

From: [FTC ServiceNow](#)
To: [Martinez, Melody](#)
Cc: [Meyer, Erié K](#); [Hardy, Dominique S.](#); [Smith, Stephanie F.](#); [FTC Security](#); [PERSEC-HC](#); [Bailey-Townsend, Stacey](#)
[CTR](#); [Workspace-Management](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Kathy Evans
Date: Tuesday, September 21, 2021 8:41:18 AM

Greetings,

Kathy Evans has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Kathy Evans	(b)(6)		

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2055
sbaileytownsend@ftc.gov

“We strive to give you the best customer experience! Please [click here](#) to let us know how we did.”

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Subject: Interim Suitability Determination for Meredith Whittaker
Date: Friday, October 8, 2021 1:37:49 PM

Greetings,

Meredith Whittaker has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Senior Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Meredith Whittaker	(b)(6)		

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
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Subject: Interim Suitability Determination for Sarah M. West
Date: Friday, October 15, 2021 3:01:57 PM

Good afternoon,

Sarah M. West has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Sarah M. West	(b)(6)		

Regards,

Tondelayo Campbell

Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

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To: [Smith, Stephanie E.](#)
Cc: [Hardy, Dominique S.](#); [Campbell, Tondelayo CTR](#); [FTC Security](#); [PERSEC-HC](#); [Workspace-Management](#); [Pickeral, Corey B.](#); [Martinez, Melody](#); [Martin, Erik](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Spencer W. Waller
Date: Friday, January 21, 2022 11:39:04 AM

Good morning,

Spencer W. Waller has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Attorney Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Spencer W. Waller	(b)(6)		

Regards,

Tondelayo Campbell
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

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From: [FTC ServiceNow](#)
To: [Smith, Stephanie E.](#)
Cc: [emeyer@ftc.gov](#); [FTC Security](#); [PERSEC-HC](#); [Zhao, Daniel](#); [Workspace-Management](#); [Martinez, Melody](#); [Campbell, Tondelayo CTR](#); [Nguyen, Stephanie](#); [Austin, Lawrence](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for Varoon D. Mathur
Date: Thursday, January 20, 2022 2:31:58 PM

Good afternoon,

Varoon D. Mathur has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Varoon D. Mathur	(b)(6)	(b)(6)	(b)(6)

Regards,

Tondelayo Campbell
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
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Subject: Interim Suitability Determination for Varoon D. Mathur
Date: Thursday, January 20, 2022 2:31:58 PM

Good afternoon,

Varoon D. Mathur has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Regards,

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Subject: Interim Suitability Determination for Spencer W. Waller
Date: Friday, January 21, 2022 11:39:04 AM

Good morning,

Spencer W. Waller has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Attorney Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Regards,

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Subject: Interim Suitability Determination for Sarah M. West
Date: Friday, October 15, 2021 3:01:57 PM

Good afternoon,

Sarah M. West has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

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Regards,

Tondelayo Campbell
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Subject: Interim Suitability Determination for Meredith Whittaker
Date: Friday, October 8, 2021 1:37:49 PM

Greetings,

Meredith Whittaker has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Senior Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, (b)(6)
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[CTR](#); [Workspace-Management](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Kathy Evans
Date: Tuesday, September 21, 2021 8:41:18 AM

Greetings,

Kathy Evans has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
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From: [FTC ServiceNow](#)
To: [Austin, Lawrence](#)
Cc: [Smith, Stephanie E.](#); [Campbell, Tondelayo CTR](#); [De Leon, Sandra CTR](#); [FTC Security](#); [PERSEC-HC](#); [Zhao, Daniel](#); [Workspace-Management](#); [Armstrong, Judy Y.](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for John E. Kwoka Jr.
Date: Thursday, October 21, 2021 11:09:08 AM

Good morning,

John E. Kwoka Jr. has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Special Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Regards,

Tondelayo Campbell
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Human Capital Management Office
Federal Trade Commission
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Subject: Interim Suitability Determination for Erik N. Martin
Date: Friday, September 24, 2021 9:32:53 AM

Good morning,

Erik N. Martin has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Unpaid Consultant** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Regards,

Tondelayo Campbell

Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
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Washington DC 20024
Office: 202-326-2839
tcampbell@ftc.gov

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To: [Smith, Stephanie E.](#)
Cc: [Meyer, Erie K](#); [Campbell, Tondelayo CTR](#); [FTC Security](#); [PERSEC-HC](#); [Zhao, Daniel](#); [Workspace-Management](#); [Nguyen, Stephanie](#); [Austin, Lawrence](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for Amba U. Kak
Date: Friday, October 22, 2021 12:15:04 PM

Good afternoon,

Amba U. Kak has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technology Advisor** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Instructions to HCMO OPS, CICOM: EOD eligible

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Regards,

Tondelayo Campbell
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, (b)(6)
Washington DC 20024
Office: 202-326-2839
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To: [Martinez, Melody](#)
Cc: [Meyer, Erié K](#); [Smith, Stephanie F.](#); [FTC Security](#); [PERSEC-HC](#); [Bailey-Townsend, Stacey CTR](#); [Zhao, Daniel](#); [Workspace-Management](#); [Nguyen, Stephanie](#); [Austin, Lawrence](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for Alejandro Calcano
Date: Tuesday, October 19, 2021 4:53:43 PM

