

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Erik Martin	2. POSITION TITLE Technology Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP), FTC, Washington, DC. The assignee will act as a Senior Technology Advisor, and serve as a visionary leader on policy and strategic initiatives that directly and indirectly affect Commission technology policy and operations. As an authority on and recognized expert in technology research, engineering, and User Experience Design (UX) design, the assignee will represent the Chief Technologist in conferences with senior Commission leaders, and provide advice on significant technology and related policy issues. The assignee will play an integral role in the Commission's strategic direction and advise senior Commission leaders on technologies required to advance the agency's mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing major technology research, engineering, and or User Experience Design (UX) design initiatives, the assignee will provide the Chief Technologist advice and analysis to influence decisions regarding programs and policies that enhance the effectiveness of the commission's technology operations.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Erik previously served as a policy advisor at the White House Office of Science and Technology Policy (OSTP), in addition to serving as the Department of Energy, Department of Education, and in the U.S. House of Representatives. He studied at the Oxford Internet Institute as a Clarendon Scholar, where he conducted research on misinformation and disinformation in the media environment. Since then, he has advised on technology policy matters for the Day One Project and the Democracy Fund, where he has helped formulate and advance cutting-edge policy proposals at the intersection of technology, media, and democracy. Erik's experience and expertise, derived from his time across government and nonprofit organizations, will be critical to the FTC Chief Technologist's efforts to coordinate diverse stakeholders working to leverage technology in the public interest, facilitate strategic policy initiatives at the Commission to protect consumers in digital marketplaces, and build capacity for the agency to incorporate cutting-edge technology expertise into case teams through BC and BCP.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Erie Meyer, CTO	10. TELEPHONE NUMBER
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11. BUREAU/OFFICE Office of Public Policy	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

Erie Meyer

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

LORIELLE PANKEY

Digitally signed by LORIELLE PANKEY
Date: 2021.09.08 16:13:51 -04'00'

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE _____ TITLE _____ DATE _____

DAVID ROBBINS

Digitally signed by DAVID ROBBINS
Date: 2021.09.09 11:49:55 -04'00'

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ 0.00 is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

VICKI BARBER

Digitally signed by VICKI BARBER
Date: 2021.11.04 14:24:48 -04'00'

AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR ERIK MARTIN

The FTC is amending the statement of duties (Box 5) on Erik Martin’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 4, 2021 to reflect the actual duties performed and the limitations imposed on Mr. Martin’s work during his tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Mr. Martin’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, his actual duties are summarized below.

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on evolving technology issues, and act as an expert resource for staff and senior leadership. In particular, he will work together with staff to provide advice on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. He will bring his expertise in immersive technology, information ecosystems, and building digital service tech teams to bear on several key project areas in which he would advise via written research and memos and review and support the advancement of work product which would include: (1) supporting Bureau staff on cases and enforcement matters, (2) collaborating closely with staff and leadership to provide insights on emerging tech issues and market development trends that could lead to enforcement, (3) providing technical subject matter expertise and technical assistance as a resource to agency staff on markets and technologies and (4) providing expertise on digital service capacity building strategies. Given the assignee’s expertise, he will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, he will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

**ELIZABETH
WILKINS**

Digitally signed by
ELIZABETH WILKINS
Date: 2022.08.26 12:55:05
-04'00'

Elizabeth Wilkins
Director, Office of Policy and Planning

MONIQUE
FORTENBERRY

Digitally signed by
MONIQUE FORTENBERRY
Date: 2022.08.26 15:27:56
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 14:16:08
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
15:41:32 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Varoon Mathur	2. POSITION TITLE Expert/Technology Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP). In accordance with 5 C.F.R. § 304: Expert and Consultant Appointments, the assignee will act as a key source of technical expertise to facilitate visionary strategic initiatives related to the Commission's approach on governing emerging technologies. As an expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technologist, senior Commission leaders, and case teams, with particular focus on matters encompassing technology, engineering, artificial intelligence, and intersection and use of AI systems in the healthcare sector and labor issues. The assignee will help produce issue memos to the Chair's office and other senior leaders on issues such as privacy, competition, and ethics issues in retail platform technology, workplace management technology, healthcare management technology, and real-time 3D technology; help plan informational sessions for staff by identifying topics of interest, selecting relevant speakers, and moderating discussions; and support case teams by advising on technology-focused issues related to AI, such as by summarizing technical research that is relevant to the case or issue, and asking questions directly to engineers at target companies.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Varoon serves as a Research Fellow at the AI Now Institute, where he focuses on the social impact of artificial intelligence in areas such as criminal justice, worker rights, and health care. He has been working on projects that explore how machine learning tools are designed for health systems, and how power dynamics shape their development. Previously, Varoon served as a Microsoft Data Science for Social Good Fellow at the University of British Columbia, where he also earned his Computer Science degree with a focus in statistics. He also currently serves as a Coordinating Committee fellow with Universities Allied for Essential Medicines, a student-driven NGO focused on access to medicines for vulnerable and marginalized populations, where he has also led the development of a COVID-19 Public R&D tracking tool at publicmeds4covid.org. He has advised on global health policy and research at the World Health Organization, and is a former TEDx Speaker. Varoon brings important perspectives in areas that align with the Chair's priorities, including examining technology and antitrust through the lens of labor and workers' rights. This broad, interdisciplinary expertise that Varoon has developed position him to research and amplify emerging FTC efforts to ensure that regulated.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Stephanie Nguyen	10. TELEPHONE NUMBER
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11. BUREAU/OFFICE Office of Public Policy	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

STEPHANIE NGUYEN

Digitally signed by STEPHANIE NGUYEN
Date: 2022.01.25 23:57:18 -0500

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

LORIELLE PANKEY

Digitally signed by LORIELLE PANKEY
Date: 2022.02.15 10:55:58 -0500

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS	TITLE executive director	DATE 02/15/2022
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Digitally signed by DAVID ROBBINS
Date: 2022.02.15 15:22:27 -0500

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

SHARRELLE HIGGINS

Digitally signed by SHARRELLE HIGGINS
Date: 2022.02.15 16:04:30 -0500

AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR VAROON MATHUR

The FTC is amending the statement of duties (Box 5) on Varoon Mathur’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on February 15, 2022 to reflect the actual duties performed and the limitations imposed on Mr. Mathur’s work during his tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Mr. Mathur’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, his actual duties are summarized below.

