WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 2/1/2022 - 2/1/2023, without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

January 19, 2022

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of **9/27 - 10/26/2021** without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

September 3 2021

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 9/27/2021-10/26/2021 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

Sarah West
(Signature)

9/6/2021
(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 9/27/2021 - 10/26/2021 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

September 1, 2021

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 9/27/2021 – 10/26/2021 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

09/02/2021

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 09/27/2021 - 09/27/2022 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

08/31/2021

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 9/27/2021 - 10/26/2021 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

06/09/2021

(Date)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 1/1/22 to 12/31/22, without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

1/26/22

(Date)
Dr. Andrea M. Matwyshyn:

Congratulations! You have been selected to serve as an Expert/Senior Special Advisor at the Federal Trade Commission in the Bureau of Consumer Protection in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, May 9, 2022 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith
Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Mr. Erik Martin:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, September 27, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.


Stephanie F. Smith
Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
September 24, 2021

Ms. Kathy Pham:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, September 27, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith
Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Mr. Alejandro Calcana:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, October 25, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Ms. Amba Kak:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, October 25, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Mr. John Kwoka:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC's mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC's virtual new employee orientation on Monday, October 25, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC's extremely important mission.

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Ms. Meredith Whittaker:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, October 25, 2021! (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
Ms. Sarah West:

Congratulations! You have been selected to serve as a Technology Advisor at the Federal Trade Commission in the Office of Policy Planning in Washington, DC.

FTC’s mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC’s virtual new employee orientation on Monday, October 25, 2021 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC’s extremely important mission.

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission
January 27, 2022

Spencer Waller

Dear Mr. Waller,

We are pleased to extend a final offer of employment as an Unpaid Expert/Advisor at the Federal Trade Commission, Office Of Policy Planning, located in Washington, District Of Columbia. Your appointment will be effective on Monday, January 31, 2022.

Due to the current COVID-19 emergency, HCMO will conduct an abbreviated, virtual orientation schedule on Monday, January 31, 2022. To adhere to the rules of social distancing, ensure the safety of our new employees, and reduce possible exposure of COVID-19 to our FTC staff, orientation will be provided via Zoom. You will receive a meeting invitation to the email address you provided that includes a link to the Zoom and login information. Additional onboarding information will be accessible on HCMO’s Welcome to the FTC intranet page.

Congratulations on your new appointment and welcome to the Federal Trade Commission! If you have any questions, please do not hesitate to contact me.

Sincerely,

//SIGNED//
Stephanie F. Smith, Director

Human Capital Operations

SSMITH4@FTC.GOV

(202) 326-3010
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 10/1/21 - 10/31/21 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature)

Oct 1, 2021
(Date)
QUARTERLY REVIEWS OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by employing office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle)
   Kwoka, John

2. DATES OF CURRENT APPOINTMENT
   Beginning 10/25/2021
   End 10/25/2022

3. SUMMARY OF DUTIES (Describe in enough detail to show that work performed requires the services of an expert/consultant. Use the other side if necessary.)
   The assignee serves as an Advisor and Chief Economist to the Chair, and acts as a visionary leader on economic issues related to the FTC's law enforcement activities, and consumer protection and antitrust missions. The assignee works together with staff and attorneys throughout the FTC to provide case support (investigation and litigation), policy research and development, competition and consumer advocacy. The assignee plays an integral role in the Commission's strategic direction and advise the Chair and senior Commission leaders on economic issues required to advance the agency's mission.

REVIEW OF QUARTER ENDING DEC. 31, 2021 . NUMBER OF DAYS WORKED: 

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING
   ○ THE SAME DUTIES (Item 3 above)
   ○ DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE

SALARY WILL CONTINUE AT
   ○ SAME RATE OF $0
   ○ NEW RATE OF
   (Use other side for explanation and attach SF 52.)

EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING MAR 31
   ○ YES (Attach SF 52 only for conversion to new appointment)
   ○ NO (Attach SF 52 to terminate appointment)

SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) DATE

Jennifer Howard

REVIEW OF QUARTER ENDING MAR. 31, 2022 . NUMBER OF DAYS WORKED: 90

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING
   ○ THE SAME DUTIES (Item 3 above)
   ○ DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE

SALARY WILL CONTINUE AT
   ○ SAME RATE OF
   ○ NEW RATE OF
   (Use other side for explanation and attach SF 52.)

EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING JUN 30
   ○ YES (Attach SF 52 only for conversion to new appointment)
   ○ NO (Attach SF 52 to terminate appointment)

SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) DATE

REVIEW OF QUARTER ENDING JUN. 30, 20  . NUMBER OF DAYS WORKED: 

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING
   ○ THE SAME DUTIES (Item 3 above)
   ○ DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE

SALARY WILL CONTINUE AT
   ○ SAME RATE OF
   ○ NEW RATE OF
   (Use other side for explanation and attach SF 52.)

EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING SEP 30
   ○ YES (Attach SF 52 only for conversion to new appointment)

SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) DATE

REVIEW OF QUARTER ENDING SEP. 30, 20  . NUMBER OF DAYS WORKED: 

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING
   ○ THE SAME DUTIES (Item 3 above)
   ○ DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE

SALARY WILL CONTINUE AT
   ○ SAME RATE OF
   ○ NEW RATE OF
   (Use other side for explanation and attach SF 52.)

EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING DEC 31
   ○ YES (Attach SF 52 only for conversion to new appointment)
   ○ NO (Attach SF 52 to terminate appointment)

SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) DATE

TO BE COMPLETED UPON TERMINATION OF EXPERT/CONSULTANT SERVICES

I CERTIFY THAT THE SERVICES PROVIDED BY THE ABOVE EXPERT/CONSULTANT WERE
   ○ OUTSTANDING
   ○ SATISFACTORY
   ○ UNSATISFACTORY

SHOULD THE SERVICES OF THIS EXPERT/CONSULTANT BE DESIRED AGAIN, I WOULD
   ○ RECOMMEND REAPPOINTMENT
   ○ NOT RECOMMEND REAPPOINTMENT

SIGNATURE DATE

FTC Form 244 (rev. 3/06)
WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 2021 Sept 27 - 2022 Sept 27 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

(Signature) Kathy Pham Evans (Kathy Pham, preferred)

2021 Aug 30

(Date)
## Identification

**1. NAME OF EXPERT/CONSULTANT (Last, First, Middle):** Alejandro Calcano  
**2. POSITION TITLE:** Advisor  
**3. CURRENT SALARY:** 0.00  
**4. SALARY REQUESTED:** 0.00

### Summary of Duties
The position is located in the Office of Policy Planning (OPP), FTC, Washington, DC. In accordance with 5 C.F.R. § 304: Expert and Consultant Appointments, the assignee will serve as a Technology Advisor, and act as a key source of technical and policy expertise to facilitate visionary strategic initiatives related to the Commission’s approach to governing emerging technologies, as well as enhancing the Commission’s technology operations. As an authority on and recognized expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technologist, senior Commission leaders, and case teams on the latest developments in emerging technology and related policy issues, and guide leaders on the technologies required to advance the agency’s mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing technology, engineering, and artificial intelligence, the assignee will leverage his or her deep first-hand expertise to equip the agency with the institutional knowledge it requires in order to bolster and scale its capacity to govern emerging technologies.

### Summary of Qualifications
Alejandro currently serves as the Special Projects and Communications Lead, supporting the operational and strategic growth of the AI Now Institute. He consulted with the founding team on the first AI Now Symposium in 2016, and since then has worked closely with the Leadership Team on event production and various research projects. Previously he worked as Research, Design and Production Associate at Sunny Bates Associates, a firm connecting the people and ideas shaping the future. Alejandro grew up in Caracas, Venezuela, and received his BA in Media Studies from Vassar College. The expertise that Alejandro has developed in the ethics of artificial intelligence positions him to research and amplify emerging FTC efforts to ensure that regulated corporations leverage machine learning and artificial intelligence responsibly and without harming consumers. Alejandro will play an integral role in the Commission’s strategic direction by leveraging his deep technical expertise and operational experience to provide advice and assistance on major technology research initiatives, as well as matters in litigation, and to guide programs and policies that enhance the effectiveness of the Commission’s technology operations.

