		X			

Trial Ex.	Control ID	Guideline Title	Effective Dates		Group Number(s)									Level of Care Guideline References						
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G
167	UBHWIT0330417	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2015	October 1, 2016					X	X						X			X	X
168	UBHWIT0330383	Treatment of Dissociative Identity Disorders (DID)	October 1, 2015	October 1, 2016				X	X							X			X	X
169	UBHWIT0331037	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2015	October 1, 2016				X	X							X			X	X
170	UBHWIT0262608	Binge Eating Disorder	October 1, 2015	October 1, 2016				X	X											
171	UBHWIT0331129	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2015	October 1, 2016												X			X	
172	UBHWIT0262979	Specific Learning Disorders	October 1, 2015	October 1, 2016				X	X							X				
173	UBHWIT0331330	Treatment of Specific Phobias	October 1, 2015	February 1, 2016				X	X							X			X	
174	UBHWIT0263087	Conduct Disorder	October 1, 2015	March 1, 2016				X	X											
175	UBHWIT0327598	Impulse Control Disorders	October 1, 2015	March 1, 2016				X	X							X				
176	UBHWIT0263361	Intellectual Disability (Mental Retardation)	October 1, 2015	March 1, 2016				X	X							X			X	
177	UBHWIT0331464	Motor Disorders	October 1, 2015	March 1, 2016				X	X							X				
178	UBHWIT0330505	Treatment of Generalized Anxiety Disorder	October 1, 2015	March 1, 2016				X	X							X			X	
179	UBHWIT0262746	Treatment of Oppositional Defiant Disorder (ODD)	October 1, 2015	March 1, 2016				X	X							X			X	
180	UBHWIT0331166	Treatment of Panic Disorder	October 1, 2015	March 1, 2016				X	X							X			X	
181	UBHWIT0330102	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	October 1, 2015	April 1, 2016				X	X							X			X	
182	UBHWIT0330258	Treatment of Bipolar and Related Disorders	October 1, 2015	May 1, 2016				X	X							X			X	
183	UBHWIT0330295	Treatment of Bulimia Nervosa	October 1, 2015	June 1, 2016				X	X							X			X	
6	UBHWIT053748	2016 Level of Care Guidelines (January)	January 19, 2016	June 20, 2016																
185	UBHWIT0333529	Treatment of Specific Phobias	February 1, 2016	February 1, 2017				X	X							X			X	X
186	UBHWIT0333433	Personality Disorders	February 1, 2016	January 1, 2017				X	X							X			X	
187	UBHWIT0332834	Extended Outpatient Sessions	February 1, 2016	February 1, 2017				X	X							X			X	
188	UBHWIT0332877	Treatment of Generalized Anxiety Disorder	March 1, 2016	February 1, 2017				X	X							X			X	X
189	UBHWIT0333268	Treatment of Oppositional Defiant Disorder (ODD)	March 1, 2016	February 1, 2017				X	X							X			X	X
190	UBHWIT0333358	Treatment of Panic Disorder	March 1, 2016	February 1, 2017				X	X							X			X	X
191	UBHWIT0333029	Intellectual Disability (Intellectual Developmental Disorder)	March 1, 2016	January 1, 2017				X	X							X			X	
192	UBHWIT0333071	Motor Disorders	March 1, 2016	January 1, 2017				X	X							X			X	
193	UBHWIT0332661	Conduct Disorder	March 1, 2016	February 1, 2017				X	X							X			X	
194	UBHWIT0333012	Impulse Control Disorders	March 1, 2016	February 1, 2017				X	X							X			X	
195	UBHWIT0332680	Custodial Care and Inpatient & Residential Services	April 1, 2016	March 1, 2017				X	X							X			X	
196	UBHWIT0332579	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	April 1, 2016	January 1, 2017				X	X							X			X	X

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)									Level of Care Guideline References							
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H
197	UBHWIT0382559	Treatment of Bipolar and Related Disorders	May 1, 2016	May 1, 2017						X	X				X				X	X	
198	UBHWIT0382403	Treatment of Adjustment Disorder	May 1, 2016	May 1, 2017					X	X									X	X	
199	UBHWIT0382598	Treatment of Bulimia Nervosa	June 1, 2016	January 1, 2017					X	X									X	X	
7	UBHWIT0656347	2016 Level of Care Guidelines (June)	June 20, 2016	March 12, 2017						X	X										
200	UBHWIT0383103	Treatment of Post Traumatic Stress Disorder (PTSD)	July 1, 2016	May 1, 2017					X	X									X	X	
201	UBHWIT0383161	Treatment of Reactive Attachment Disorder (RAD)	July 1, 2016	May 1, 2017					X	X									X	X	
202	UBHWIT0383195	Treatment of Schizophrenia & Schizoaffective Disorder	July 1, 2016	Present					X	X									X	X	
203	UBHWIT0382436	Treatment of Anorexia Nervosa	July 1, 2016	January 1, 2017						X									X	X	
204	UBHWIT0382882	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	August 1, 2016	Present						X	X								X	X	
205	UBHWIT0382514	Autism Spectrum Disorder and Intensive Behavior Therapy	August 1, 2016	January 1, 2017					X	X									X	X	
206	UBHWIT0382652	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2016	Present																X	X
207	UBHWIT0382692	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2016	Present					X	X										X	
208	UBHWIT0382927	Treatment of Obsessive-Compulsive and Hoarding Disorder	October 1, 2016	Present					X	X										X	X
209	UBHWIT0382723	Dissociative Identity Disorder	October 1, 2016	Present						X	X										X
210	UBHWIT0382786	Gender Dysphoria	October 1, 2016	Present						X	X										X
211	UBHWIT0383038	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2016	Present																X	
212	UBHWIT0382550	Binge Eating Disorder	October 1, 2016	January 1, 2017						X	X									X	
213	UBHWIT0383242	Specific Learning Disorder	October 1, 2016	January 1, 2017						X										X	
214	UBHWIT0382775	Substance - Related and Addictive Disorders	November 1, 2016	Present						X	X	X								X	X
215	UBHWIT0617299	Feeding and Eating Disorders	January 1, 2017	Present						X	X									X	X
216	UBHWIT0616958	Neurodevelopmental Disorders	January 1, 2017	Present						X	X									X	X
217	UBHWIT0617310	Personality Disorders	January 1, 2017	Present						X	X									X	X
218	N/A	Anxiety Disorders	February 1, 2017	Present						X	X									X	X
219	N/A	Disruptive, Impulse-Control & Conduct Disorders	February 1, 2017	Present						X	X									X	X
220	UBHWIT0622232	Extended Outpatient Sessions	February 1, 2017	Present						X	X									X	X
8	UBHWIT0606928	2017 Level of Care Guidelines	March 12, 2017	Present																	
	UBHWIT0622889										X	X									
	UBHWIT0606932										X										
	UBHWIT0622943										X										
221	N/A	Custodial care (inpatient & residential services)	May 1, 2017	Present						X	X									X	X

