No. 18-15288

In the **United States Court of Appeals** for the **Ninth Circuit**

CHARLES TILLAGE; JOSEPH LOOMIS,

Plaintiffs-Appellees,

v.

COMCAST CORPORATION; COMCAST CABLE COMMUNICATIONS, LLC,

Defendants-Appellants.

On Appeal from the United States District Court for the Northern District of California, No. 3:17-cv-06477-VC

BRIEF AMICI CURIAE OF THE CHAMBER OF COMMERCE OF THE UNITED STATES OF AMERICA AND THE NATIONAL ASSOCIATION OF MANUFACTURERS IN SUPPORT OF DEFENDANTS-APPELLANTS' PETITION FOR PANEL REHEARING AND REHEARING EN BANC

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The Chamber of Commerce of the United States of America is a nonprofit corporation organized under the laws of the District of Columbia. It has no parent corporation. No publicly held corporation owns ten percent or more of its stock.

The National Association of Manufacturers is a nonprofit corporation organized under the laws of New York State. It has no parent corporation. No publicly held corporation owns ten percent or more of its stock.

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INTERESTS OF AMICI CURIAE

The Chamber of Commerce of the United States of America is the world's largest federation of businesses and associations. The Chamber represents three hundred thousand direct members and indirectly represents an underlying membership of more than three million U.S. businesses and professional organizations in every economic sector and geographic region of the country. The Chamber represents the interests of its members in matters before the courts, Congress, and the Executive Branch.

The National Association of Manufacturers ("NAM") is the largest manufacturing association in the United States, representing manufacturers in every industrial sector and in all 50 states. Manufacturing employs more than 12 million men and women, contributes \$2.25 trillion to the U.S. economy annually, has the largest economic impact of any major sector and accounts for more than three-quarters of all private-sector research and development in the nation. NAM is the voice of the manufacturing community and the leading advocate for a policy agenda that helps manufacturers compete in the global economy and create jobs across the United States.

The Chamber and NAM ("amici") regularly file amicus briefs in cases raise concern to the Nation's business community, including cases involving the enforceability of arbitration agreements. Epic Sys. Corp. v. Lewis, 138 S. Ct. 1612 (2018); AT&T Mobility LLC v. Concepcion, 563 U.S. 333 (2011). Indeed, they previously filed a brief in one of the companions to this case. McArdle v. AT&T Mobility LLC, 772 F. App'x 575 (9th Cir. 2019).

Many of *amici's* members regularly employ arbitration agreements in their contracts. Arbitration allows them to resolve disputes promptly and efficiently while avoiding the costs associated with traditional litigation. Based on the legislative policies reflected in the Federal Arbitration Act and the Supreme Court's consistent endorsement of arbitration, *amici's* members have structured millions of contractual relationships around arbitration agreements. *Amici* thus have a strong interest in the faithful, consistent application of the Act.

STATEMENT OF COMPLIANCE WITH RULE 29

All parties have consented to the filing of this brief. No counsel for a party authored any part of this brief. And no one other than *the amici curiae*, their members, or their counsel financed the preparation or submission or this brief.

SUMMARY OF THE ARGUMENT

The panel held that California's *McGill* rule—which invalidates arbitration agreements unless they permit the arbitrator to issue public injunctions—is not preempted by the Federal Arbitration Act (the "FAA" or "Act"). The panel decision is plainly inconsistent with the Supreme Court's decisions in *AT&T Mobility*, *LLC v. Concepcion* and *Epic Systems Corp. v. Lewis*, as the *McGill* rule effectively bars traditional, bilateral agreements and thus interferes with the "fundamental attributes" of individualized arbitration. *Epic Systems Corp. v. Lewis*, 138 S. Ct. 1612, 1622 (2018).

If left uncorrected, the panel decision would nullify millions of consumer arbitration agreements in California, and more broadly. This is because nearly every consumer claim under California law can include a request for a public injunction. If this Court allows the panel decision to stand, it will undermine the Federal Arbitration Act's "liberal federal policy favoring arbitration." *AT&T Mobility, LLC v. Concepcion*, 563 U.S. 333, 339 (2011) (cleaned up).

Amici agree with Defendants-Appellants ("Comcast") that en banc review is warranted because the *McGill* rule is not a "ground ... for the revocation of any contract" and thus is preempted by Section 2 of the Federal Arbitration Act. Comcast Petition for Panel Rehearing and Rehearing En Banc ("Comcast Pet.") at 7-16. *Amici* further agree that the *McGill* rule is preempted "because it interferes with the bilateral nature of contractual arbitration." Comcast Pet. at 16-17. *Amici* write separately to explain further how the Act preempts the *McGill* rule in both respects and to underscore how forcing the arbitration of public injunctions would interfere with the fundamental attributes of individualized arbitration as protected by the Act.

ARGUMENT

I. The Federal Arbitration Act Preempts the *McGill* Rule, which Interferes with the Fundamental Attributes of Individualized Arbitration.