### Complete This Item Only for Conversion to New Appointment

| Intermittent employment totaled | days in a pay status from | to | (mm/dd/yy) of the previous service year. |

### ISAWAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?
- [ ] No  
- [ ] Yes. If Yes, provide contract number.

### Requested By
- **Stephanie Nguyen**

### Bureau/Office
- **Office of Policy Planning**

### Approvals

**I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.**

**Signature of Assistant Director (Headquarters) or Regional Director (Regional Offices):**  
**Date:**

**Signature of Bureau/Office Head:**  
**Date:**

**Stephanie T. Nguyen**

**I certify that: a) this expert/consultant position is necessary; b) the position is [ ] Intermittent (has no regular tour of duty) [ ] Temporary (has a regular tour of duty); c) the daily rate of $ [ ] is commensurate with the work to be performed and the appointee’s qualifications; d) all requirements of law have been met.**

**Signature of Chief Human Capital Officer:**  
**Date:**

**Vicki Barber**  
**Date:** 11/08/2021

---

FCC Form 189 (rev. 12/2020)
AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR ALEJANDRO CALCANO

The FTC is amending the statement of duties (Box 5) on Alejandro Calcano’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 8, 2021 to reflect the actual duties performed and the limitations imposed on Mr. Calcano’s work during his tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Mr. Calcano’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, his actual duties are summarized below:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on technology research strategy and implementation. In particular, he will work together with staff to provide support on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. He will bring his expertise on the ethics of artificial intelligence and tech research strategy and implementation to bear on several key project areas in which he would review and support the advancement of work product which would include: (1) collaborating closely with staff and leadership to provide support on work product related to emerging tech issues and market development trends that could lead to enforcement efforts and (2) providing relevant advice and assistance on technology research. Given the assignee’s expertise, he will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, he will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

ELIZABETH WILKINS
Director, Office of Policy and Planning
ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

Lorielle L. Pankey
Designated Agency Ethics Official
Justification and Approval of Employment of Expert/Consultant

Identification (to be completed by originating office)

1. Name of Expert/Consultant (Last, First, Middle): Amber Kak
2. Position Title: Technology Advisor
3. Current Salary: $0.00
4. Salary Requested: $0.00

5. Summary of Duties: (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP), FTC, Washington, DC. The assignee will serve as a Technology Advisor, and as a key source of technical and policy expertise to facilitate visionary strategic initiatives related to the Commission’s approach to governing emerging technologies, as well as enhancing the Commission’s technology operations. As an authority on and recognized expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technology Officer, senior Commission leaders, and case teams on the latest developments in emerging technology and related policy issues, and guide leaders on the technologies required to advance the agency’s mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing technology, engineering, and artificial intelligence, the assignee will leverage his or her deep first-hand expertise to equip the agency with the institutional knowledge it requires in order to bolster and scale its capacity to govern emerging technologies.

6. Summary of Qualifications: (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Amber Kak currently serves as the Director for Global Policy and Programs at NYU’s AI Now Institute, where she develops and leads AI Now’s global policy engagement initiatives, research programs, and partnerships. In this role, she spearheads the development and implementation of a research and policy agenda around AI ethics and responsibility, ensuring that it is relevant to a broad set of stakeholders across sectors, regions, and functions. The expertise Amber has developed in the ethics of artificial intelligence, and knowledge she holds on the diverse interests of the stakeholders in this area, perfectly positions her to lead the FTC’s emerging efforts to ensure that regulated corporations leverage these latest technologies in a socially responsible way, including by not unfairly harming consumers. Furthermore, her experience at the intersection of technology and law—including serving as a Fellow at NYU School of Law and as a Technology Policy Fellow and Advisor at the Mozilla Foundation—has prepared her to advise senior Commission leaders on innovative legal theories that the FTC can leverage to better protect consumers in digital markets.

7. Complete This Item Only for Conversion to New Appointment

Intermittent employment totaled ____________ days in a pay status from ________ to ________ (mm/dd/yy) of the previous service year.

8. ISAWAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

☐ Yes. If Yes, provide contract number:

9. Requested By:

Erie Meyer, CTO

10. Telephone Number

11. Bureau/Office:

Office of Public Policy

12. Division

Approvers

I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

Signature of Assistant Director (Headquarters) or Regional Director (Regional Offices):

Date:

Signature of Bureau/Office Head

ERIE MEYER

Digitally signed by ERIE MEYER

Date: 2021.09.08 17:03:25 -04'00'

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

Signature of Agency Ethics Official

LORIELLE PANKEY

Digitally signed by LORIELLE PANKEY

Date: 2021.09.23 10:50:57 -04'00'

15. Fourth Quarter Approval

Office of the Executive Director Representative

DAVID ROBBINS

Digitally signed by DAVID ROBBINS

Date: 2021.09.23 13:43:25 -04'00'

16. I certify that:

☐ a) this expert/consultant position is necessary;

☐ b) the position is Intermittent (has no regular tour of duty) or Permanent (has a regular tour of duty);

☐ c) the daily rate of $0.00 is commensurate with the work to be performed and the appointee’s qualifications;

☐ d) all requirements of law have been met.

Signature of Chief Human Capital Officer

VICKI BARBER

Digitally signed by VICKI BARBER

Date: 2021.11.08 12:06:17 -05'00'

FTC Form 189 (rev. 12/2020)
AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR AMBA KAK

The FTC is amending the statement of duties (Box 5) on Amba Kak’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 8, 2021 to reflect the actual duties performed and the limitations imposed on Ms. Kak’s work during her tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Ms. Kak’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, her actual duties are summarized below:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on evolving technology issues. In particular, she will work together with staff to provide advice on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. She will bring her expertise in artificial intelligence, international technology and law, and technology platforms and systems to bear on several key project areas in which she would advise via written research and memos and review and support the advancement of work product which would include: (1) supporting Bureau staff on cases and enforcement matters, (2) collaborating closely with staff and leadership to provide insights on emerging tech issues and market development trends that could lead to enforcement efforts, and (3) providing technical subject matter expertise and technical assistance as a resource to agency staff on markets and technologies. Given the assignee’s expertise, she will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, she will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

ELIZABETH WILKINS
Director, Office of Policy and Planning
ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

Lorielle L. Pankey
Designated Agency Ethics Official
John E. Kwoka, jr.

Address: [Box]

Phone: [Box]
Fax:
Email:
Web:

Current Positions:
Chief Economist to the Chair, Federal Trade Commission
Neal F. Finnegar Distinguished Professor of Economics, Northeastern University (on leave)
Board of Directors, Industrial Organization Society
Editorial Board, Review of Industrial Organization

Previous Academic Positions:
Professor of Economics, George Washington University, 1981-2001
Columbian Professor, 2001
Research Professor, 2001-2003
Faculty Associate in Public Policy, George Washington University, 1983-2001
Visiting Professor of Economics, Harvard University, 1994-95
Visiting Associate Professor of Economics, Northwestern University, 1980-81
Assistant Professor of Economics, University of North Carolina at Chapel Hill, 1972-75
Instructor, Lecturer in Economics, University of Pennsylvania, 1970-72

Previous Professional Positions:
Advisory Board, Journal of Industrial and Business Economics (2014-21)
Board of Directors and Senior Fellow, American Antitrust Institute (1999-2021)
Academic Advisory Board, Open Markets Institute (2019-21)
Non-Governmental Advisor, International Competition Network (2010-21)
Advisory Board, Competition Policy International (2020-21)
Member, Advisory Council to the Competition Commission of Mauritius, 2012
Guest Scholar, Amsterdam Center for Law and Economics, University of Amsterdam, Fall 2008
ENCORE Fellow, University of Amsterdam, 2003-09
General Editor, Review of Industrial Organization, 2001-04
Vice President, Southern Economic Association, 2000-02
Fellow, Center for Business and Government, Kennedy School, Harvard, Summer 2000
Founder and Co-Director, GWU Research Program on Industry Economics and Policy, 1996-2001
Guest Scholar, Brookings Institution, 1995
Special Assistant to the Chief, Common Carrier Bureau, Federal Communications Commission, 1987-88
President, Industrial Organization Society, 1998-99
Board of Editors, *Journal of Media Economics*: 1987-96
Economist, Economic Policy Office, Department of Justice Antitrust Division, 1985
Economist, Bureau of Economics, Federal Trade Commission, 1975-81
Economic Policy Fellow, Brookings Institution, 1975-76