Greetings,

Alejandro Calcano has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technologist** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

(b)(6)

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, (b)(6)
Washington DC 20024
Office: 202-326-2055
sbaileytownsend@ftc.gov

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From: [FTC ServiceNow](#)
To: [West, Donna K.](#)
Cc: [Smith, Stephanie E.](#); [FTC Security](#); [PERSEC-HC](#); [Bailey-Townsend, Stacey CTR](#); [West, Donna K.](#); [Workspace-Management](#); [Pickeral, Corey B.](#); [Martin, Erik](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Andrea Matwyshyn
Date: Thursday, April 21, 2022 10:56:47 AM

Greetings,

Andrea Matwyshyn has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Expert-Senior Special Advisor** position with **ORG 1141**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Andrea Matwyshyn	(b)(6)		

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2055
sbaileytownsend@ftc.gov

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To: [Martinez, Melody](#)
Cc: [Meyer, Erie K](#); [Smith, Stephanie F.](#); [FTC Security](#); [PERSEC-HC](#); [Bailey-Townsend, Stacey CTR](#); [Zhao, Daniel](#); [Workspace-Management](#); [Nguyen, Stephanie](#); [Austin, Lawrence](#); [Nagielski, Joan](#)
Subject: Interim Suitability Determination for Alejandro Calcano
Date: Tuesday, October 19, 2021 4:53:43 PM

Greetings,

Alejandro Calcano has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Technologist** position with **ORG 0412**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject’s background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

Name	Fingerprint Adjudication Date	PSI Type Sent to OPM	Risk Level
Alejandro Calcano	(b)(6)		

Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
400 7th Street SW, CC-6301
Washington DC 20024
Office: 202-326-2055
sbaileytownsend@ftc.gov

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UNITED STATES OF AMERICA
FEDERAL TRADE COMMISSION
WASHINGTON, D.C. 20580

Human Capital Management Office

WAIVER OF COMPENSATION

In connection with my service as an Public Service Consultant with the Federal Trade Commission, I understand that I will work during the period of 2/1/2022 - 2/1/2023 without compensation, and I hereby agree to waive any and all claims against the Government for salary or wages on account of service performed.

A handwritten signature in cursive script, appearing to read "A. Matwodyn".

(Signature)

January 19, 2022

(Date)



UNITED STATES OF AMERICA
FEDERAL TRADE COMMISSION
WASHINGTON, D.C. 20580

Human Capital Management Office

April 28, 2022

Dr. Andrea M. Matwyszyn:

Congratulations! You have been selected to serve as an Expert/Senior Special Advisor at the Federal Trade Commission in the Bureau of Consumer Protection in Washington, DC.

FTC's mission is to prevent business practices that are anticompetitive, deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. FTC is structured in several different organizational areas: Bureau of Competition, Bureau of Consumer Protection, Bureau of Economics, Office of the Executive Director, and Human Capital Management Office, to name a few. We look forward to you assisting with our mission.

You are invited to participate in FTC's virtual new employee orientation on Monday, May 9, 2022 (a calendar invitation will be sent separately). You will receive important first day information regarding the FTC and required training from the Ethics Office. You will also receive a presentation from the Office of the Chief Information Officer regarding the use of FTC computer equipment.

Welcome to the agency and thank you again, for your willingness to donate your time, energy, and skills to FTC's extremely important mission.

Stephanie F. Smith

Stephanie F. Smith, Director
Human Capital Operations
Federal Trade Commission

JUSTIFICATION AND APPROVAL OF EMPLOYMENT OF EXPERT/CONSULTANT

IDENTIFICATION (to be completed by originating office)

1. NAME OF EXPERT/CONSULTANT (Last, First, Middle) Andrea M. Matwyshyn	2. POSITION TITLE Expert/Senior Special Advisor	3. CURRENT SALARY	4. SALARY REQUESTED 0.0
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5. SUMMARY OF DUTIES (Describe in enough detail to show the work to be performed requires the services of an expert/consultant. Use other side, if necessary.)

The position is located in the Bureau of Consumer Protection, FTC, Washington, DC. In accordance with 5 C.F.R. part 304: Expert and Consultant Appointments, the assignee will serve as a Senior Special Advisor on Technology, Law and the Information Economy, and act as a leader on research for technology issues related to the FTC's consumer protection and antitrust missions. The assignee will report to the Director of the Bureau of Consumer Protection (BCP). She will primarily work together with staff on the agency's social media and streaming video 6(b) study, bringing her expertise to bear on consumer protection issues. She will also lead policy research on matters related to the agency's fraud mission utilizing her deep expertise, including using the resources of the Consumer Sentinel Network to explore how the consumer protection landscape is shifting as technology platforms and social media communications influence how MLMs, con artists, and others reach and exploit consumers. Through her work on the 6(b) study, the assignee will play an integral role in the Commission's strategic direction and advise the Director of BCP and other senior Commission leaders on technology issues where she has expertise on matters encompassing the areas of the information economy and law, information security, intellectual property, and digital platform governance and regulation, to inform FTC strategy and policy.