Trial Ex.	ControlID	Guideline Title	Effective Dates		Group Number(s)										Level of Care Guideline References										
			Start	End	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H				
222	N/A	Bipolar and Related Disorders	May 1, 2017	Present																		X			
223	N/A	Other Conditions That May Be a Focus of Clinical Attention (Previously V-Code Conditions)	May 1, 2017	Present										X											X
224	N/A	Trauma- and Stressor-Related Disorders	May 1, 2017	Present										X										X	

Ex. A.1 - Coverage Determination Guidelines in Group 1

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
1	UBHWIT0014654	2011 Level of Care Guidelines	March 28, 2011	March 26, 2012
9	UBHWIT0009571	Outpatient Treatment of Obsessive Compulsive Disorder	June 1, 2010	February 1, 2012
10	UBHWIT0009652	Custodial Care and Inpatient Services	August 1, 2010	December 1, 2011
11	UBHWIT0011205	Residential Rehabilitation for Substance Use Disorders	August 1, 2010	December 1, 2011
12	UBHWIT0010945	Residential Treatment Center for Major Depressive Disorder	September 1, 2010	April 17, 2012
13	UBHWIT0011023	Intensive Outpatient Program for Major Depressive Disorder	October 1, 2010	April 3, 2012
14	UBHWIT0009601	Outpatient Treatment for Major Depressive Disorder (MDD)	October 1, 2010	April 3, 2012
15	UBHWIT0010940	Conduct Disorder	October 1, 2010	April 17, 2012
16	UBHWIT0011187	Personality Disorders	October 1, 2010	April 17, 2012
22	UBHWIT0011041	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	November 1, 2010	July 1, 2011
17	UBHWIT0010966	Extended Outpatient Treatment Visits	November 1, 2010	May 1, 2012
18	UBHWIT0011036	Learning Disorders	November 1, 2010	May 1, 2012
19	UBHWIT0011057	Outpatient Treatment of Anorexia Nervosa	November 1, 2010	November 1, 2012
20	UBHWIT0010984	Intensive Outpatient Program for Anorexia Nervosa	November 1, 2010	December 1, 2012
21	UBHWIT0010909	Residential Treatment Center for Anorexia Nervosa	November 1, 2010	December 1, 2012
23	UBHWIT0011240	Motor Skills Disorder	December 1, 2010	May 1, 2012
24	UBHWIT0011631	Residential Treatment of Bipolar Disorder	January 1, 2011	June 1, 2012
25	UBHWIT0011653	Intensive Outpatient Treatment of Bipolar Disorder	January 1, 2011	August 1, 2012
26	UBHWIT0011434	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
30	UBHWIT0001234	Outpatient Treatment of Bipolar Disorder	January 1, 2011	August 1, 2012
27	UBHWIT0011722	Outpatient Treatment of Generalized Anxiety Disorder	January 1, 2011	August 1, 2012
28	UBHWIT0011380	Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
29	UBHWIT0011416	Residential Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
31	UBHWIT0011818	Intensive Outpatient Treatment for Bulimia Nervosa	February 1, 2011	January 1, 2013
32	UBHWIT0011869	Residential Treatment of Bulimia Nervosa	February 1, 2011	March 1, 2013
33	UBHWIT0011851	Intensive Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
34	UBHWIT0011675	Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012
35	UBHWIT0011576	Residential Treatment for Schizophrenia	March 1, 2011	November 1, 2012
36	UBHWIT0011964	Outpatient Treatment of Bulimia Nervosa	March 1, 2011	March 1, 2013
37	UBHWIT0011708	Outpatient Treatment of Dysthymic Disorder	March 29, 2011	November 1, 2012
38	UBHWIT0012011	Not Otherwise Specified (NOS) Conditions	April 1, 2011	September 1, 2012
39	UBHWIT0011950	Outpatient Treatment of Panic Disorder	April 1, 2011	October 1, 2012
40	UBHWIT0011997	Outpatient Treatment of Adjustment Disorders	April 1, 2011	November 1, 2012
41	UBHWIT0011734	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	April 1, 2011	November 1, 2012
42	UBHWIT0011913	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012
43	UBHWIT0011784	Mental Retardation	May 1, 2011	November 1, 2012
44	UBHWIT0000421	Residential Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012
45	UBHWIT0000386	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	July 1, 2011	June 1, 2013
46	UBHWIT0000273	Autism Spectrum Disorders & Intensive Behavior Therapy	September 1, 2011	August 1, 2013
47	UBHWIT0001135	Custodial Care and Inpatient Services	December 1, 2011	January 1, 2013
48	UBHWIT0001118	Residential Rehabilitation for Substance Use Disorders	December 1, 2011	March 5, 2013
49	UBHWIT0000292	Intensive Outpatient Program for Substance Use Disorders	January 1, 2012	October 1, 2012
50	UBHWIT0000496	Outpatient Treatment of Substance Use Disorders	February 1, 2012	March 5, 2013
51	UBHWIT0000454	Outpatient Treatment of Obsessive Compulsive Disorder	February 1, 2012	June 1, 2013

Ex. A.2 - Coverage Determination Guidelines in Group 2

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
2	UBHWIT0000001	2012 Level of Care Guidelines	March 26, 2012	March 19, 2013
12	UBHWIT0010945	Residential Treatment Center for Major Depressive Disorder	September 1, 2010	April 17, 2012
13	UBHWIT0011023	Intensive Outpatient Program for Major Depressive Disorder	October 1, 2010	April 3, 2012
14	UBHWIT0009601	Outpatient Treatment for Major Depressive Disorder (MDD)	October 1, 2010	April 3, 2012
15	UBHWIT0010940	Conduct Disorder	October 1, 2010	April 17, 2012
16	UBHWIT0011187	Personality Disorders	October 1, 2010	April 17, 2012
17	UBHWIT0010966	Extended Outpatient Treatment Visits	November 1, 2010	May 1, 2012
18	UBHWIT0011036	Learning Disorders	November 1, 2010	May 1, 2012
19	UBHWIT0011057	Outpatient Treatment of Anorexia Nervosa	November 1, 2010	November 1, 2012
20	UBHWIT0010984	Intensive Outpatient Program for Anorexia Nervosa	November 1, 2010	December 1, 2012
21	UBHWIT0010909	Residential Treatment Center for Anorexia Nervosa	November 1, 2010	December 1, 2012
23	UBHWIT0011240	Motor Skills Disorder	December 1, 2010	May 1, 2012
24	UBHWIT0011631	Residential Treatment of Bipolar Disorder	January 1, 2011	June 1, 2012
25	UBHWIT0011653	Intensive Outpatient Treatment of Bipolar Disorder	January 1, 2011	August 1, 2012
26	UBHWIT0011434	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
27	UBHWIT0011722	Outpatient Treatment of Generalized Anxiety Disorder	January 1, 2011	August 1, 2012
28	UBHWIT0011380	Outpatient Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
29	UBHWIT0011416	Residential Treatment of Posttraumatic Stress Disorder	January 1, 2011	August 1, 2012
31	UBHWIT0011818	Intensive Outpatient Treatment for Bulimia Nervosa	February 1, 2011	January 1, 2013
32	UBHWIT0011869	Residential Treatment of Bulimia Nervosa	February 1, 2011	March 1, 2013
33	UBHWIT0011851	Intensive Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012
34	UBHWIT0011675	Outpatient Treatment for Schizophrenia	March 1, 2011	November 1, 2012
35	UBHWIT0011576	Residential Treatment for Schizophrenia	March 1, 2011	November 1, 2012
36	UBHWIT0011964	Outpatient Treatment of Bulimia Nervosa	March 1, 2011	March 1, 2013
37	UBHWIT0011708	Outpatient Treatment of Dysthymic Disorder	March 29, 2011	November 1, 2012
38	UBHWIT0012011	Not Otherwise Specified (NOS) Conditions	April 1, 2011	September 1, 2012