**Education:**
- Ph.D. in Economics, University of Pennsylvania, 1972
- A.B. in Economics, cum laude, Brown University, 1967
- Rensselaer Polytechnic Institute, 1963 (transferred)

**PUBLICATIONS**

**Books:**


  *Mergers, Merger Control, and Remedies: A Retrospective Analysis of U.S. Policy*, MIT Press, 2015, second printing 2018

  *The Antitrust Revolution*, co-edited with Lawrence J. White:
    - 7th ed., Oxford University Press, 2019
    - 4th ed., Oxford University Press, 2004
    - 3rd ed., Oxford University Press, 1999

Articles:


“Reflections on the Tenth Anniversary of the Antitrust and Regulatory Update,” Review of Industrial Organization, December 2011


Competition Policy and the Transition to a Low-Carbon, Efficient Electricity Industry,” with Diana Moss, Electricity Journal, August-September 2010.


“Networks and Natural Monopoly,” in Network Access, Regulation, and Antitrust, Diana Moss, ed., Routledge, 2005.


"Regulation and Deregulation American Style," Sosyal Bilimler Dergisi, Fall 1993.


Market Shares, Concentration, and Competition in Manufacturing Industries, FTC Staff Report, August 1978.


Book Reviews:


Industrial Organization by Kenneth Clarkson and Roger Miller, in Antitrust Law and Economics Review, 1985 (No. 3).


Policy Essays:

“Fix It or Forget It: A ‘No-Remedies’ Policy for Merger Enforcement,” with Spencer Waller, CPI Antitrust Chronicle, August 2021


“Conduct Remedies, with 2020 Hindsight: Have We Learned Anything in the Last Decade?” CPI Chronicle, 2020

“Restoring Antitrust, Restoring Competition,” CPI Chronicle, October 2019


“Mergers, Merger Control, and Remedies: A Response to the Vita-Osinski Critique, Antitrust Law Journal, 2018

“U.S. Antitrust and Competition Policy amid the New Merger Wave,” Washington Center for Equitable Growth, July 2017

“Squaring the Deal,” Milken Institute Review, 4th Quarter, 2017

“Evidence-Based Policy in Antitrust: The Need for Ongoing Merger Retrospectives,”


“Mergers, Merger Control, and Remedies: A Response to the FTC Critique,” ssrn 2017


**Monographs (not otherwise published):**


“Price Caps for Postal Service: Some Lessons and Some Limits,” GWU Center for Economic Research Discussion Paper 00-03, April 2000


OTHER

Jerry S. Cohen Award for Best Structural Competition Article, 2019

Issue Editor, “Airline Deregulation,” Review of Industrial Organization, February 2019

AAI Award for Best Antitrust Book of the Year, 2016

Jerry S. Cohen Award for Antitrust Scholarship, 2014

ABA Antitrust Section Economics Grant Program recipient, 2012-13

Distinguished Service Award (first), Industrial Organization Society, 2012

Principle organizer and Chair of Local Organizing Committee, International Industrial Organization Conferences, Northeastern University, alternate years since 2003

Award for Meritorious Service, Federal Trade Commission, 1980

Numerous interviews, quotations, and references in business and popular press.

Appearances before congressional and state legislative bodies.

Pro bono work on antitrust and regulatory matters.