6. SUMMARY OF QUALIFICATIONS (Describe in enough detail to show that the expert/consultant has the kind and level of experience required for the work to be performed. Use other side, if necessary.)

Dr. Andrea M. Matwyshyn is a full professor at Penn State, tenured in both the law school and engineering school, the Associate Dean of Innovation at Penn State Law, and the founding faculty director of both the Penn State PILOT Lab (Policy Innovation Lab of Tomorrow), an interdisciplinary technology policy lab, and the Anuncia Donecia Songsong Manglona Lab for Gender and Economic Equity, a technology equity lab and clinic. Professor Matwyshyn's scholarship focuses on the intersection of the information economy and law, particularly information security/ "cybersecurity," artificial intelligence/machine learning, health tech and infodemiology, consumer privacy, intellectual property, technology competition, and workforce pipeline policy. Her scholarship is available on her website. In 2014, Professor Matwyshyn served as a Senior Policy Advisor/ Academic in Residence at the Federal Trade Commission. She has also worked as a consulting expert for other federal agencies on both enforcement and policy matters relating to information security. Prior to becoming an academic, Professor Matwyshyn was an attorney in private practice, working with corporate clients on various technology, M&A, and securities matters.

7. COMPLETE THIS ITEM ONLY FOR CONVERSION TO NEW APPOINTMENT

Intermittent employment totaled _____ days in a pay status from _____ to _____ (mm/dd/yy) of the previous service year.

8. IS/WAS (within the last two (2) years) THE EXPERT/CONSULTANT UNDER CONTRACT WITH THE FEDERAL TRADE COMMISSION?

No Yes. If Yes, provide contract number. _____

9. REQUESTED BY Samuel Levine	10. TELEPHONE NUMBER +1 (202) 326-2702
----------------------------------	---

11. BUREAU/OFFICE Bureau of Consumer Protection	12. DIVISION
--	--------------

APPROVALS

13. I certify that the expert/consultant will be assigned only the duties described above. Duties will not include the performance or the supervision of operating functions. I also certify that the Statement of Employment and Financial Interests has been reviewed in terms of the duties stated above, and no conflict of interests exists.

SIGNATURE OF ASSISTANT DIRECTOR (Headquarters) OR REGIONAL DIRECTOR (Regional Offices) _____ DATE _____

SIGNATURE OF BUREAU/OFFICE HEAD _____ DATE _____

SAMUEL LEVINE

Digitally signed by SAMUEL LEVINE
Date: 2022.04.01 13:17:03 -0400

14. Expert/Consultant has certified that no conflict exists via the Confidential Conflict of Interest Statement (alternative to OGE Form 450).

SIGNATURE OF AGENCY ETHICS OFFICIAL _____ DATE _____

LORIELLE PANKEY

Digitally signed by LORIELLE PANKEY
Date: 2022.04.01 14:34:58 -0400

15. FOURTH QUARTER APPROVAL

OFFICE OF THE EXECUTIVE DIRECTOR REPRESENTATIVE DAVID ROBBINS	TITLE Executive Director	DATE 04/29/2022
--	-----------------------------	--------------------

Digitally signed by DAVID ROBBINS
Date: 2022.04.29 14:16:55 -0400

16. I certify that: a) this expert/consultant position is necessary;
b) the position is Intermittent (has no regular tour of duty) Temporary (has a regular tour of duty);
c) the daily rate of \$ _____ is commensurate with the work to be performed and the appointee's qualifications;
d) all requirements of law have been met.

SIGNATURE OF CHIEF HUMAN CAPITAL OFFICER _____ DATE _____

VICKI BARBER

Digitally signed by VICKI BARBER
Date: 2022.04.29 17:06:18 -0400

04/29/2022

From: [FTC ServiceNow](#)
To: [West, Donna K.](#)
Cc: [Smith, Stephanie E.](#); [FTC Security](#); [PERSEC-HC](#); [Bailey-Townsend, Stacey CTR](#); [West, Donna K.](#); [Workspace-Management](#); [Pickeral, Corey B.](#); [Martin, Erik](#); [Nguyen, Stephanie](#)
Subject: Interim Suitability Determination for Andrea Matwyshyn
Date: Thursday, April 21, 2022 10:56:47 AM

Greetings,

Andrea Matwyshyn has completed all Security/HSPD-12 pre-screening requirements to include the Security Awareness & Privacy Training for the **Expert-Senior Special Advisor** position with **ORG 1141**. A favorable interim suitability determination has been granted pending a final determination upon the completion of the subject's background investigation.

Notification to HCMO and/or CICOM: Ok to continue processing for EOD.