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
39	UBHWIT0011950	Outpatient Treatment of Panic Disorder	April 1, 2011	October 1, 2012
40	UBHWIT0011997	Outpatient Treatment of Adjustment Disorders	April 1, 2011	November 1, 2012
41	UBHWIT0011734	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	April 1, 2011	November 1, 2012
42	UBHWIT0011913	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012
43	UBHWIT0011784	Mental Retardation	May 1, 2011	November 1, 2012
44	UBHWIT0000421	Residential Treatment of Oppositional Defiant Disorder (ODD)	May 1, 2011	November 1, 2012
45	UBHWIT0000386	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	July 1, 2011	June 1, 2013
46	UBHWIT0000273	Autism Spectrum Disorders & Intensive Behavior Therapy	September 1, 2011	August 1, 2013
47	UBHWIT0001135	Custodial Care and Inpatient Services	December 1, 2011	January 1, 2013
48	UBHWIT0001118	Residential Rehabilitation for Substance Use Disorders	December 1, 2011	March 5, 2013
49	UBHWIT0000292	Intensive Outpatient Program for Substance Use Disorders	January 1, 2012	October 1, 2012
50	UBHWIT0000496	Outpatient Treatment of Substance Use Disorders	February 1, 2012	March 5, 2013
51	UBHWIT0000454	Outpatient Treatment of Obsessive Compulsive Disorder	February 1, 2012	June 1, 2013
52	UBHWIT0000437	Intensive Outpatient Program for Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013
53	UBHWIT0000608	Outpatient Treatment of Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013
54	UBHWIT0001091	Residential Treatment Center for Major Depressive Disorder (MDD)	April 17, 2012	July 1, 2013
55	UBHWIT0001110	Conduct Disorder	April 17, 2012	August 1, 2013
56	UBHWIT0000943	Personality Disorders	April 17, 2012	August 1, 2013
57	UBHWIT0000850	Extended Outpatient Sessions	May 1, 2012	April 1, 2013
58	UBHWIT0001145	Learning Disorders	May 1, 2012	September 1, 2013
59	UBHWIT0000926	Motor Skills Disorder	May 1, 2012	September 1, 2013
60	UBHWIT0001050	Residential Treatment of Bipolar Disorder	June 1, 2012	November 1, 2013
61	UBHWIT0000832	Outpatient Treatment of Generalized Anxiety Disorder	August 1, 2012	September 1, 2013
62	UBHWIT0000695	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013
63	UBHWIT0000867	Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013
64	UBHWIT0000811	Residential Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
65	UBHWIT0000747	Intensive Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013
66	UBHWIT0001193	Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013
67	UBHWIT0000651	Not Otherwise Specified (NOS) Conditions	September 1, 2012	October 1, 2013
68	UBHWIT0001175	Intensive Outpatient Program for Substance Use Disorders	October 1, 2012	March 5, 2013
69	UBHWIT0000785	Intensive Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
70	UBHWIT0000931	Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
75	UBHWIT0000732	Outpatient Treatment of Dysthymic Disorder	November 1, 2012	July 1, 2013
80	UBHWIT0000845	Impulse Control Disorders	November 1, 2012	September 1, 2013
81	UBHWIT0000580	Mental Retardation	November 1, 2012	October 1, 2013
76	UBHWIT0000798	Outpatient Treatment of Adjustment Disorders	November 1, 2012	October 1, 2013
77	UBHWIT0001031	Intensive Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013
78	UBHWIT0000633	Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013
79	UBHWIT0001071	Residential Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013
71	UBHWIT0000514	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
72	UBHWIT0000547	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
73	UBHWIT0095826	Residential Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
74	UBHWIT0000479	Outpatient Treatment of Anorexia Nervosa	November 1, 2012	April 1, 2014
82	UBHWIT0000529	Intensive Outpatient Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
83	UBHWIT0000766	Residential Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
84	UBHWIT0001431	Custodial Care and Inpatient & Residential Services	January 1, 2013	February 1, 2014
85	UBHWIT0013397	Intensive Outpatient Treatment of Bulimia Nervosa	January 1, 2013	April 1, 2014
86	UBHWIT0013376	Outpatient Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
87	UBHWIT0013348	Residential Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014
88	UBHWIT0001405	Treatment of Substance Use Disorders	March 5, 2013	March 1, 2014
91	UBHWIT0001300	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2013	June 1, 2014
118	UBHWIT0014403	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2014	October 1, 2014