The position is located in the Office of Policy Planning (OPP). In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will act as a key source of technical expertise on governing emerging technologies. As an expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technologist, senior Commission leaders, and case teams, with particular focus on matters encompassing technology, engineering, artificial intelligence, and intersection and use of AI systems in the healthcare sector and labor issues. The assignee will help produce issue memos to the Chair’s office and other senior leaders on issues such as privacy, competition, and ethics issues in retail platform technology, workplace management technology, healthcare management technology, and real-time 3D technology; help plan informational sessions for staff by identifying topics of interest, selecting relevant speakers, and moderating discussions; and support case teams by advising on technology-focused issues related to AI, such as by summarizing technical research that is relevant to the case or issue, and asking questions directly to engineers at target companies. Although the assignee will support policy development with expert technical perspective, he will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

ELIZABETH
WILKINS

A digital signature block for Elizabeth Wilkins. It includes the text "Digitally signed by ELIZABETH WILKINS" and "Date: 2022.08.26 12:57:45 -04'00'". The signature itself is a stylized, light-colored scribble that overlaps the text.

Elizabeth Wilkins
Director, Office of Policy and Planning

MONIQUE
FORTENBERRY

Digitally signed by
MONIQUE FORTENBERRY
Date: 2022.08.26 15:26:38
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 14:19:31
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed
by LORIELLE
PANKEY
Date: 2022.08.26
15:43:38 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Andrea M. Matwyshyn	2. POSITION TITLE Expert/Senior Special Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Bureau of Consumer Protection, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as a Senior Special Advisor on Technology, Law and the Information Economy, and act as a leader on research for technology issues related to the FTC's consumer protection and antitrust missions. The assignee will report to the Director of the Bureau of Consumer Protection (BCP). She will primarily work together with staff on the agency's social media and streaming video 6(b) study, bringing her expertise to bear on consumer protection issues. She will also lead policy research on matters related to the agency's fraud mission utilizing her deep expertise, including using the resources of the Consumer Sentinel Network to explore how the consumer protection landscape is shifting as technology platforms and social media communications influence how MLMs, con artists, and others reach and exploit consumers. Through her work on the 6(b) study, the assignee will play an integral role in the Commission's strategic direction and advise the Director of BCP and other senior Commission leaders on technology issues where she has expertise on matters encompassing the areas of the information economy and law, information security, intellectual property, and digital platform governance and regulation, to inform FTC strategy and policy.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Dr. Andrea M. Matwyshyn is a full professor at Penn State, tenured in both the law school and engineering school, the Associate Dean of Innovation at Penn State Law, and the founding faculty director of both the Penn State PILOT Lab (Policy Innovation Lab of Tomorrow), an interdisciplinary technology policy lab, and the Anuncia Donecia Songsong Manglona Lab for Gender and Economic Equity, a technology equity lab and clinic. Professor Matwyshyn's scholarship focuses on the intersection of the information economy and law, particularly information security/ "cybersecurity," artificial intelligence/machine learning, health tech and infodemiology, consumer privacy, intellectual property, technology competition, and workforce pipeline policy. Her scholarship is available on her website. In 2014, Professor Matwyshyn served as a Senior Policy Advisor/ Academic in Residence at the Federal Trade Commission. She has also worked as a consulting expert for other federal agencies on both enforcement and policy matters relating to information security. Prior to becoming an academic, Professor Matwyshyn was an attorney in private practice, working with corporate clients on various technology, M&A, and securities matters.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Samuel Levine	10. TELEPHONE NUMBER +1 (202) 326-2702
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11. BUREAU/OFFICE Bureau of Consumer Protection	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

SAMUEL LEVINE

Digitally signed by SAMUEL LEVINE
Date: 2022.04.01 13:17:03 -0400

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

LORIELLE PANKEY

Digitally signed by LORIELLE PANKEY
Date: 2022.04.01 14:34:58 -0400

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE _____ TITLE _____ DATE _____

DAVID ROBBINS

Digitally signed by DAVID ROBBINS
Date: 2022.04.29 14:16:55 -0400

Executive Director

04/29/2022

16. I certify that: a) his expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

VICKI BARBER

Digitally signed by VICKI BARBER
Date: 2022.04.29 17:06:18 -0400

04/29/2022

AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) FOR ANDREA MATWYSHYN

The FTC is amending the statement of duties (Box 5) on Andrea Matwyshyn’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on April 29, 2022 to reflect the actual duties performed and the limitations imposed on Ms. Matwyshyn’s work during her tenure at the FTC. Although Ms. Matwyshyn’s original statement of duties in the FTC-189 encompassed a broader and more flexible range of responsibility, her actual duties are summarized in the following paragraph:

The position is located in the Bureau of Consumer Protection, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as a Senior Special Advisor on Technology, Law and the Information Economy, and act as a leader on research for technology issues related to the FTC’s consumer protection and antitrust missions. The assignee will report to the Director of the Bureau of Consumer Protection (BCP). She will primarily work together with staff on the agency’s social media and streaming video 6(b) study, bringing her expertise to bear on consumer protection and competition issues. The assignee will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. She will also support policy research on matters related to the agency’s fraud mission utilizing her deep expertise, including using the resources of the Consumer Sentinel Network to explore how the consumer protection landscape is shifting as technology platforms and social media communications influence how MLMs, con artists, and others reach and exploit consumers. Through her work on the 6(b) study, the assignee will advise the Director of BCP on technology issues where she has expertise on matters encompassing the areas of the information economy and law, information security, intellectual property, and digital platform governance and regulation, to support FTC strategy and policy development. Although the assignee will support policy development, she will not perform inherently governmental functions such as the determination of agency policy, do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