We are providing the following information that may assist with Physical Security requirements:

(b)(6)



Respectfully,

Stacey Bailey-Townsend
Personnel Security Specialist
Human Capital Management Office
Federal Trade Commission
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ALEJANDRO CALCAÑO BERTORELLI

(b)(6)

EXPERIENCE

AI Now Institute at New York University (2019-Present)

A research institute at New York University examining the social implications of artificial intelligence

Special Projects Lead

Federal Trade Commission (Sept. 2021-Apr. 2022)

Expert Consultant – Technology Advisor, OPP

Freelance Consultant & Producer (2017-2019)

Projects included:

- Project Manager, The School for Temporary Liveness (2018 –2019)
- Production & Studio Manager, Gerard & Kelly (2018)
- Project Manager, Harbinger Creative (2017 – 2018)

Sunny Bates Associates (2011 – 2017)

Research & Production Lead (2011-2017)

EDUCATION

Vassar College, BA in Media Studies, Correlate in Political Science, 2007-2011 — Poughkeepsie, NY

United World College of the Adriatic, International Baccalaureate, 2005-2007 — Duino, Italy

SKILLS

- Fluent in both English and Spanish. Conversational and reading proficiency in Italian.

AMBA KAK

(b)(6)

EDUCATION

B.C.L., Bachelor of Civil Law (Post-graduate degree), Faculty of Law, University of Oxford
Rhodes Scholar (Constituency: India)

M.Sc., Social Science of the Internet (with distinction), Oxford Internet Institute, University of Oxford
Thesis: *Unbundling the internet* (Research on user response to Facebook “FreeBasics”)

B.A., L.L.B (Hons.), National University of Juridical Sciences (NUJS), India
Editor, *NUJS Law Review*

WORK EXPERIENCE

<i>Sr. Technology Advisor, Office of Policy Planning, Federal Trade Commission, Wash. DC</i>	2021 -
<i>Sr. Fellow, Khoury College of Computer Science, Northeastern University, MA</i>	2021 -
<i>(ON LEAVE) Director of Global Policy and Programs, AI Now Institute at New York University, NY</i>	2019 -
<i>Fellow, Engelberg Center, NYU School of Law, NY</i>	2019-21
<i>Global Policy Advisor, Mozilla Corporation, San Francisco/New Delhi</i>	2016-19
<i>Visiting Scholar, IViR Institute of Information Law, University of Amsterdam</i>	2016-17
<i>Government Consultant, National Institute of Public Finance & Policy, New Delhi</i>	2016-17
<i>Summer Associate, Allen & Overy, LLP, London</i>	2012

HONORS, AWARDS, AND BOARD INVOLVEMENT

<i>Member, Program Committee, Mozilla Foundation, Toronto</i>	2019 -
<i>Member, Working Group (Future of Data Regulation), Ada Lovelace Institute, London</i>	2020-21
Mozilla Technology Policy Fellow	2016-17
Rhodes Scholar (Constituency: India)	2014
Google Policy Fellow	2013

ACADEMIC PUBLICATIONS, REPORTS, AND GOVERNMENT TESTIMONY

Rashida Richardson and **Amba Kak**, “Suspect Development Systems: Databasing Marginality and Enforcing Discipline”, Forthcoming publication in Michigan Journal of Law Reform (2022)

Amba Kak, “Lessons From a Pandemic: Three Provocations for AI Governance” *in* A DIGITAL NEW DEAL, IT for Change ed. (2021)

Amba Kak (ed.), “Regulating Biometrics”, AI Now Institute (2020)

Amba Kak, Rashida Richardson, and Roel Dobbe, “Submission to the European Commission on White Paper on AI - A European Approach”, AI Now Institute (2020)

Amba Kak and Rashida Richardson, “Written Comments to the Office of the Privacy Commissioner of Canada on Proposals for Ensuring Appropriate Regulation of AI”, AI Now Institute (2020)

Amba Kak, “The Global South is everywhere, but also always somewhere: National Policy Narratives and AI Justice”, AIES: Proceedings of the AAAI/ACM Conference on AI, Ethics, and Society (2020)

Amba Kak, Kate Crawford, and Jason Schultz, “Written Comments to Australian Human Rights Commission on AI Governance Framework”, AI Now Institute (2020)

Amba Kak, “The Emergence of the Personal Data Protection Bill, 2018: A Critique”, Economic & Political Weekly, Vol 53, No 38 (2018)

Amba Kak, “Cambridge Analytica & the Political Economy Of Persuasion”, Economic & Political Weekly, Vol 53, No 20 (2018)

Amba Kak, Vinod Kotwal and Smriti Parsheera, “Open Data & Digital identity: Lessons for Aadhaar”, IEEE Xplor, 27-29 Nov (2017)

Daniel O’Maley and **Amba Kak**, “Free internet’ and the costs to media pluralism; The hazards of zero-rating the news”, CIMA Digital Report, (2018)

Amba Kak, “Report: Assessing zero rating: How regulators have picked good from bad”, supported by Mozilla Foundation, (available online)

Locke, Chris, Jonathan Donner, Bryan Pon, Savita Bailur, Francis Hook and **Amba Kak**, “Digital Access in Africa”, Caribou Digital, 2016 (available online)

Amba Kak and Maggie Huang, “Mobile music: affordable access and the copyright regime it needs to survive”, Pervasive Technologies, ed. Prof. Jorge Contreras and Sunil Abraham (submitted 2014, forthcoming, Sage).