Ex. A.3 - Coverage Determination Guidelines in Group 3

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
3	UBHWIT0000082	2013 Level of Care Guidelines	March 19, 2013	January 21, 2014
45	UBHWIT0000386	Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder	July 1, 2011	June 1, 2013
46	UBHWIT0000273	Autism Spectrum Disorders & Intensive Behavior Therapy	September 1, 2011	August 1, 2013
51	UBHWIT0000454	Outpatient Treatment of Obsessive Compulsive Disorder	February 1, 2012	June 1, 2013
52	UBHWIT0000437	Intensive Outpatient Program for Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013
53	UBHWIT0000608	Outpatient Treatment of Major Depressive Disorder (MDD)	April 3, 2012	July 1, 2013
54	UBHWIT0001091	Residential Treatment Center for Major Depressive Disorder (MDD)	April 17, 2012	July 1, 2013
55	UBHWIT0001110	Conduct Disorder	April 17, 2012	August 1, 2013
56	UBHWIT0000943	Personality Disorders	April 17, 2012	August 1, 2013
57	UBHWIT0000850	Extended Outpatient Sessions	May 1, 2012	April 1, 2013
58	UBHWIT0001145	Learning Disorders	May 1, 2012	September 1, 2013
59	UBHWIT0000926	Motor Skills Disorder	May 1, 2012	September 1, 2013
60	UBHWIT0001050	Residential Treatment of Bipolar Disorder	June 1, 2012	November 1, 2013
61	UBHWIT0000832	Outpatient Treatment of Generalized Anxiety Disorder	August 1, 2012	September 1, 2013
62	UBHWIT0000695	Intensive Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013
63	UBHWIT0000867	Outpatient Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013
64	UBHWIT0000811	Residential Treatment of Posttraumatic Stress Disorder	August 1, 2012	October 1, 2013
65	UBHWIT0000747	Intensive Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013
66	UBHWIT0001193	Outpatient Treatment of Bipolar Disorder	August 1, 2012	November 1, 2013
67	UBHWIT0000651	Not Otherwise Specified (NOS) Conditions	September 1, 2012	October 1, 2013
69	UBHWIT0000785	Intensive Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
70	UBHWIT0000931	Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
75	UBHWIT0000732	Outpatient Treatment of Dysthymic Disorder	November 1, 2012	July 1, 2013
76	UBHWIT0000798	Outpatient Treatment of Adjustment Disorders	November 1, 2012	October 1, 2013
77	UBHWIT0001031	Intensive Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
78	UBHWIT0000633	Outpatient Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013
79	UBHWIT0001071	Residential Treatment of Schizophrenia and Schizoaffective Disorder	November 1, 2012	November 1, 2013
71	UBHWIT0000514	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
72	UBHWIT0000547	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
73	UBHWIT0095826	Residential Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
74	UBHWIT0000479	Outpatient Treatment of Anorexia Nervosa	November 1, 2012	April 1, 2014
82	UBHWIT0000529	Intensive Outpatient Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
83	UBHWIT0000766	Residential Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
84	UBHWIT0001431	Custodial Care and Inpatient & Residential Services	January 1, 2013	February 1, 2014
85	UBHWIT0013397	Intensive Outpatient Treatment of Bulimia Nervosa	January 1, 2013	April 1, 2014
86	UBHWIT0013376	Outpatient Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014
87	UBHWIT0013348	Residential Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014
88	UBHWIT0001405	Treatment of Substance Use Disorders	March 5, 2013	March 1, 2014
91	UBHWIT0001300	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2013	June 1, 2014
118	UBHWIT0014403	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2014	October 1, 2014
89	UBHWIT0001459	Extended Outpatient Sessions	April 1, 2013	February 1, 2014
90	UBHWIT0001544	Treatment of Obsessive Compulsive Disorder (OCD)	June 1, 2013	June 1, 2014
92	UBHWIT0013315	Treatment of Major Depressive Disorder (MDD) and Dysthymic Disorder	July 1, 2013	April 1, 2014
93	UBHWIT0001343	Autism Spectrum Disorder & Intensive Behavior Therapy	August 1, 2013	October 1, 2014
94	UBHWIT0001396	Conduct Disorder	August 1, 2013	October 1, 2014
95	UBHWIT0001566	Personality Disorders	August 1, 2013	October 1, 2014
96	UBHWIT0001439	Treatment of Dissociative Identity Disorder	September 1, 2013	May 1, 2014

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
97	UBHWIT0001531	Motor Skills Disorder	September 1, 2013	September 1, 2014
98	UBHWIT0001515	Learning Disorders	September 1, 2013	October 1, 2014
99	UBHWIT0001468	Treatment of Generalized Anxiety Disorder	September 1, 2013	October 1, 2014
100	UBHWIT0001510	Impulse Control Disorders	September 1, 2013	October 1, 2014
102	UBHWIT0001524	Mental Retardation	October 1, 2013	October 1, 2014
103	UBHWIT0001536	Not Otherwise Specified (NOS) Conditions	October 1, 2013	October 1, 2014
104	UBHWIT0001322	Treatment of Adjustment Disorder	October 1, 2013	October 1, 2014
101	UBHWIT0001588	Treatment of Posttraumatic Stress Disorder (PTSD)	October 1, 2013	October 1, 2015
105	UBHWIT0001371	Treatment of Bipolar Disorder	November 1, 2013	October 1, 2014
106	UBHWIT0001613	Treatment of Reactive Attachment Disorder (RAD)	November 1, 2013	October 1, 2014
107	UBHWIT0001253	Treatment of Schizophrenia & Schizoaffective Disorder	November 1, 2013	October 1, 2014
110	UBHWIT0001830	Treatment of Specific Phobias	February 1, 2014	October 1, 2014
111	UBHWIT0001788	Treatment of Oppositional Defiant Disorder (ODD)	February 1, 2014	October 1, 2014
113	UBHWIT0001809	Treatment of Panic Disorder	March 1, 2014	October 1, 2014
114	UBHWIT0001681	Treatment of Bulimia Nervosa	April 1, 2014	August 1, 2014
115	UBHWIT0001653	Treatment of Anorexia Nervosa	April 1, 2014	October 1, 2014
116	UBHWIT0001764	Treatment of Major Depressive Disorder and Dysthymic Disorder	April 1, 2014	October 1, 2014
117	UBHWIT0014427	Treatment of Dissociative Identity Disorder	May 1, 2014	October 1, 2014
119	UBHWIT0014449	Treatment of Obsessive Compulsive Disorder (OCD)	June 1, 2014	October 1, 2014
120	UBHWIT0013603	Treatment of Bulimia Nervosa	August 1, 2014	October 1, 2015
123	UBHWIT0013742	Autism Spectrum Disorder & Intensive Behavior Therapy	October 1, 2014	February 1, 2015
122	UBHWIT0013990	Treatment of Substance-Related & Addictive Disorders	October 1, 2014	June 1, 2015
124	UBHWIT0013545	Treatment of Adjustment Disorder	October 1, 2014	July 1, 2015
125	UBHWIT0014092	Treatment of Oppositional Defiant Disorder (ODD)	October 1, 2014	July 1, 2015
126	UBHWIT0013566	Treatment of Anorexia Nervosa	October 1, 2014	October 1, 2015
127	UBHWIT0013808	Treatment of Bipolar and Related Disorders	October 1, 2014	October 1, 2015
128	UBHWIT0013871	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2014	October 1, 2015
129	UBHWIT0013639	Treatment of Dissociative Identity Disorder	October 1, 2014	October 1, 2015
130	UBHWIT0013966	Treatment of Generalized Anxiety Disorder	October 1, 2014	October 1, 2015