SAMUEL
LEVINE

Digitally signed by SAMUEL
LEVINE
Date: 2022.08.29 19:53:05
-04'00'

Samuel Levine
Director, Bureau of Consumer Protection

MONIQUE
FORTENBERRY

Digitally signed by
MONIQUE FORTENBERRY
Date: 2022.08.30 13:22:24
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by
DAVID ROBBINS
Date: 2022.08.30
12:11:11 -04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.30
13:29:50 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Kathy Pham	2. POSITION TITLE Technology Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP), FTC, Washington, DC. The assignee will act as a Technology Advisor, and serve as a visionary leader on policy and strategic initiatives that directly and indirectly affect Commission technology policy and operations. As an authority on and recognized expert in technology research, engineering, and User Experience Design (UX) design, the assignee will represent the Chief Technologist in conferences with senior Commission leaders, and provide advice on significant technology and related policy issues. The assignee will play an integral role in the Commission's strategic direction and advise senior Commission leaders on technologies required to advance the agency's mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing major technology research, engineering, and or User Experience Design (UX) design initiatives, the assignee will provide the Chief Technologist advice and analysis to influence decisions regarding programs and policies that enhance the effectiveness of the commission's technology operations.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Kathy Pham is a visionary leader at the intersection of technology, product, and policy, with experience across the private sector, public sector, and academia. Her experience in product management and healthcare consulting have given her first-hand insight into the emerging technologies that the FTC seeks to better regulate, with stints at Google, IBM, and Harris Healthcare Solutions. As a co-founder of the United States Digital Service (USDS), Kathy contributed to projects to modernize technology infrastructure at the Department of Veterans Affairs, the Department of Defense, and other agencies. Her role in building the USDS team from just 10 members to over 200 strong equips her with the expertise and know-how necessary to help the FTC devise strategies to build out internal capabilities to assist case teams on technology matters and deploy in-house infrastructure to tackle casework. Her academic and nonprofit appointments, including as an affiliate at the Harvard Berkman-Klein Center for Internet and Society, as Fellow at the Berkman Center's Initiative on Ethics and Governance of Artificial Intelligence, and as Director of the Mozilla Foundation's Responsible Computer Science Challenge, equip her with cutting-edge perspectives on the ways that emerging technologies perpetuate harm, and position her to advise and lead efforts to enable the FTC to utilize innovative theories to protect consumers in the digital marketplace.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Erie Meyer, CTO	10. TELEPHONE NUMBER
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11. BUREAU/OFFICE Office of Public Policy	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

Erie Meyer

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

LORIELLE PANKEY Digitally signed by LORIELLE PANKEY
Date: 2021.09.09 08:19:14 -04'00'

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE _____ TITLE _____ DATE _____

DAVID ROBBINS Digitally signed by DAVID ROBBINS
Date: 2021.09.09 11:51:38 -04'00'

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ 0.00 is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

VICKI BARBER Digitally signed by VICKI BARBER
Date: 2021.09.21 11:59:49 -04'00'

AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR KATHY PHAM

The FTC is amending the statement of duties (Box 5) on Kathy Pham’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on September 21, 2021 to reflect the actual duties performed and the limitations imposed on Ms. Pham during her tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Ms. Pham’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, her actual duties are summarized below:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on evolving technology issues, and act as an expert resource for staff and senior leadership. In particular, she will work together with staff to provide advice on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. She will bring her expertise in tech product management, engineering systems and digital service technology to bear on several key project areas in which she would advise via written research and memos and review and support the advancement of work product which would include: (1) supporting Bureau staff on cases and enforcement matters, (2) collaborating closely with staff and leadership to provide insights on emerging tech issues and market development trends that could lead to enforcement efforts, (3) providing technical subject matter expertise and technical assistance as a resource to agency staff on markets and technologies and (4) providing expertise and support on digital service capacity building strategies. Given the assignee’s expertise, she will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, she will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

**ELIZABETH
WILKINS**

Digitally signed by
ELIZABETH WILKINS
Date: 2022.08.26 12:54:24
-04'00'

Elizabeth Wilkins
Director, Office of Policy and Planning

MONIQUE
FORTENBERRY

Digitally signed by MONIQUE
FORTENBERRY
Date: 2022.08.26 15:31:25
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 14:15:06
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
15:35:47 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Spencer Waller	2. POSITION TITLE Expert/Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. § 304: Expert and Consultant Appointments, the assignee will serve as a Senior Advisor to the Chair, and act as a visionary leader on legal issues related to the FTC's law enforcement activities, and consumer protection and antitrust missions. The assignee will play an integral role in the Commission's strategic direction and leverage their expertise to advise the Chair and senior Commission leaders on legal issues required to advance the agency's mission. Having a wide latitude of responsibility for providing advice and assistance on matters encompassing the areas of FTC policy regarding unfair methods of competition, bridging the gap between competition and consumer protection legal frameworks, emerging labor issues in antitrust law, and providing expertise on international antitrust affairs, the assignee will provide the Chair advice and analysis to inform FTC policy. As an expert in antitrust, intellectual property, civil procedure, and international litigation, Mr. Waller will advise via written research, memos, document review and strategic discussions with the Chair. This work will be performed on an intermittent basis, not to exceed one year.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Spencer Weber Waller is the John Paul Stevens Chair in Competition Law, Director of the Institute for Consumer Antitrust Studies, and Professor at Loyola University Chicago School of Law where he teaches antitrust, intellectual property, civil procedure, and international litigation courses. He is a member of the Advisory Board of the American Antitrust Institute and the editorial boards of the Antitrust Law Journal and the World Competition Law and Economics Review. Professor Waller is the author, co-author, or editor of 8 books and over one hundred articles on United States and international antitrust, including Antitrust and American Business Abroad, the leading treatise in the field, and the first full-length biography of Thurman Arnold, the founder of modern antitrust enforcement in the United States. He is the co-editor and contributor to Brands, Competition Law and IP (Cambridge University Press 2015). His recent scholarship focuses on antitrust, brands, class actions, high-tech industries, innovation, and intellectual property. He is the recipient of the 2014 Concurrence Antitrust Writing Award. Professor Waller previously taught and served as associate dean at Brooklyn Law School.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Stephanie Nguyen	10. TELEPHONE NUMBER
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11. BUREAU/OFFICE Office of Policy Planning	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