Amba Kak and Malavika Jayaram, “Legal legacies of identity production, In the Wake of Aadhaar: The Digital Ecosystem of Governance in India”, ed. Ashish Rajadhyaksha (Bangalore, CSCS, 2013)

Amba Kak, “Privacy and the National Identification Authority of India Bill: Leaving Much to the Imagination”, 3(4) NUJS Law Review, 2011

Erik N. Martin

(b)(6)

EMPLOYMENT HISTORY

Sep 2021 — Present	Technology Advisor, Federal Trade Commission	Washington, D.C.
Jun 2021 — Sep 2021 D.C.	Media Policy Advisor, Democracy Fund	Washington,
Oct 2019 — Aug 2022.	Senior Policy Advisor & Fellow, Federation of American Scientists D.C. (On leave: Sept 2021 – Aug 2022)	Washington,
Jul 2017 — Jun 2018	Senior Program Manager, Unity Technologies	San Francisco
Aug 2015 — Jan 2017	Policy Advisor, White House Office of Science and Technology Policy (Obama Administration)	Washington, D.C.
May 2014 — May 2015	Digital Media Fellow, U.S. Department of Education D.C.	Washington,

EDUCATION

Oct 2018 — Oct 2019	MSc Social Science of the Internet, University of Oxford Studied on a University Clarendon Scholarship. Published thesis research here .	
Aug 2012 — May 2016	BA New Media & Global Civics, University of Maryland, College Park	

Kathy Pham

PROFESSIONAL EXPERIENCE:

Federal Trade Commission, *Public Service Consultant*, September 2021 - now

Mozilla Foundation, *Senior Advisor, Responsible Computer Science*, September 2021 - now

United States Digital Service, *Intermittent Consultant*, March 2021 - now

Harvard University, *Fellow and Adjunct Lecturer*, September 2017 - now

Rita Allen Foundation, *Civic Science Fellow*, January 2020 - June 2021

MIT Media Lab, *Fellow*, January 2018 - August 2018

Salesforce, *Advisory Council*, Office of Ethical and Humane Use, August 2018 - September 2021

United States Digital Service, *Digital Service Expert*, Nov 2014 - March 2018

Google, *Product Manager, Data Scientist*, August 2013 – April 2015

IBM, Healthcare, Business Analytics and Optimization, *Senior Consultant*, March 2011 – August 2013

Harris Healthcare Solutions, *Software Engineer*, August 2009 – March 2011

INRIA, French National Institute for Research in Computer Science, *Graduate Researcher*, 2008

Google, Google Health, *Product Manager Intern*, 2007

BioMedical Informatics and Bio-imaging Laboratory, *Undergraduate Researcher*, 2006

RECENT LEADERSHIP AND BOARDS:

FWD50, Advisory Board Member, 2020 - now

Startups and Society Initiative, Board Member, 2020 - now

Anita Borg Institute, ABI.Local advisory board member, 2017 - 2020

Women in Product, Boston Chapter, *Founder*, 2018 - 2020

ProductTank Boston, *Leadership Team*, 2018 - 2019

Cancer Sidekick Foundation - cancersidekick.org, *Founder and Executive Director*, 2013 - 2019

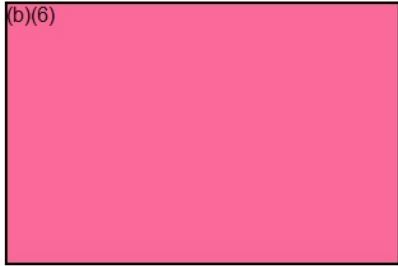
EDUCATION:

Georgia Institute of Technology, Metz, France & Atlanta, Georgia, *Master of Science, Computer Science*

Supélec, Metz, France, *Diplôme de Master Professionnel, Computer Science*

Georgia Institute of Technology, Atlanta, Georgia, *Bachelor of Science, Computer Science, Highest Honors*

Meredith R. Whittaker



EXPERIENCE

Federal Trade Commission - *Senior Advisor on Artificial Intelligence to the Chair, Office of Policy Planning*

October 2021 - June 2022

New York University, New York - *Minderoo Research Professor at the Tandon School of Engineering; Faculty Director and Co-Founder of the AI Now Institute*

October, 2019 - PRESENT

Google Inc, NYC - *Open Research Founder and Lead (final role)*

July, 2006 - July 2019

CONGRESSIONAL TESTIMONY

- **House Committee on Oversight and Reform**
[“Facial Recognition Technology \(Part III\): Ensuring Commercial Transparency & Accuracy”](#) - Jan. 15, 2020
- **House Committee on Science, Space, and Technology**
[“Artificial Intelligence: Societal and Ethical Implications”](#) - Jun. 26, 2019

BOARDS AND RELEVANT SERVICE

- [Signal Foundation](#)
Director: providing strategic advice and support to ensure Signal App’s sustainability, and ensure everyone has access to truly private digital communications.
Spring 2020 - present, unpaid
- [Future Says Tech Impact Network](#)
Invited Member: working with an exclusive network of world-leading

scholars to shape meaningful technology policy and governance.