The *McGill* rule bars arbitration where a litigant seeks injunctive relief to benefit the public broadly (that is, relief that would apply beyond the particular claimant). It thus is preempted by the Act, as the Supreme Court has made clear in *Concepcion* and *Epic Systems*. The panel should have recognized that those cases prohibit a state from invalidating an arbitration agreement that limits the scope of arbitral proceedings and relief to a particular individual. The *McGill* rule's wholesale invalidation of arbitration agreements that require individualized arbitration flies in the face of the Supreme Court's admonition that the Federal Arbitration Act "absolutely" protects the right of parties to "specify the rules that would govern their arbitrations, [including] their intention to use individualized rather than class or collective action procedures." *Epic Systems*, 138 S. Ct. at 1621. If *McGill* and this Court's decisions are allowed to stand, then they will threaten the viability of arbitration broadly in this Circuit (and likely beyond)—to the detriment of employees, consumers, and businesses alike.

A. Under Concepcion and Epic Systems, the Act Preempts Any State-Law Rule that Interferes with the Fundamental Attributes of Individualized Arbitration.

In *Concepcion*, the Supreme Court held that the Act prohibits States from "conditioning the enforceability of certain arbitration agreements on the availability of classwide arbitration procedures." 563 U.S. at 336. The Court reasoned that class proceedings are incompatible with the Act because they "sacrifice[] the principal advantage of arbitration—its informality"—thereby "mak[ing] the process slower, more costly, and more likely to generate procedural morass than final judgment." *Id.* at 348. Simply put, "arbitration as envisioned by the [Act]" is individualized arbitration, not classwide arbitration, which "lacks its benefits." *Id.* at 351.

Further, class arbitration involves the same high stakes as a judicial class action but without multilayered appellate review, making it "more likely that errors will go uncorrected." *Id.* at 350. Companies "are willing to accept the costs of these errors in [conventional] arbitration, since their impact is limited to the size of individual disputes, and presumably outweighed by savings from avoiding the courts." *Id.* But when hundreds or thousands of claims "are aggregated and decided at once, the risk of an error will often become unacceptable." *Id.* at 350.

It is "hard to believe that defendants would bet the company with no effective means of review, and even harder to believe that Congress would have intended to allow state courts to force such a decision." *Id.* at 351. Accordingly, conditioning the enforceability of an arbitration agreement on the availability of class procedures (without a contractual basis for doing so) effectively prohibits traditional one-to-one arbitration altogether—a result that is fundamentally at odds with the Act's purpose and objective "to promote arbitration." *Id.* at 345.

Though *Concepcion* specifically concerned a requirement of class procedures, its rule goes further. It makes clear that the Act preempts any state-law rule that "interferes with fundamental attributes of arbitration." *Id.* at 344. The message of *Concepcion*, then, is that courts may not refuse to enforce arbitration agreements on the ground that they require arbitration on an individualized basis.

The Supreme Court made that message crystal clear in *Epic* Systems. In that case, the Court reiterated that Concepcion bars statedefenses that "interfere[] with a fundamental attribute of law arbitration." Id. at 1622. To be sure, the Court recognized Concepcion's "essential insight" as barring state-law contract defenses that "reshape individualized traditional arbitration by mandating classwide arbitration procedures without the parties' consent." Id. at 1622-23. But the Court emphasized that "the Arbitration Act seems to protect pretty absolutely" the right of parties to "specify the rules that would govern their arbitrations, [including] their intention to use individualized rather than class or collective action procedures." Id. at 1621. Thus, any statelaw rule "that a contract is unenforceable just because it requires bilateral *arbitration*" disfavors arbitration and interferes with its fundamental attributes and thus is preempted by the Act. *Id*.

- B. The *McGill* Rule Interferes with the Fundamental Attributes of Individualized Arbitration.
 - 1. Public-injunction arbitration proceedings are fundamentally incompatible with individualized arbitration.

Individualized consumer arbitration is an informal and expeditious process. Typically, a claimant initiates a case by submitting a demand for arbitration, which is an informal description of the claimant's desired outcome. AAA Consumer Arbitration Rule R-2(a)(1). No written answer is required. Id. R-2(c), R-2(e). Discovery is permitted at the discretion of the arbitrator, "keeping in mind that arbitration must remain a fast and economical process," id. R-22. Ordinarily, discovery is limited to an informal exchange of documents five days before the hearing and identification of witnesses, without depositions. Id. Written motions are rare, and permitted only at the discretion of the arbitrator. Id. R-24, R-33. The actual arbitral hearing "generally will not exceed one day," id. R-32(d), and oftentimes occurs online or telephonically, id. R-32(b). And cases where the claims are for less than \$25,000 are frequently resolved

via desk arbitration—that is, on the basis of the documents submitted to the arbitrator, without a hearing. *Id.* R-29.