STEPHANIE NGUYEN

Digitally signed by STEPHANIE NGUYEN
Date: 2021.12.07 12:49:07 -05'00'

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS	TITLE executive director	DATE 01/26/2022
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Digitally signed by DAVID ROBBINS
Date: 2022.01.26 17:38:25 -05'00'

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

VICKI BARBER

Digitally signed by VICKI BARBER
Date: 2022.01.27 11:05:05 -05'00'

01/27/2022

AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR SPENCER WALLER

The FTC is amending the statement of duties (Box 5) on Spencer Waller’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on January 26, 2022 to reflect the actual duties performed and limitations imposed on Mr. Waller’s work during his tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Mr. Waller’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, his actual duties are summarized in the following paragraph:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as a Senior Advisor to the Chair. The assignee will advise the Director of the Office of Policy Planning, the Chair and senior Commission leaders on legal issues regarding unfair methods of competition under Section 5 of the FTC Act. He will bring an outside perspective to the agency and, as a noted expert, will bring prestige to the Commission’s work on unfair methods of competition. As an expert in antitrust, intellectual property, civil procedure, and international litigation, Mr. Waller will advise via written research, memos, document review and strategic discussions with the Chair and staff. This work will not exceed one year. Although the assignee will support policy development, he will not perform inherently governmental functions such as the determination of agency policy, do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

**ELIZABETH
WILKINS**

Digitally signed by
ELIZABETH WILKINS
Date: 2022.08.25 21:51:31
-04'00'

Elizabeth Wilkins
Director, Office of Policy and Planning

**MONIQUE
FORTENBERRY**

Digitally signed by
MONIQUE FORTENBERRY
Date: 2022.08.26 06:54:37
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 07:07:40
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
09:51:43 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Sarah West	2. POSITION TITLE Technology Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP), FTC, Washington, DC. The assignee will serve as a Technology Advisor, and act as a key source of technical and policy expertise to facilitate visionary strategic initiatives related to the Commission's approach on governing emerging technologies, as well as enhancing the Commission's technology operations. As an authority on and recognized expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technologist, senior Commission leaders, and case teams on the latest developments in emerging technology and related policy issues, and guide leaders on the technologies required to advance the agency's mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing technology, engineering, and artificial intelligence, the assignee will leverage his or her deep first-hand expertise to equip the agency with the institutional knowledge it requires in order to bolster and scale its capacity to govern emerging technologies.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Sarah Myers West currently serves as a postdoctoral researcher at the AI Now Institute. Her research centers on the critical study of technology and culture, with an emphasis on historical and ethnographic methods. She is currently working on a project that addresses the politics of diversity and inclusion in technological communities by exploring the nexus of artificial intelligence, gender, and intersectionality. She received her doctoral degree from the Annenberg School for Communication and Journalism at the University of Southern California in 2018, where her dissertation examined the cultural history and politics of encryption technologies from the 1960s to the present day. Her work is published in academic journals such as New Media & Society, Policy & Internet, Business and Society and the Internet Policy Review, positioning her as a credible and recognized authority on matters of technology and society. The expertise that Sarah has developed in the ethics of artificial intelligence positions her to research and amplify emerging FTC efforts to ensure that regulated corporations leverage machine learning and artificial intelligence responsibly and without harming consumers. Sarah will play an integral role in the Commission's strategic direction by leveraging her deep technical expertise and operational experience to provide advice and assistance on major technology research initiatives, as well as matters in litigation, and to guide programs and policies that enhance the effectiveness of the Commission's technology operations.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Erie Mever. CTO	10. TELEPHONE NUMBER
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11. BUREAU/OFFICE Office of Public Policy	12. DIVISION
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices)	DATE
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SIGNATURE OF BUREAU/OFFICE HEAD ERIE MEYER Erie Mever	Digitally signed by ERIE MEYER Date: 2021.09.08 17:00:04 -04'00'	DATE
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14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL LORIELLE PANKEY	Digitally signed by LORIELLE PANKEY Date: 2021.09.20 16:22:57 -04'00'	DATE
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15. FOURTH QUARTER APPROVAL OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS	TITLE Digitally signed by DAVID ROBBINS Date: 2021.09.21 16:00:44 -04'00'	DATE
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16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ 0.00 is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER VICKI BARBER	Digitally signed by VICKI BARBER Date: 2021.11.08 12:08:28 -05'00'	DATE
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AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR SARAH WEST

The FTC is amending the statement of duties (Box 5) on Sarah West’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 8, 2021 to reflect the actual duties performed and the limitations imposed on Ms. West during her tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Ms. West’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, her actual duties are summarized below:

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on evolving technology issues, and act as an expert resource for staff and senior leadership. In particular, she will work together with staff to provide advice on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. She will bring her expertise in artificial intelligence, technology platforms and systems, and data privacy and security to bear on several key project areas in which she would advise via written research and memos and review and support the advancement of work product which would include: (1) supporting Bureau staff on cases and enforcement matters, (2) collaborating closely with staff and leadership to provide insights on emerging tech issues and market development trends that could lead to enforcement, and (3) providing technical subject matter expertise and technical assistance as a resource to agency staff on markets and technologies. Given the assignee’s expertise, she will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, she will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

SIGNED:

**ELIZABETH
WILKINS**

Digitally signed by
ELIZABETH WILKINS
Date: 2022.08.26 12:56:16
-04'00'