Fall 2019 - present

- [**New York City Automated Decision Systems Task Force**](#)
Appointed member: served on the country's first government task force shaping an oversight paradigm for automated decision systems.
Fall 2018 - Fall 2019, fixed participation
- **MacArthur Foundation**
AI and Society Steering Committee: helped shape programmatic goals and funding prerogatives.
Spring 2019 - Spring 2021, unpaid
- [**Canadian Institute for Advanced Research**](#)
AI and Society Advisory Council: advised on research and programmatic agenda.
2017 - 2019, unpaid
- **World Economic Forum**
AI, IoT, and User Trust Network, Resident Expert
2016 - 2017 (bounded term), unpaid
- [**ACM Association for Computing Machinery**](#)
Queue Editorial Board Member
2015 - 2019, unpaid
- [**Simply Secure**](#)
Board of Directors, Chair
2013 - 2019, unpaid
- [**Core Infrastructure Initiative**](#)
Steering Committee Member (representing Google)
2013 - 2016, unpaid
- [**RIPE Regional Internet Registry**](#)
Program Committee
2012-2014, unpaid
- [**Open Technology Fund**](#)
Technical Advisory Board Member
2012 - 2019, unpaid

SELECT INVITED TALKS

- **Invited Keynote: Automating Omniscience**
Conference on Computer Vision and Pattern Recognition
June 21, 2021
- **Invited talk: What can AI researchers do to help prevent Lethal Autonomous Weapons?**
International Conference on Learning Representations
May 5, 2021

-
- [Harari Distinguished Lecture: AI & Inequalities - Creating Change](#)
Boston University
February 26, 2021
 - [Invited Keynote: AI and Social Control](#)
Rutgers School of Arts and Sciences Critical AI Lecture Series
February 12, 2021
 - [Panel: Mitigating gender & ethnicity BIASES IN AI](#)
European Commission: European Research and Innovation Days
September 22, 2020
 - [Ethics & Race in Tech](#)
City Arts & Lectures
June 1, 2020
 - **Keynote:** [Breaking the Wall of Unethical AI](#)
Falling Walls conference - Berlin, Germany
November 9, 2019
 - **Visionary keynote & talk: What shapes AI is also shaping our world**
[Morals & Machines](#) - Dresden, Germany
June 19, 2019
 - [AI, Neuroscience, and the Ethics of Automating 'Normal'](#)
O'Reilly Artificial Intelligence Conference
September 6, 2019
 - [Reclaiming the Future: Privacy, Ethics, & Organizing in Tech with Meredith Whittaker and Kade Crockford](#)
City Arts & Lectures - San Francisco, CA
June 7, 2019

SELECT MEDIA APPEARANCES

Print

- **The New York Times**
 - [The Phony Patriots of Silicon Valley](#) - Aug. 12, 2019
 - [Exposing the Bias Embedded in Tech](#) - June 17, 2019
- **The Los Angeles Times**
 - [Google workers protest 'culture of retaliation' with sit-in](#) - May 1, 2019
- **The Washington Post**

- [The Technology 202: We asked more than 100 tech experts if U.S. should use location data to track coronavirus. They were split.](#) - March 30, 2020
- [The Technology 202: Facial recognition gets another look on Capitol Hill today from skeptical lawmakers](#) - Jan. 15, 2020
- [A face-scanning algorithm increasingly decides whether you deserve the job](#) - Nov. 6, 2019
- [Two women led a protest at Google. Is Google retaliating against them now?](#) - April 27, 2019
- **BBC**
 - [US lawmakers concerned by accuracy of facial recognition](#) - Jan. 16, 2020
- **TIME Magazine**
 - [Meet the Researchers Working to Make Sure Artificial Intelligence Is a Force for Good](#) - Aug. 23, 2019
 - [This AI Software Is 'Coaching' Customer Service Workers. Soon It Could Be Bossing You Around, Too](#) - July 8, 2019

Broadcast (TV, Podcasts, and Radio)

- **The Sunday Times**
 - [AI Now's Meredith Whitaker: "Exploitation by design"](#) - April 17, 2020
- **NowThisNews**
 - ['This is some real-life 'Black Mirror' stuff' — @AOC called out the hidden dangers of facial recognition tech, especially for people of color](#) - Jan. 15, 2020
- **TIME Magazine**
 - [AI Now's Meredith Whittaker On The 'Move Fast And Break Things' Ethos](#) - Aug. 26, 2019
- **Vox // Recode**
 - [How will AI change your life? AI Now Institute founders Kate Crawford and Meredith Whittaker explain.](#) - April 8, 2019

SELECT RELEVANT PUBLICATIONS

- [The Steep Cost of Capture](#) - ACM Interactions - December 2021
- [Everyone should decide how their digital data are used - not just tech companies](#) - Nature - July 2021
- [AI Now 2019 Report](#) - AI Now - December 2019
- [Disability, Bias, and AI](#) - AI Now - November 2019
- [Discriminating Systems: Gender, Race, and Power in AI](#) - AI Now - April 2019
- [AI Now Report 2018](#) - AI Now - December 2018
- [Algorithmic Impact Assessments: A Practical Framework for Public Agency Accountability](#) - AI Now - April 2018