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
131	UBHWIT0014058	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2014	October 1, 2015
132	UBHWIT0013783	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2014	October 1, 2015
133	UBHWIT0013851	Treatment of Panic Disorder	October 1, 2014	October 1, 2015
134	UBHWIT0013923	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2014	October 1, 2015
135	UBHWIT0014158	Treatment of Specific Phobias	October 1, 2014	October 1, 2015
136	UBHWIT0014129	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2014	October 1, 2015
142	UBHWIT0013688	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	October 1, 2014	October 1, 2015
153	UBHWIT0093815	Treatment of Adjustment Disorder	July 1, 2015	October 1, 2015

Ex. A.4 - Coverage Determination Guidelines in Group 4

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
4	UBHWIT0000170	2014 Level of Care Guidelines	January 21, 2014	January 20, 2015
69	UBHWIT0000785	Intensive Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
70	UBHWIT0000931	Outpatient Treatment of Panic Disorder	October 1, 2012	March 1, 2014
71	UBHWIT0000514	Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
72	UBHWIT0000547	Outpatient Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
73	UBHWIT0095826	Residential Treatment of Oppositional Defiant Disorder (ODD)	November 1, 2012	February 1, 2014
74	UBHWIT0000479	Outpatient Treatment of Anorexia Nervosa	November 1, 2012	April 1, 2014
82	UBHWIT0000529	Intensive Outpatient Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
83	UBHWIT0000766	Residential Treatment of Anorexia Nervosa	December 1, 2012	April 1, 2014
84	UBHWIT0001431	Custodial Care and Inpatient & Residential Services	January 1, 2013	February 1, 2014
85	UBHWIT0013397	Intensive Outpatient Treatment of Bulimia Nervosa	January 1, 2013	April 1, 2014
86	UBHWIT0013376	Outpatient Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014
87	UBHWIT0013348	Residential Treatment of Bulimia Nervosa	March 1, 2013	April 1, 2014
88	UBHWIT0001405	Treatment of Substance Use Disorders	March 5, 2013	March 1, 2014
118	UBHWIT0014403	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	June 1, 2014	October 1, 2014
89	UBHWIT0001459	Extended Outpatient Sessions	April 1, 2013	February 1, 2014
90	UBHWIT0001544	Treatment of Obsessive Compulsive Disorder (OCD)	June 1, 2013	June 1, 2014
92	UBHWIT0013315	Treatment of Major Depressive Disorder (MDD) and Dysthymic Disorder	July 1, 2013	April 1, 2014
93	UBHWIT0001343	Autism Spectrum Disorder & Intensive Behavior Therapy	August 1, 2013	October 1, 2014
94	UBHWIT0001396	Conduct Disorder	August 1, 2013	October 1, 2014
95	UBHWIT0001566	Personality Disorders	August 1, 2013	October 1, 2014
96	UBHWIT0001439	Treatment of Dissociative Identity Disorder	September 1, 2013	May 1, 2014
97	UBHWIT0001531	Motor Skills Disorder	September 1, 2013	September 1, 2014
98	UBHWIT0001515	Learning Disorders	September 1, 2013	October 1, 2014

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
99	UBHWIT0001468	Treatment of Generalized Anxiety Disorder	September 1, 2013	October 1, 2014
102	UBHWIT0001524	Mental Retardation	October 1, 2013	October 1, 2014
103	UBHWIT0001536	Not Otherwise Specified (NOS) Conditions	October 1, 2013	October 1, 2014
104	UBHWIT0001322	Treatment of Adjustment Disorder	October 1, 2013	October 1, 2014
101	UBHWIT0001588	Treatment of Posttraumatic Stress Disorder (PTSD)	October 1, 2013	October 1, 2015
105	UBHWIT0001371	Treatment of Bipolar Disorder	November 1, 2013	October 1, 2014
106	UBHWIT0001613	Treatment of Reactive Attachment Disorder (RAD)	November 1, 2013	October 1, 2014
107	UBHWIT0001253	Treatment of Schizophrenia & Schizoaffective Disorder	November 1, 2013	October 1, 2014
110	UBHWIT0001830	Treatment of Specific Phobias	February 1, 2014	October 1, 2014
111	UBHWIT0001788	Treatment of Oppositional Defiant Disorder (ODD)	February 1, 2014	October 1, 2014
113	UBHWIT0001809	Treatment of Panic Disorder	March 1, 2014	October 1, 2014
114	UBHWIT0001681	Treatment of Bulimia Nervosa	April 1, 2014	August 1, 2014
115	UBHWIT0001653	Treatment of Anorexia Nervosa	April 1, 2014	October 1, 2014
116	UBHWIT0001764	Treatment of Major Depressive Disorder and Dysthymic Disorder	April 1, 2014	October 1, 2014
117	UBHWIT0014427	Treatment of Dissociative Identity Disorder	May 1, 2014	October 1, 2014
119	UBHWIT0014449	Treatment of Obsessive Compulsive Disorder (OCD)	June 1, 2014	October 1, 2014
120	UBHWIT0013603	Treatment of Bulimia Nervosa	August 1, 2014	October 1, 2015
123	UBHWIT0013742	Autism Spectrum Disorder & Intensive Behavior Therapy	October 1, 2014	February 1, 2015
122	UBHWIT0013990	Treatment of Substance-Related & Addictive Disorders	October 1, 2014	June 1, 2015
124	UBHWIT0013545	Treatment of Adjustment Disorder	October 1, 2014	July 1, 2015
125	UBHWIT0014092	Treatment of Oppositional Defiant Disorder (ODD)	October 1, 2014	July 1, 2015
126	UBHWIT0013566	Treatment of Anorexia Nervosa	October 1, 2014	October 1, 2015
127	UBHWIT0013808	Treatment of Bipolar and Related Disorders	October 1, 2014	October 1, 2015
128	UBHWIT0013871	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2014	October 1, 2015
129	UBHWIT0013639	Treatment of Dissociative Identity Disorder	October 1, 2014	October 1, 2015
130	UBHWIT0013966	Treatment of Generalized Anxiety Disorder	October 1, 2014	October 1, 2015
131	UBHWIT0014058	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2014	October 1, 2015