Elizabeth Wilkins
Director, Office of Policy and Planning

MONIQUE
FORTENBERRY

Digitally signed by
MONIQUE FORTENBERRY
Date: 2022.08.26 15:27:16
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 14:17:53
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
15:42:30 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Meredith Whittaker	2. POSITION TITLE Senior Technology Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Office of Public Policy (OPP), FTC, Washington, DC. The assignee will serve as a Senior Technology Advisor, and act as a visionary leader on policy and strategic initiatives that directly and indirectly affect Commission technology policy and operations. As an authority on and recognized expert in technology research, software engineering, and artificial intelligence, the assignee will advise the Chief Technologist and other senior Commission leaders on the latest developments in emerging technology and related policy issues, and represent the Chief Technologist in conferences with senior Commission leaders. The assignee will play an integral role in the Commission's strategic direction and advise senior Commission leaders on technologies required to advance the agency's mission. Having wide latitude of responsibility for providing advice and assistance on matters encompassing major technology research, engineering, and artificial intelligence initiatives, the assignee will provide the Chief Technologist advice and analysis to influence decisions regarding programs and policies that enhance the effectiveness of the commission's technology operations. The assignee will leverage his or her deep expertise in the latest technical developments to lead efforts within the FTC to better conceptualize, understand, and govern emerging technologies, and to help scale the FTC's institutional capacity to better protect consumers in this area.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Meredith Whittaker serves as Minderoo Research Professor at New York University. As a co-founder and faculty director of the NYU AI Now Institute, Whittaker is a widely recognized expert in the ethics of emerging technologies and artificial intelligence, including on issues of data privacy, algorithmic bias, and their implications on human rights and civil liberties. In the past, Meredith has advised the White House, the FCC, the European Parliament, and the City of New York on matters related to artificial intelligence, data privacy, and technology policy, and testified before Congress in June 2019 on the social and ethical implications of AI. This expertise is built on a deep understanding of the underlying technology itself—developed during her tenure at Google, where she co-founded Google's Open Research group—lending her deep respect in both policy and technical communities. Meredith's bilingualism in both technology and government service will be instrumental as she leads the FTC's own efforts to better govern emerging technologies, such as but not limited to artificial intelligence and technology platforms. Meredith also brings important perspectives in areas of the Chair's priority, including by examining structural power dynamics in technology markets.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Erie Mever. CTO	10. TELEPHONE NUMBER
11. BUREAU/OFFICE Office of Public Policy	12. DIVISION

APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices)	DATE
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SIGNATURE OF BUREAU/OFFICE HEAD ERIE MEYER Erie Meyer	Digitally signed by ERIE MEYER Date: 2021.09.08 17:05:11 -04'00'	DATE
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14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL LORIELLE PANKEY	Digitally signed by LORIELLE PANKEY Date: 2021.09.22 10:43:55 -04'00'	DATE
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15. FOURTH QUARTER APPROVAL		
OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS	TITLE Digitally signed by DAVID ROBBINS Date: 2021.09.22 19:29:14 -04'00'	DATE

16. I certify that:

a) this expert/consultant position is necessary;

b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);

c) the daily rate of \$ 0.00 is commensurate with the work to be performed and the appointee's qualifications;

d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER VICKI BARBER	Digitally signed by VICKI BARBER Date: 2021.11.08 12:07:25 -05'00'	DATE
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AMENDMENT TO FTC-189 (JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT) AND FTC-244 (QUARTERLY REVIEWS FOR EXPERT/CONSULTANT) FOR MEREDITH WHITTAKER

The FTC is amending the statement of duties (Box 5) on Meredith Whittaker’s form FTC-189 (Justification and Approval of Employment of Expert/Consultant) approved on November 8, 2021 to reflect the actual duties performed and the limitations imposed on Ms. Whittaker during her tenure at the FTC. The corresponding summary of duties in Box 3 on form FTC-244 (Quarterly Reviews of Expert/Consultant) for this appointment, was copied directly from the FTC-189 form and is also hereby amended. Although Ms. Whittaker’s original statement of duties in the FTC-189 and FTC-244 forms encompassed a broader and more flexible range of responsibility, her actual duties are summarized below.

The position is located in the Office of Policy Planning, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as an expert on evolving technology issues, and act as an expert resource for staff and senior leadership. In particular, she will work together with staff to provide advice on the agency’s tech matters that relate to antitrust and consumer protection conduct, policy and enforcement. She will bring her expertise in artificial intelligence, data privacy, emerging technologies and algorithmic bias to bear on several key project areas in which she would advise via written research and memos and review and support the advancement of work product which would include: (1) collaborating closely with staff and leadership to provide insights on emerging tech issues and market development trends that could lead to enforcement efforts and (2) providing technical subject matter expertise and technical assistance as a resource to agency staff on markets and technologies. Given the assignee’s expertise, she will bring an outside point of view to the agency, to avoid too limited judgment on administrative or technical issues. Although the assignee will support policy development with expert technical perspective, she will not be responsible for the determination of agency policy (i.e., determining the content and application of regulations), do work of an ongoing nature more appropriately performed by the agency’s regular employees, or perform managerial or supervisory work.

On March 31, 2022, Ms. Whittaker’s duties were modified as reflected in her quarterly review. See form FTC-244.

SIGNED:

**ELIZABETH
WILKINS**

Digitally signed by
ELIZABETH WILKINS
Date: 2022.08.26 12:56:52
-04'00'

Elizabeth Wilkins
Director, Office of Policy and Planning

MONIQUE
FORTENBERRY

Digitally signed by MONIQUE
FORTENBERRY
Date: 2022.08.26 15:29:31
-04'00'

Monique Fortenberry
Acting Chief Human Capital Officer

DAVID
ROBBINS

Digitally signed by DAVID
ROBBINS
Date: 2022.08.26 14:18:41
-04'00'

David Robbins
Executive Director

ETHICS APPROVAL:

Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (OGE Form 450).