SELECT OP-EDS AND PUBLIC WRITING

- **The American Prospect**
 - [The Myth of Artificial Intelligence](#) - December 2021
- **Public Books**
 - [Prison Tech Comes Home](#) - August 2021
- **The Nation**
 - [These Machines Won't Kill Fascism](#) - January 2021
- **Medium/OneZero**
 - ['Those in Power Won't Give Up Willingly': Veena Dubal and Meredith Whittaker on the Future of Organizing Under Prop 22](#) - November 2020
- **Boston Globe**
 - [Who am I to decide when algorithms should make important decisions?](#) - November 2020
- **Boston Review**
 - [COVID-19 Crisis Capitalism Comes to Real Estate](#) - May 2020
- **Boston Review**
 - [COVID-19 Crisis Capitalism Comes to Real Estate](#) - April 2020
- **The Guardian**
 - [Uber drivers are being forced to choose between risking Covid-19 or starvation](#) - March 25, 2020
- **Wall Street Journal**
 - [Should Government Halt the Use of Facial-Recognition Technology?](#) - February 2020
- **Huffington Post**
 - [Wanting It Bad Enough Won't Make It Work: Why Adding Backdoors and Weakening Encryption Threatens the Internet](#) - December 16, 2015

EDUCATION

University of California, Berkeley

BA, English Literature and Rhetoric, 2006

Cum laude, Phi Beta Kappa, 7 major merit-based scholarships

Sarah Myers West

(b)(6)

Current Position

Federal Trade Commission	Oct. 2021
– Technology Advisor, OPP	
AI Now Institute (On Leave)	Sept. 2018
– Managing Director	

Education

University of Southern California	May 2018
PhD, Communication, Annenberg School of Communication and Journalism MA, Communication Wallis Annenberg Graduate Research Fellow, 2014-2017	
University of Virginia	May 2008
BA with Distinction in Media Studies, Minor in Foreign Affairs	

Other Affiliations

Northeastern University	March 2021
– Visiting Research Scientist	
Harvard University, Berkman-Klein Center for Internet and Society	2014-
2020 Affiliate Researcher	

Other Research Experience

Managing Editor, Global Voices Advocacy
2012 – 2018

Sarah Myers West

(b)(6)

Research Associate, USC-Peking University Bi-National Commission
2012 – 2013

Research Associate, Center for Communications Leadership and Policy
April 2012

Sept. 2011 –

Media Monitors, Account Manager
July 2011

Dec. 2008 –

Miller Center of Public Affairs, Assistant to Director of Public Programs
June 2008

Aug. 2006 –

National Journal, Intern
2007

June 2007 – Aug.

British Embassy, Public Affairs Intern
Aug. 2006

June 2006 –

References Available Upon Request

Varoon David Mathur

(b)(6)



Employment

Department of Veterans Affairs Presidential Innovation Fellow. Office of the Chief Technology Officer	02/2022 – Present
Federal Trade Commission Public Service Consultant, Office of Policy Planning	02/2022 – Present
The AI Now Institute at New York University, Tandon School of Engineering AI and Health Systems Research Associate	08/2020 – 12/2021
Technology Fellow	08/2018 – 08/2020
The Engelberg Center for Innovation Law and Policy at New York University Research Fellow	08/2020 – 08/2021
The University of British Columbia, Microsoft Research Fellow Data Scientist for Social Good Fellow	08/2020 – 08/2021

Education

The University of British Columbia, Bachelor of Computer Science (2015 – 2018)

Department of Computer Science, Computer Science

Specialization: Machine Learning and Statistics

UBC Lind Initiative in Global Inequality Scholar

Queen's University, Bachelor of Science Honors (2011 – 2015)

Department of Biomedical and Molecular Sciences, Life Sciences Thesis:

"Cell-Free DNA as a Biomarker for Neurodegenerative Disease"

Journal, Conference, and Workshop Papers

Mathur, V., Lustig, C., and Kaziunas, E. (2022). *Disordering Datasets: Sociotechnical Misalignments in AI-Mediated Behavioural Health*. Proceedings of the 25th ACM Conference on Computer Supported Cooperative Work and Social Computing (CSCW).

Mathur, V., Purkayastha, S., and Gichoya, J. W. (2020). *Artificial Intelligence for Global Health: Learning from a Decade of Digital Transformation in Health Care*. ICLR 2020 Workshop on Practical Machine Learning for Developing Countries.

Fried, G., **Mathur, V.**, Richardson, R., Schultz, J., Dobbe, R. (2020). *Assessing Civicscape: The Value of Applying Open Source Approaches Toward Algorithmic Decision System Accountability*. AI@Work 2020 Workshop.

Griffith, C., **Mathur, V.**, Lin, C., & Zhu, K. (2019). *Understanding Childhood Vulnerability in The City of Surrey*. arXiv preprint arXiv:1903.09639.