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
132	UBHWIT0013783	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2014	October 1, 2015
133	UBHWIT0013851	Treatment of Panic Disorder	October 1, 2014	October 1, 2015
134	UBHWIT0013923	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2014	October 1, 2015
135	UBHWIT0014158	Treatment of Specific Phobias	October 1, 2014	October 1, 2015
136	UBHWIT0014129	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2014	October 1, 2015
142	UBHWIT0013688	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	October 1, 2014	October 1, 2015
108	UBHWIT0001740	Custodial Care and Inpatient & Residential Services	February 1, 2014	March 1, 2015
109	UBHWIT0001756	Extended Outpatient Sessions	February 1, 2014	March 1, 2015
112	UBHWIT0001709	Treatment of Substance Use Disorders	March 1, 2014	October 1, 2014
121	UBHWIT0014084	Motor Disorders	September 1, 2014	May 1, 2015
137	UBHWIT0013842	Conduct Disorder	October 1, 2014	July 1, 2015
138	UBHWIT0013595	Binge Eating Disorder	October 1, 2014	October 1, 2015
139	UBHWIT0013834	Other Specified and Unspecified Disorders	October 1, 2014	October 1, 2015
140	UBHWIT0014120	Personality Disorders	October 1, 2014	October 1, 2015
141	UBHWIT0013957	Specific Learning Disorder	October 1, 2014	October 1, 2015
143	UBHWIT0013668	Gambling Disorder	October 1, 2014	October 1, 2015
144	UBHWIT0013713	Impulse Control Disorders	October 1, 2014	October 1, 2015
145	UBHWIT0014050	Intellectual Disability (Intellectual Developmental Disorder)	October 1, 2014	October 1, 2015
157	UBHWIT0263253	Gambling Disorder	October 1, 2015	November 1, 2016

Ex. A.5 - Coverage Determination Guidelines in Group 5

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
5	UBHWIT0354266	2015 Level of Care Guidelines	January 20, 2015	January 19, 2016
101	UBHWIT0001588	Treatment of Posttraumatic Stress Disorder (PTSD)	October 1, 2013	October 1, 2015
120	UBHWIT0013603	Treatment of Bulimia Nervosa	August 1, 2014	October 1, 2015
123	UBHWIT0013742	Autism Spectrum Disorder & Intensive Behavior Therapy	October 1, 2014	February 1, 2015
122	UBHWIT0013990	Treatment of Substance-Related & Addictive Disorders	October 1, 2014	June 1, 2015
124	UBHWIT0013545	Treatment of Adjustment Disorder	October 1, 2014	July 1, 2015
125	UBHWIT0014092	Treatment of Oppositional Defiant Disorder (ODD)	October 1, 2014	July 1, 2015
126	UBHWIT0013566	Treatment of Anorexia Nervosa	October 1, 2014	October 1, 2015
127	UBHWIT0013808	Treatment of Bipolar and Related Disorders	October 1, 2014	October 1, 2015
128	UBHWIT0013871	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2014	October 1, 2015
129	UBHWIT0013639	Treatment of Dissociative Identity Disorder	October 1, 2014	October 1, 2015
130	UBHWIT0013966	Treatment of Generalized Anxiety Disorder	October 1, 2014	October 1, 2015
131	UBHWIT0014058	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2014	October 1, 2015
132	UBHWIT0013783	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2014	October 1, 2015
133	UBHWIT0013851	Treatment of Panic Disorder	October 1, 2014	October 1, 2015
134	UBHWIT0013923	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2014	October 1, 2015
135	UBHWIT0014158	Treatment of Specific Phobias	October 1, 2014	October 1, 2015
136	UBHWIT0014129	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2014	October 1, 2015
108	UBHWIT0001740	Custodial Care and Inpatient & Residential Services	February 1, 2014	March 1, 2015
109	UBHWIT0001756	Extended Outpatient Sessions	February 1, 2014	March 1, 2015
121	UBHWIT0014084	Motor Disorders	September 1, 2014	May 1, 2015
137	UBHWIT0013842	Conduct Disorder	October 1, 2014	July 1, 2015
138	UBHWIT0013595	Binge Eating Disorder	October 1, 2014	October 1, 2015
139	UBHWIT0013834	Other Specified and Unspecified Disorders	October 1, 2014	October 1, 2015
140	UBHWIT0014120	Personality Disorders	October 1, 2014	October 1, 2015
141	UBHWIT0013957	Specific Learning Disorder	October 1, 2014	October 1, 2015
157	UBHWIT0263253	Gambling Disorder	October 1, 2015	November 1, 2016
153	UBHWIT0093815	Treatment of Adjustment Disorder	July 1, 2015	October 1, 2015
147	UBHWIT0092782	Treatment of Gender Dysphoria	February 1, 2015	October 1, 2015

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
146	UBHWIT0093205	Autism Spectrum Disorders and Intensive Behavior Therapy	February 1, 2015	August 1, 2016
149	UBHWIT0329972	Extended Outpatient Sessions	March 1, 2015	February 1, 2016
148	UBHWIT0329356	Custodial Care and Inpatient & Residential Services	March 1, 2015	April 1, 2016
150	UBHWIT0098512	Motor Disorders	May 1, 2015	June 1, 2015
151	UBHWIT0332441	Treatment of Substance-Related and Addictive Disorders	June 1, 2015	October 1, 2015
152	UBHWIT0331883	Motor Disorders	June 1, 2015	October 1, 2015
154	UBHWIT0093866	Conduct Disorder	July 1, 2015	October 1, 2015
155	UBHWIT0093692	Treatment of Oppositional Defiant Disorder (ODD)	July 1, 2015	October 1, 2015
158	UBHWIT0262831	Personality Disorders	October 1, 2015	February 1, 2016
173	UBHWIT0331330	Treatment of Specific Phobias	October 1, 2015	February 1, 2016
174	UBHWIT0263087	Conduct Disorder	October 1, 2015	March 1, 2016
175	UBHWIT0327598	Impulse Control Disorders	October 1, 2015	March 1, 2016
176	UBHWIT0263361	Intellectual Disability (Mental Retardation)	October 1, 2015	March 1, 2016
177	UBHWIT0331464	Motor Disorders	October 1, 2015	March 1, 2016
178	UBHWIT0330505	Treatment of Generalized Anxiety Disorder	October 1, 2015	March 1, 2016
179	UBHWIT0262746	Treatment of Oppositional Defiant Disorder (ODD)	October 1, 2015	March 1, 2016
180	UBHWIT0331166	Treatment of Panic Disorder	October 1, 2015	March 1, 2016
181	UBHWIT0330102	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	October 1, 2015	April 1, 2016
159	UBHWIT0262496	Treatment of Adjustment Disorder	October 1, 2015	May 1, 2016
182	UBHWIT0330258	Treatment of Bipolar and Related Disorders	October 1, 2015	May 1, 2016
183	UBHWIT0330295	Treatment of Bulimia Nervosa	October 1, 2015	June 1, 2016
160	UBHWIT0331211	Treatment of Post Traumatic Stress Disorder (PTSD)	October 1, 2015	July 1, 2016
161	UBHWIT0331248	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2015	July 1, 2016
162	UBHWIT0331282	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2015	July 1, 2016
163	UBHWIT0330169	Treatment of Anorexia Nervosa	October 1, 2015	July 1, 2016
164	UBHWIT0330993	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2015	August 1, 2016
165	UBHWIT0263262	Gender Dysphoria	October 1, 2015	October 1, 2016
166	UBHWIT0330344	Treatment of Depressive Disorders	October 1, 2015	October 1, 2016
167	UBHWIT0330417	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2015	October 1, 2016
168	UBHWIT0330383	Treatment of Dissociative Identity Disorders (DID)	October 1, 2015	October 1, 2016