LORIELLE
PANKEY

Digitally signed by
LORIELLE PANKEY
Date: 2022.08.26
15:38:28 -04'00'

Lorielle L. Pankey
Designated Agency Ethics Official

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Marti DeLiema	2. POSITION TITLE Researcher	3. CURRENT SALARY	4. SALARY REQUESTED none
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

For work her work with the FTC, Dr. DeLiema would access and analyze categorical, numeric, and narrative data in consumer fraud report files in order to inform consumer education messages, among other things. Potential research areas include exploring how the COVID-19 pandemic is affecting the rates, costs, and types of reported fraud in the US and whether reports are higher in virus hotspots; understanding how older adults experience and describe specific scams based on the narratives descriptions of what happened; and identifying recurrent themes driving compliance such as fear, desire for protection, financial instability, loneliness, confusion about stimulus payments, and other motivating factors. To investigate shifts in the fraud landscape during the pandemic, Dr. DeLiema may combine fraud report data with publicly-available COVID-19 prevalence data to determine how regional scam reports relate to virus prevalence in those areas and determine changes in rates of specific scam types before and during the pandemic, creating a taxonomy of COVID-19 scams. Dr. DeLiema may also determine whether the elderly disproportionately report pandemic-related scams, and how shutdowns globally have affected the rate of fraud in the US.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

• Dr. Marguerite "Marti" DeLiema is an Assistant Professor of Research in the School of Social Work at the University of Minnesota, Twin Cities. Prior to this position, she was a research scholar at the Stanford Center on Longevity in the Financial Security Division. She received a Ph.D. in gerontology from the USC Davis School of Gerontology, and a B.S. in biological psychology from UCLA. She has conducted research on, among other things improving fraud measurement, identifying fraud risk factors, the role of financial institutions in preventing exploitation, and interventions for elder mistreatment. There are numerous exemplars of Dr. DeLiema's recent research, available upon request. Dr. DeLiema's full list of qualifications is attached on her resume/CV.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled 0 days in a pay status from 07/15/19 to 07/15/20 (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Monica Vaca	10. TELEPHONE NUMBER +1 (202) 326-2245
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11. BUREAU/OFFICE Consumer Protection	12. DIVISION Consumer Response and Operations
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) MONICA VACA <small>Digitally signed by MONICA VACA Date: 2020.08.29 16:00:15 -04'00'</small>	DATE 06/29/2020
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SIGNATURE OF BUREAU/OFFICE HEAD FRANK GORMAN <small>Digitally signed by FRANK GORMAN Date: 2020.07.16 12:44:35 -04'00'</small>	DATE
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14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL LORIELLE PANKEY <small>Digitally signed by LORIELLE PANKEY Date: 2021.07.21 13:27:25 -04'00'</small>	DATE
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15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS <small>Digitally signed by DAVID ROBBINS Date: 2021.07.23 11:54:04 -04'00'</small>	TITLE executive director	DATE 07/23/2021
---	-----------------------------	--------------------

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER SHARRELLE HIGGINS <small>Digitally signed by SHARRELLE HIGGINS Date: 2021.08.02 09:27:36 -05'00'</small>	DATE
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JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Marti DeLiema	2. POSITION TITLE Researcher	3. CURRENT SALARY	4. SALARY REQUESTED none
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

For work her work with the FTC, Dr. DeLiema would access and analyze categorical, numeric, and narrative data in consumer fraud report files in order to inform consumer education messages, among other things. Potential research areas include exploring how the COVID-19 pandemic is affecting the rates, costs, and types of reported fraud in the US and whether reports are higher in virus hotspots; understanding how older adults experience and describe specific scams based on the narratives descriptions of what happened; and identifying recurrent themes driving compliance such as fear, desire for protection, financial instability, loneliness, confusion about stimulus payments, and other motivating factors. To investigate shifts in the fraud landscape during the pandemic, Dr. DeLiema may combine fraud report data with publicly-available COVID-19 prevalence data to determine how regional scam reports relate to virus prevalence in those areas and determine changes in rates of specific scam types before and during the pandemic, creating a taxonomy of COVID-19 scams. Dr. DeLiema may also determine whether the elderly disproportionately report pandemic-related scams, and how shutdowns globally have affected the rate of fraud in the US.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

• Dr. Marguerite "Marti" DeLiema is an Assistant Professor of Research in the School of Social Work at the University of Minnesota, Twin Cities. Prior to this position, she was a research scholar at the Stanford Center on Longevity in the Financial Security Division. She received a Ph.D. in gerontology from the USC Davis School of Gerontology, and a B.S. in biological psychology from UCLA. She has conducted research on, among other things improving fraud measurement, identifying fraud risk factors, the role of financial institutions in preventing exploitation, and interventions for elder mistreatment. There are numerous exemplars of Dr. DeLiema's recent research, available upon request. Dr. DeLiema's full list of qualifications is attached on her resume/CV.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled 0 days in a pay status from 8/3/2020 to 7/15/2021 (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Monica Vaca	10. TELEPHONE NUMBER +1 (202) 326-2245
--------------------------------	---

11. BUREAU/OFFICE Consumer Protection	12. DIVISION Consumer Response and Operations
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APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) MONICA VACA	DATE 06/29/2020
---	------------------------

SIGNATURE OF BUREAU/OFFICE HEAD FRANK GORMAN	DATE 07/21/2020
---	------------------------

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL LORIELLE PANKEY	DATE 07/21/2020
--	------------------------

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS Digitally signed by DAVID ROBBINS Date: 2020.07.24 10:37:37 -04'00'	TITLE executive director	DATE 07/24/2020
--	-----------------------------	--------------------

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER	DATE
--	------

QUARTERLY REVIEWS OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by employing office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Baruch, Roberta S.	2. DATES OF CURRENT APPOINTMENT Beginning 03/29/2021 End 03/31/2022
3. SUMMARY OF DUTIES (Describe in enough detail to show that work performed requires the services of an expert/consultant. Use the other side if necessary.) An unpaid consultant with Roberta S. Baruch for one year for the purposes of consulting on draft changes to the HSR Rules. We are in the midst of drafting proposed changes to the HSR Rules to create an exemption for certain small percentage holdings of voting shares (de minimis exemption) and to require entities managed by the same firm or otherwise under common control aggregate their holdings for determining when the HSR Act Applies. Ms. Baruch has decades of experience with the HSR Rules and was involved in prior attempts by the Bureau to create a de minimis exemption.	