Greenberg, A., **Mathur, V.**, & Basey, M. (2016). *Global equity in biomedical research: Assessing research innovation, technology access and student empowerment to meet the world's most neglected health needs*. *Annals of Global Health*, 82(3), 540-541.

Policy Papers

Crawford, Kate, Roel Dobbe, Theodora Dryer, Genevieve Fried, Ben Green, Elizabeth Kaziunas, Amba Kak, **Varoon Mathur**, Erin McElroy, Andrea Nill Sánchez, Deborah Raji, Joy Lisi Rankin, Rashida Richardson, Jason Schultz, Sarah Myers West, and Meredith Whittaker. *AI Now 2019 Report*. New York: AI Now Institute, 2019, https://ainowinstitute.org/AI_Now_2019_Report.html.

Rashida Richardson, ed., “*Confronting Black Boxes: A Shadow Report of the New York City Automated Decision System Task Force*,” AI Now Institute, December 4, 2019, <https://ainowinstitute.org/ads-shadowreport-2019.html>.

Whittaker, Meredith, Kate Crawford, Roel Dobbe, Genevieve Fried, Elizabeth Kaziunas, **Varoon Mathur**, Sarah Myers West, Rashida Richardson, Jason Schultz, Oscar Schwartz. *AI Now 2018 Report*. New York: AI Now Institute, 2018, https://ainowinstitute.org/AI_Now_2018_Report.pdf.

Commentaries and Essays

Invoking Bayh-Dole may be needed to get affordable Covid-19 treatments, STAT. April 2, 2020.

How to Interview a Tech Company, Medium. September 17, 2019. (with Meredith Whittaker)

Holding Public Research Universities to account on Global Health, Canadian Medical Association Journal Blogs. October 23, 2017. (with Catherine Wicher)

Inequality Explained: The trouble with pharmaceutical patents, Open Canada. January 20, 2016. (with Andrés Delgado, Jonathan Nieman, Fernando Rodríguez, Sebastien Wen)

Committee Hearing Testimony

New York City Council, Committee on Hospital and Committee on Technology, Joint Committee Hearing on “Oversight – Electronic Health Records”. November 20, 2019. New York City, NY.

Advisory Service

Steering Committee Member, *Building a Consumer Privacy Framework for Health Data*. Foundation for eHealth Initiative and the Center for Democracy & Technology (2020).

Policy Advisor, *AI, and Ethics Issue Brief*. Bipartisan Policy Center (2020).

Selected Invited Talks

Culture, Inclusion and Equity and Digital Health Action Collaboratives.

National Academy of Medicine. August 18, 2021.

Ethical, Legal, and Social Implications (ELSI) of machine learning in genomics.

NIH National Human Genome Research Institute. April 13, 2021.

How to Interview a Tech Company.

NYU Tandon School of Engineering. February 11, 2021.

Ethical Challenges Posed by Big Data for AI in Healthcare.

NYU Langone Health. December 15, 2020.

Spotlight: Science, Technology, and Research in COVID-19.

CogX. November 25, 2020.

Algorithms and AI: Achieving Algorithmic Fairness by Remediating Human Bias.

Albany Law School. October 28, 2020.

Tech Bias, AI, and COVID-19.

HLTH Virtual. October 16, 2020.

COVID-19, AI Algorithms and Privacy.

Library Freedom Institute. September 24, 2020.

Energizing Health: Unnecessary Bias? Equity with AI, Blockchain & VR.

SXSW. March 18, 2020. (Virtual due to COVID-19)

When Not to Design, Build, or Deploy.

ACM Fair and Transparent Machine Learning. January 29, 2020.

Ethics and AI: Know what you're building and why.

NYC AI Workshop. October 26, 2019.

Facial Recognition and AI.

Library Freedom Institute. July 2, 2019.

Bias and Fairness in Machine Learning.

AG Tech Forum - Berkman Klein Center, Harvard University. December 13, 2018.

Artificial Intelligence in Healthcare - The Need for Ethics.

TEDxUBC. March 3, 2018.

Press Coverage

”In scramble to respond to Covid-19, hospitals turned to models with high risk of bias”, by Elise Reuter. MedCity News. April 21, 2021.

”People are fighting algorithms for a more just and equitable future. You can, too.”, by Jack Morse. Mashable. September 4, 2020.

“Can I Opt Out of Facial Scans at the Airport?”, by Aaron Sankin. The Markup. March 2, 2020.

“New surveillance AI can tell schools where students are and where they’ve been”, by Rebecca Heilweil. Vox Recode. January 25, 2020.

“Activists Tell WHO Delegates: ‘We Can’t Afford To Wait. Our People Are Dying’”, Treatment Action Campaign. May 25, 2016.

Fellowships, Honors, and Awards

Engelberg Center Fellowship with the NYU School of Law
2020

\$10,000 USD Fellowship Award

Microsoft Data Science for Social Good Fellowship.
2018

\$5,000 USD Fellowship Award

Student of the Year, Universities Allied for Essential Medicines
2016

Awarded annually to the top contributing student organizer

Dean's Honor List, Queen's University
2011 - 2015

Awarded to all Undergraduate students with a GPA above 3.7