Membership in:
American Bar Association Antitrust Section
American Economic Association
European Association for Research in Industrial Economics
Industrial Organization Society
International Competition Network
Southern Economic Association
JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle)  2. POSITION TITLE  3. CURRENT SALARY  4. SALARY REQUESTED
John Kwoka  Advisor  0.00

5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. § 304: Expert and Consultant Appointments, the assignee will serve as an Advisor and Chief Economist to the Chair, and act as a visionary leader on economic issues related to the FTC’s law enforcement activities, and consumer protection and antitrust missions. The assignee will report to the Chair, and work together with staff and attorneys throughout the FTC to provide case support (investigation and litigation), policy research and development, competition and consumer advocacy, and, when needed, public outreach. The assignee will play an integral role in the Commission’s strategic direction and advise the Chair and senior Commission leaders on economic issues required to advance the agency’s mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing the areas of industrial organization, antitrust, and regulatory economics, the assignee will provide the Chair advice and analysis to inform FTC policy.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

John Kwoka is the Neal F. Finnegan Distinguished Professor of Economics at Northeastern University, where he teaches and conducts research in the areas of industrial organization, antitrust, and regulatory economics. His emphasis is on the application of economics to current policy issues in various industries. His other teaching interests include courses on the microeconomics of the financial crisis and on sports economics. Kwoka’s recent research has focused on the effectiveness of merger policy in the U.S. Kwoka’s most recent book on this subject CONTROLLING MERGERS AND MARKET POWER: A Program for Reviving Antitrust in America was just released by CPI Publishing. His earlier book, Mergers, Merger Control, and Remedies in the United States: A Retrospective Analysis was published in 2015 by MIT Press and won the Cohen Award for the Best Antitrust Book. He also co-edits The Antitrust Revolution, the leading casebook on recent antitrust cases. Kwoka has worked at the U.S. antitrust and regulatory agencies and has served as advisor or consultant to them and to those of other countries and international agencies.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _______ days in a pay status from ________ (mm/dd/yy) of the previous service year.  

8. ISAWAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

☐ No  ☐ Yes. If Yes, provide contract number.

9. REQUESTED BY

Stephanie Nguyen

10. TELEPHONE NUMBER

11. BUREAU/OFFICE

Office of Policy Planning

12. DIVISION

APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices)

DATE

SIGNATURE OF BUREAU/OFFICE HEAD

Stephanie T. Nguyen

DATE

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL

LORIELLE PANKEY

DATE

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE

DAVID ROBBINS  executive director  10/25/2021

16. I certify that:  a) this expert/consultant position is necessary;  b) the position is _______ Intermittent (has no regular tour of duty) _______ Temporary (has a regular tour of duty); c) the daily rate of $ _______ is commensurate with the work to be performed and the appointee’s qualifications; d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER

VICKI BARBER

DATE

FTC Form 189 (rev. 12/2020)
AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR JOHN KWOKA

The FTC is amending the statement of duties (Box 5) on John Kwoka’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 8, 2021 to reflect the actual duties performed and limitations imposed on Mr. Kwoka’s work during his tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Mr. Kwoka’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, his actual duties are summarized in the following paragraph:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304, Expert and Consultant Appointments, the assignee will serve as an Advisor and Chief Economist to the Chair on economic issues related to the FTC’s law enforcement activities and antitrust missions. The assignee will bring a unique, outside perspective to the FTC’s work in [Box 5] as well as the agency’s approach [Box 3]. His outside point of view will help broaden the FTC’s judgement on administrative, economic, and technical issues. His past scholarship, which demonstrates a comprehensive understanding of the need for [Box 5], illustrates how his outside point of view will help the FTC [Box 3] from a different viewpoint. The assignee will draw on his past research, writing and experiences in the merger review process in advising the Chair. Dr. Kwoka will also bring his outside perspective to the analysis of [Box 5] [Box 3]. Dr. Kwoka will work with staff in reviewing and revising the Bureau of Competition’s current approach to [Box 5] With respect to Dr. Kwoka will bring his expertise to bear on long-standing concerns about mergers that combine different products into a single “portfolio.” Dr. Kwoka will thus draw on current outside understanding to help the FTC invigorate, where appropriate, agency investigations of such mergers. Dr. Kwoka’s work will help ensure that the agency has the full benefit of a highly respected and independent outside point of view. Although the assignee will support policy development, he will not perform inherently governmental functions such as the determination of agency policy, do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

ELIZABETH WILKINS
Director, Office of Policy and Planning
ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE PANKEY
Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
09:50:28 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official