REVIEW OF QUARTER ENDING DEC. 31, 2021. NUMBER OF DAYS WORKED: 2

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING <input checked="" type="radio"/> THE SAME DUTIES (Item 3 above) <input type="radio"/> DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE	
SALARY WILL CONTINUE AT <input type="radio"/> SAME RATE OF _____ <input type="radio"/> NEW RATE OF _____ (Use other side for explanation and attach SF 52.)	
EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING MAR 31 <input checked="" type="radio"/> YES (Attach SF 52 only for conversion to new appointment) <input type="radio"/> NO (Attach SF 52 to terminate appointment)	
SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) MARIBETH PETRIZZI <small>Digitally signed by MARIBETH PETRIZZI Date: 2022.03.11 14:07:48 -0500</small>	DATE

REVIEW OF QUARTER ENDING MAR. 31, 2022. NUMBER OF DAYS WORKED: 1

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING <input checked="" type="radio"/> THE SAME DUTIES (Item 3 above) <input type="radio"/> DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE	
SALARY WILL CONTINUE AT <input type="radio"/> SAME RATE OF _____ <input type="radio"/> NEW RATE OF _____ (Use other side for explanation and attach SF 52.)	
EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING JUN 30 <input type="radio"/> YES (Attach SF 52 only for conversion to new appointment) <input checked="" type="radio"/> NO (Attach SF 52 to terminate appointment)	
SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) MARIBETH PETRIZZI <small>Digitally signed by MARIBETH PETRIZZI Date: 2022.03.11 14:09:21 -0500</small>	DATE

REVIEW OF QUARTER ENDING JUN. 30, 2022. NUMBER OF DAYS WORKED: 0

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING <input type="radio"/> THE SAME DUTIES (Item 3 above) <input type="radio"/> DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE	
SALARY WILL CONTINUE AT <input type="radio"/> SAME RATE OF _____ <input type="radio"/> NEW RATE OF _____ (Use other side for explanation and attach SF 52.)	
EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING SEP 30 <input type="radio"/> YES (Attach SF 52 only for conversion to new appointment) <input type="radio"/> NO (Attach SF 52 to terminate appointment)	
SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices)	DATE

REVIEW OF QUARTER ENDING SEP. 30, 2022. NUMBER OF DAYS WORKED: 0

I CERTIFY THAT THE EXPERT/CONSULTANT IS STILL NEEDED AND IS PERFORMING <input type="radio"/> THE SAME DUTIES (Item 3 above) <input type="radio"/> DIFFERENT DUTIES AS DESCRIBED ON THE OTHER SIDE	
SALARY WILL CONTINUE AT <input type="radio"/> SAME RATE OF _____ <input type="radio"/> NEW RATE OF _____ (Use other side for explanation and attach SF 52.)	
EMPLOYMENT WILL CONTINUE THROUGH THE NEXT QUARTER ENDING DEC 31 <input type="radio"/> YES (Attach SF 52 only for conversion to new appointment) <input type="radio"/> NO (Attach SF 52 to terminate appointment)	
SIGNATURE OF OFFICE HEAD OR DESIGNEE (Headquarters) OR REGIONAL DIRECTOR (Regional Offices)	DATE

TO BE COMPLETED UPON TERMINATION OF EXPERT/CONSULTANT SERVICES

I CERTIFY THAT THE SERVICES PROVIDED BY THE ABOVE EXPERT/CONSULTANT WERE <input checked="" type="radio"/> OUTSTANDING <input type="radio"/> SATISFACTORY <input type="radio"/> UNSATISFACTORY	
SHOULD THE SERVICES OF THIS EXPERT/CONSULTANT BE DESIRED AGAIN, I WOULD <input checked="" type="radio"/> RECOMMEND REAPPOINTMENT <input type="radio"/> NOT RECOMMEND REAPPOINTMENT	
SIGNATURE MARIBETH PETRIZZI <small>Digitally signed by MARIBETH PETRIZZI Date: 2022.03.11 14:13:18 -0500</small>	DATE

SUMMARY OF DUTIES (continued from page 1)

[Empty box for Summary of Duties]

EXPLANATION OF NEW RATE (continued from page 1)

[Empty box for Explanation of New Rate]

From: [Smith, Stephanie F.](#)
To: [Pickeral, Corey B.](#)
Subject: FW: Quarterly Review for Unpaid Consultant - Roberta Baruch
Date: Wednesday, March 2, 2022 1:28:00 PM
Importance: High

Please send this email to BCP for their consultants. Thanks.

From: Smith, Stephanie F.
Sent: Wednesday, March 2, 2022 12:54 PM
To: Kohan, Behnaz <bkohan@ftc.gov>; Quartararo, Donna S. <dquartararo@ftc.gov>
Cc: Daniel, Keonnie <kdaniel@ftc.gov>; Pickeral, Corey B. <cpickeral@ftc.gov>
Subject: Quarterly Review for Unpaid Consultant - Roberta Baruch
Importance: High

Good Afternoon,

Per [Admin Manual Chapter 3 Section 200 – Employment of Experts and Consultants](#), quarterly reviews of experts and consultants must be conducted by HCMO to determine the propriety of duties performed, observance of time limits, and adequacy of documentation. Please complete [ETC Form 244](#) for the quarter ending December 31, 2021 no later than Friday, March 11 and for the quarter ending March 31, 2022 no later than Friday, April 8th. Please contact me if you have any questions.

Stephanie F. Smith, Director
Human Capital Operations
Human Capital Management Office
Federal Trade Commission

Email: ssmith4@ftc.gov Phone: 202-326-3010 Fax: (b)(6)

We strive to give you the best partner experience! Please [click here](#) to let us know how we did.