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
169	UBHWIT0331037	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2015	October 1, 2016
170	UBHWIT0262608	Binge Eating Disorder	October 1, 2015	October 1, 2016
171	UBHWIT0331129	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2015	October 1, 2016
172	UBHWIT0262979	Specific Learning Disorders	October 1, 2015	October 1, 2016
156	UBHWIT0331797	Treatment of Substance-Related and Addictive Disorders	October 1, 2015	November 1, 2016
185	UBHWIT0333529	Treatment of Specific Phobias	February 1, 2016	February 1, 2017
188	UBHWIT0332877	Treatment of Generalized Anxiety Disorder	March 1, 2016	February 1, 2017
189	UBHWIT0333268	Treatment of Oppositional Defiant Disorder (ODD)	March 1, 2016	February 1, 2017
190	UBHWIT0333358	Treatment of Panic Disorder	March 1, 2016	February 1, 2017
196	UBHWIT0332579	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	April 1, 2016	January 1, 2017
198	UBHWIT0382403	Treatment of Adjustment Disorder	May 1, 2016	May 1, 2017
197	UBHWIT0382559	Treatment of Bipolar and Related Disorders	May 1, 2016	May 1, 2017
199	UBHWIT0382598	Treatment of Bulimia Nervosa	June 1, 2016	January 1, 2017
200	UBHWIT0383103	Treatment of Post Traumatic Stress Disorder (PTSD)	July 1, 2016	May 1, 2017
201	UBHWIT0383161	Treatment of Reactive Attachment Disorder (RAD)	July 1, 2016	May 1, 2017
202	UBHWIT0383195	Treatment of Schizophrenia & Schizoaffective Disorder	July 1, 2016	Present
205	UBHWIT0382514	Autism Spectrum Disorder and Intensive Behavior Therapy	August 1, 2016	January 1, 2017
204	UBHWIT0382882	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	August 1, 2016	Present
206	UBHWIT0382652	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2016	Present
207	UBHWIT0382692	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2016	Present
208	UBHWIT0382927	Treatment of Obsessive-Compulsive and Hoarding Disorder	October 1, 2016	Present

Ex. A.6 - Coverage Determination Guidelines in Group 6

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
6	UBHWIT0353748	2016 Level of Care Guidelines (January)	January 19, 2016	June 20, 2016
7	UBHWIT0656347	2016 Level of Care Guidelines (June)	June 20, 2016	March 12, 2017
157	UBHWIT0263253	Gambling Disorder	October 1, 2015	November 1, 2016
146	UBHWIT0093205	Autism Spectrum Disorders and Intensive Behavior Therapy	February 1, 2015	August 1, 2016
158	UBHWIT0262831	Personality Disorders	October 1, 2015	February 1, 2016
159	UBHWIT0262496	Treatment of Adjustment Disorder	October 1, 2015	May 1, 2016
160	UBHWIT0331211	Treatment of Post Traumatic Stress Disorder (PTSD)	October 1, 2015	July 1, 2016
161	UBHWIT0331248	Treatment of Reactive Attachment Disorder (RAD)	October 1, 2015	July 1, 2016
162	UBHWIT0331282	Treatment of Schizophrenia & Schizoaffective Disorder	October 1, 2015	July 1, 2016
163	UBHWIT0330169	Treatment of Anorexia Nervosa	October 1, 2015	July 1, 2016
164	UBHWIT0330993	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	October 1, 2015	August 1, 2016
165	UBHWIT0263262	Gender Dysphoria	October 1, 2015	October 1, 2016
166	UBHWIT0330344	Treatment of Depressive Disorders	October 1, 2015	October 1, 2016
167	UBHWIT0330417	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2015	October 1, 2016
168	UBHWIT0330383	Treatment of Dissociative Identity Disorders (DID)	October 1, 2015	October 1, 2016
169	UBHWIT0331037	Treatment of Obsessive-Compulsive and Related Disorders	October 1, 2015	October 1, 2016
170	UBHWIT0262608	Binge Eating Disorder	October 1, 2015	October 1, 2016
171	UBHWIT0331129	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2015	October 1, 2016
172	UBHWIT0262979	Specific Learning Disorders	October 1, 2015	October 1, 2016
156	UBHWIT0331797	Treatment of Substance-Related and Addictive Disorders	October 1, 2015	November 1, 2016
185	UBHWIT0333529	Treatment of Specific Phobias	February 1, 2016	February 1, 2017
188	UBHWIT0332877	Treatment of Generalized Anxiety Disorder	March 1, 2016	February 1, 2017
189	UBHWIT0333268	Treatment of Oppositional Defiant Disorder (ODD)	March 1, 2016	February 1, 2017
190	UBHWIT0333358	Treatment of Panic Disorder	March 1, 2016	February 1, 2017
196	UBHWIT0332579	Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD)	April 1, 2016	January 1, 2017
198	UBHWIT0382403	Treatment of Adjustment Disorder	May 1, 2016	May 1, 2017
197	UBHWIT0382559	Treatment of Bipolar and Related Disorders	May 1, 2016	May 1, 2017

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
199	UBHWIT0382598	Treatment of Bulimia Nervosa	June 1, 2016	January 1, 2017
200	UBHWIT0383103	Treatment of Post Traumatic Stress Disorder (PTSD)	July 1, 2016	May 1, 2017
201	UBHWIT0383161	Treatment of Reactive Attachment Disorder (RAD)	July 1, 2016	May 1, 2017
202	UBHWIT0383195	Treatment of Schizophrenia & Schizoaffective Disorder	July 1, 2016	Present
205	UBHWIT0382514	Autism Spectrum Disorder and Intensive Behavior Therapy	August 1, 2016	January 1, 2017
204	UBHWIT0382882	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	August 1, 2016	Present
206	UBHWIT0382652	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2016	Present
207	UBHWIT0382692	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2016	Present
208	UBHWIT0382927	Treatment of Obsessive-Compulsive and Hoarding Disorder	October 1, 2016	Present
186	UBHWIT0333433	Personality Disorders	February 1, 2016	January 1, 2017
187	UBHWIT0332834	Extended Outpatient Sessions	February 1, 2016	February 1, 2017
191	UBHWIT0333029	Intellectual Disability (Intellectual Developmental Disorder)	March 1, 2016	January 1, 2017
192	UBHWIT0333071	Motor Disorders	March 1, 2016	January 1, 2017
193	UBHWIT0332661	Conduct Disorder	March 1, 2016	February 1, 2017
194	UBHWIT0333012	Impulse Control Disorders	March 1, 2016	February 1, 2017
195	UBHWIT0332680	Custodial Care and Inpatient & Residential Services	April 1, 2016	March 1, 2017
203	UBHWIT0382436	Treatment of Anorexia Nervosa	July 1, 2016	January 1, 2017
212	UBHWIT0382550	Binge Eating Disorder	October 1, 2016	January 1, 2017
213	UBHWIT0383242	Specific Learning Disorder	October 1, 2016	January 1, 2017
209	UBHWIT0382723	Dissociative Identity Disorder	October 1, 2016	Present
210	UBHWIT0382786	Gender Dysphoria	October 1, 2016	Present
211	UBHWIT0383038	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2016	Present
214	UBHWIT0382775	Substance - Related and Addictive Disorders	November 1, 2016	Present
215	UBHWIT0617299	Feeding and Eating Disorders	January 1, 2017	Present
216	UBHWIT0616958	Neurodevelopmental Disorders	January 1, 2017	Present
217	UBHWIT0617310	Personality Disorders	January 1, 2017	Present
218	N/A	Anxiety Disorders	February 1, 2017	Present
219	N/A	Disruptive, Impulse-Control & Conduct Disorders	February 1, 2017	Present
220	UBHWIT0622232	Extended Outpatient Sessions	February 1, 2017	Present
221	N/A	Custodial care (inpatient & residential services)	May 1, 2017	Present

Ex. A.7 - Coverage Determination Guidelines in Group 7

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
8	UBHWIT0606928 UBHWIT0622889 UBHWIT0606932 UBHWIT0622943	2017 Level of Care Guidelines	March 12, 2017	Present
197	UBHWIT0382559	Treatment of Bipolar and Related Disorders	May 1, 2016	May 1, 2017
200	UBHWIT0383103	Treatment of Post Traumatic Stress Disorder (PTSD)	July 1, 2016	May 1, 2017
201	UBHWIT0383161	Treatment of Reactive Attachment Disorder (RAD)	July 1, 2016	May 1, 2017
202	UBHWIT0383195	Treatment of Schizophrenia & Schizoaffective Disorder	July 1, 2016	Present
204	UBHWIT0382882	Treatment of Major and Mild Neurocognitive Disorders (Dementia)	August 1, 2016	Present
206	UBHWIT0382652	Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD)	October 1, 2016	Present
207	UBHWIT0382692	Treatment of Disruptive Mood Dysregulation Disorder	October 1, 2016	Present
208	UBHWIT0382927	Treatment of Obsessive-Compulsive and Hoarding Disorder	October 1, 2016	Present
209	UBHWIT0382723	Dissociative Identity Disorder	October 1, 2016	Present
210	UBHWIT0382786	Gender Dysphoria	October 1, 2016	Present
211	UBHWIT0383038	Other Specified and Unspecified Disorders (Previously NOS Disorders)	October 1, 2016	Present
214	UBHWIT0382775	Substance - Related and Addictive Disorders	November 1, 2016	Present
215	UBHWIT0617299	Feeding and Eating Disorders	January 1, 2017	Present
216	UBHWIT0616958	Neurodevelopmental Disorders	January 1, 2017	Present
217	UBHWIT0617310	Personality Disorders	January 1, 2017	Present
218	N/A	Anxiety Disorders	February 1, 2017	Present
219	N/A	Disruptive, Impulse-Control & Conduct Disorders	February 1, 2017	Present
220	UBHWIT0622232	Extended Outpatient Sessions	February 1, 2017	Present
221	N/A	Custodial care (inpatient & residential services)	May 1, 2017	Present
222	N/A	Bipolar and Related Disorders	May 1, 2017	Present

Ex. A.8 - Coverage Determination Guidelines in Group 8

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
1	UBHWIT0014654	2011 Level of Care Guidelines	March 28, 2011	March 26, 2012
2	UBHWIT0000001	2012 Level of Care Guidelines	March 26, 2012	March 19, 2013
3	UBHWIT0000082	2013 Level of Care Guidelines	March 19, 2013	January 21, 2014
4	UBHWIT0000170	2014 Level of Care Guidelines	January 21, 2014	January 20, 2015
5	UBHWIT0354266	2015 Level of Care Guidelines	January 20, 2015	January 19, 2016
6	UBHWIT0353748	2016 Level of Care Guidelines (January)	January 19, 2016	June 20, 2016
7	UBHWIT0656347	2016 Level of Care Guidelines (June)	June 20, 2016	March 12, 2017
8	UBHWIT0606928 UBHWIT0622889 UBHWIT0606932 UBHWIT0622943	2017 Level of Care Guidelines	March 12, 2017	Present
11	UBHWIT0011205	Residential Rehabilitation for Substance Use Disorders	August 1, 2010	December 1, 2011
48	UBHWIT0001118	Residential Rehabilitation for Substance Use Disorders	December 1, 2011	March 5, 2013
49	UBHWIT0000292	Intensive Outpatient Program for Substance Use Disorders	January 1, 2012	October 1, 2012
50	UBHWIT0000496	Outpatient Treatment of Substance Use Disorders	February 1, 2012	March 5, 2013
68	UBHWIT0001175	Intensive Outpatient Program for Substance Use Disorders	October 1, 2012	March 5, 2013
88	UBHWIT0001405	Treatment of Substance Use Disorders	March 5, 2013	March 1, 2014
112	UBHWIT0001709	Treatment of Substance Use Disorders	March 1, 2014	October 1, 2014
122	UBHWIT0013990	Treatment of Substance-Related & Addictive Disorders	October 1, 2014	June 1, 2015
151	UBHWIT0332441	Treatment of Substance-Related and Addictive Disorders	June 1, 2015	October 1, 2015
156	UBHWIT0331797	Treatment of Substance-Related and Addictive Disorders	October 1, 2015	November 1, 2016
214	UBHWIT0382775	Substance - Related and Addictive Disorders	November 1, 2016	Present

Ex. A.9 - Coverage Determination Guidelines in Group 9

Trial Ex. No.	ControlID	Guideline Title	Effective Dates	
			Start	End
10	UBHWIT0009652	Custodial Care and Inpatient Services	August 1, 2010	December 1, 2011
47	UBHWIT0001135	Custodial Care and Inpatient Services	December 1, 2011	January 1, 2013
84	UBHWIT0001431	Custodial Care and Inpatient & Residential Services	January 1, 2013	February 1, 2014
108	UBHWIT0001740	Custodial Care and Inpatient & Residential Services	February 1, 2014	March 1, 2015
148	UBHWIT0329356	Custodial Care and Inpatient & Residential Services	March 1, 2015	April 1, 2016
195	UBHWIT0332680	Custodial Care and Inpatient & Residential Services	April 1, 2016	March 1, 2017