From: [FTC ServiceNow](#)
To: [Armstrong, Judy Y.](#)
Cc: [Smith, Stephanie E.](#); [FTC Security](#); [Daniel, Keonnie](#); [PERSEC-HC](#); [Quartararo, Donna S.](#); [Kohan, Behnaz](#); [CICOM](#)
Subject: Interim Suitability Determination for Roberta Baruch *TEMPORARY APPOINTMENT* - NTE Appointment March 7, 2022
Date: Friday, March 5, 2021 11:38:51 AM

Greetings,

Roberta Baruch has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Intermittent Consultant** position with **ORG 1031**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO and CICOM: Ok to continue processing for EOD. ***TEMPORARY APPOINTMENT* NTE APPOINTMENT *March 7, 2022***

We are providing the following information that may assist with Physical Security requirements:

Name	FBI Name Check Adjudication Date	PSI Type Sent to OPM	Risk Level	Appointment Type
Roberta Baruch	(b)(6)			

Respectfully,
Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2055
sbaileytownsend@ftc.gov

From: [Smith, Stephanie F.](#)
To: [Kohan, Behnaz](#); [Quartararo, Donna S.](#)
Cc: [Daniel, Keonnie](#); [Pickeral, Corey B.](#)
Subject: Quarterly Review for Unpaid Consultant - Roberta Baruch
Date: Wednesday, March 2, 2022 1:43:00 PM
Importance: High

Good Afternoon,

Per [Admin Manual Chapter 3 Section 200 – Employment of Experts and Consultants](#), quarterly reviews of experts and consultants must be conducted by HCMO to determine the propriety of duties performed, observance of time limits, and adequacy of documentation. Please complete [FTC Form 244](#) for the quarter ending December 31, 2021 and for the quarter ending March 31, 2022 no later than Friday, April 8th. Please contact me if you have any questions.

Stephanie F. Smith, Director
Human Capital Operations
Human Capital Management Office
Federal Trade Commission

Email: ssmith4@ftc.gov Phone: 202-326-3010 Fax: (b)(6)

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Last	First	Office	Type of Appointment	Reports To
Baruch	Roberta	(b)(2)		
Calcano	Alejandro			
DeLiema	Marguerite			
Kak	Amba			
Kwoka	John			
Martin	Erik			
Mathur	Varoon			
Matwyshyn	Andrea			
Pham Evans	Kathy			
Whittaker	Meredith			
West	Sarah			
Waller	Spencer			

From: [Barber, Vicki A.](#)
To: [Baruch, Roberta S.](#)
Cc: [Petrizzi, Maribeth](#); [Higgins, Sharrelle](#); [Dolan, Reilly](#)
Subject: Consultant Role and Limitations
Date: Monday, November 8, 2021 5:49:00 PM

Dear Roberta Baruch:

Thank you for all you are doing to advance the Mission of the agency through your work as an Expert/Consultant at the Federal Trade Commission. This notice is written to remind you of your role as in accordance with the **Code of Federal Regulations 5 § 304: Expert and Consultant Appointments**.

Expert/consultant appointments must meet the following requirements.

- (1) Positions must be expert or consultant positions.
- (2) Persons employed as experts/consultants must be qualified to perform the duties of the positions to which they have been appointed.
- (3) Needed services must be of such a nature that the Agency can meet the need by temporary or intermittent employment.

Expert/consultant appointments must not be used for any of the following.

- (1) Positions requiring Presidential appointment. (However, subject to the conditions of the authorizing legislation, the Agency may appoint an individual awaiting final action on a Presidential appointment to an expert or consultant position).
- (2) Positions in the Senior Executive Service.
- (3) Positions involving managerial or supervisory work (although an expert/consultant may act as team leader or director of the specific project for which he/she is hired), making final decision on substantive policies, or functioning in the agency's chain of command (e.g., approving financial transactions or personnel actions).
- (4) Positions involving work usually performed by FTC's regular employees.
- (5) Substitutes for permanent, full-time jobs; to fill in for regular employees during staff shortages; or to bypass normal employment procedures and/or General Schedule pay rates.

Please comply with these regulations and the duties outlined in your agreement. If you have any questions, or need additional clarification, please reach out to HCMO's Deputy CHCO, Sharrelle Higgins; or Reilly Dolan, FTC's Acting General Counsel.

Thank you for your cooperation.

Vicki A. Barber
Chief Human Capital Officer
Federal Trade Commission
600 PA Avenue, NW
Washington, DC 20580
(202) 326-2700
vbarber@ftc.gov

“We strive to give you the best service experience! Please [click here](#) to let us know how we did.”

From: [FTC ServiceNow](#)
To: [Smith, Stephanie E.](#)
Cc: [Meyer, Eri K](#); [Campbell, Tondelayo CTR](#); [FTC Security](#); [PERSEC-HC](#); [Zhao, Daniel](#); [Workspace-Management](#); [Nguyen, Stephanie](#); [Austin, Lawrence](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for Amba U. Kak
Date: Friday, October 22, 2021 12:15:04 PM

Good afternoon,

Amba U. Kak has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Amba U. Kak	(b)(6)		

Regards,

Tondelayo Campbell

Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

"We strive to give you the best service experience! Please [click here](#) to let us know how we did."

From: [FTC ServiceNow](#)
To: [Smith, Stephanie E.](#)
Cc: [Meyer, Erie K](#); [Hardy, Dominique S.](#); [FTC Security](#); [PERSEC-HC](#); [Martinez, Melody](#); [Workspace-Management](#); [Campbell, Tondelayo CTR](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Erik N. Martin
Date: Friday, September 24, 2021 9:32:53 AM

Good morning,

Erik N. Martin has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Unpaid Consultant** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Erik N. Martin	(b)(6)		

Regards,

Tondelayo Campbell
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

"We strive to give you the best service experience! Please [click here](#) to let us know how we did."

From: [FTC ServiceNow](#)
To: [Austin, Lawrence](#)
Cc: [Smith, Stephanie E.](#); [Campbell, Tondelayo CTR](#); [De Leon, Sandra CTR](#); [FTC Security](#); [PERSEC-HC](#); [Zhao, Daniel](#); [Workspace-Management](#); [Armstrong, Judy Y.](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for John E. Kwoka Jr.
Date: Thursday, October 21, 2021 11:09:08 AM

Good morning,

John E. Kwoka Jr. has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Special Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
John E. Kwoka Jr.	(b)(6)		

Regards,

Tondelayo Campbell

Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

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