Public-injunction proceedings are quite different. Public injunctive relief is not about the individual plaintiff and his or her claims; it is "injunctive relief that has the primary purpose and effect of prohibiting unlawful acts that threaten future injury to the general public." *McGill v. Citibank, N.A.*, 393 P.3d 85, 87 (Cal. 2017). The panel emphasized that "public injunctions benefit the public directly by the elimination of deceptive practices, but do not otherwise benefit the plaintiff, who has already been injured, allegedly, by such practices and [is] aware of them." Blair Op. 8 (cleaned up).

Arbitration of a claim for a "public injunction" is thus fundamentally different than bilateral arbitration. It transforms the proceeding into a representative action, in which an arbitral claimant is seeking relief on behalf of not just himself or herself, but the broader public. Whether an injunction is warranted to bar a business from engaging in acts or practices against third parties outside the arbitration requires an arbitrator to make findings regarding whether the challenged practice "threaten[s] future injury to the general public"—

and, if so, how to configure relief to benefit the "general public." *McGill*, 393 P.3d at 90. The focus of any claim for public injunctive relief thus is far broader than the typical bilateral arbitration.

Naturally, arbitrating a public-injunction proceeding swaps the informality of traditional bilateral arbitration for procedural complexity. In California, public-injunction "claimants are entitled to introduce evidence not only of practices which affect them individually, but also similar practices involving other members of the public who are not parties to the action." Cisneros v. U.D. Registry, Inc., 46 Cal. Rptr. 2d 233, 244 (Ct. App. 1995). As a practical matter, public-injunction claimants must show not only similar practices affecting non-party members of the public but also evidence demonstrating that such practices are likely to cause future harm. Feitelberg v. Credit Suisse First Boston, LLC, 134 Cal. App. 4th 997, 1012 (2005). This necessarily means more discovery, more witnesses, and inevitably more complexity-"necessitating additional and different procedures and involving higher stakes." Concepcion, 563 U.S. at 348. It thus also means more evidence required to justify publicinjunctive relief. Clearly then, adjudicating a public-injunction claim via arbitration would "sacrifice ... informality ... and make the process slower, more costly, and more likely to generate procedural morass than final judgment." *Epic Systems*, 138 S. Ct. at 1623 (cleaned up).

2. Arbitrating public-injunction claims would magnify the risks to defendants while depriving them of meaningful appellate review.

The shift from bilateral arbitration to arbitration of a request for public-injunctive relief magnifies the risks to a defendant. The whole point of a public injunction is to force the defendant to alter its conduct. By design, then, public injunction can force defendants to modify their practices and their offerings throughout California (and possibly nationwide). The risk of such a massive public injunction is exactly what is at play when a defendant faces a Rule 23(b)(2) class action.

The threat of potentially inconsistent injunctions only exacerbates the risks facing defendants. When different plaintiffs bring separate public-injunction claims against the same defendant, that defendant faces the risk of conflicting public injunctions. Such an outcome is particularly problematic in arbitration where there is no appellate system designed to resolve conflicts among different lower tribunals. That is, in a scenario where two district courts issue conflicting injunctions, those conflicting judgments can be resolved in the courts of

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appeals. But in a scenario where two arbitrators order conflicting injunctions, resolution of the competing decisions is much more difficult (and unlikely), given the narrowly circumscribed grounds for vacatur under Section 10 of the Act. *Hall St. Assocs., L.L.C. v. Mattel, Inc.,* 552 U.S. 576 (2008). And the risk of conflicting injunctions is very real given that the two different arbitrators would be answering the same issue: whether the challenged practice "threaten[s] future injury to the general public"—and, if so, how to configure relief to benefit the "general public." *McGill,* 393 P.3d at 90.

The absence of meaningful appellate review exacerbates the problem by "mak[ing] it more likely that errors will go uncorrected." *Concepcion*, 563 U.S. at 350. Where arbitration is individualized, defendants are "willing to accept the costs of these errors" because "their impact is limited to the size of individual disputes, and presumably outweighed by savings from avoiding the courts." *Id.* But when a defendant's business practices with respect to the general public are at issue, "the risk of an error will often become unacceptable" and defendants may "be pressured into settling questionable claims." *Id.*

C. If Left Uncorrected, the Panel Decision Will Eviscerate Consumer Arbitration.

The panel's decision would allow nearly every California consumer plaintiff to evade arbitration in any case in which he or she includes a UCL claim for public-injunctive relief. As one commentator put it, "[t]he 9th Circuit just blew up mandatory arbitration in consumer cases." Alison Frankel, *The 9th Circuit just blew up mandatory arbitration in consumer cases*, *Reuters* (July 1, 2019), https://reut.rs/30Ufvxq. For their part, plaintiffs agree that this "is a very big deal." *Id*.

The reason why it is a "very big deal" is because of the extraordinary breadth of California's UCL. Korea Supply Co. v. Lockheed Martin Corp., 29 Cal. 4th 1134, 1143 (2003). "Section 17200 borrows violations from other laws by making them independently actionable as unfair competitive practices. In addition, under section 17200, a practice may be deemed unfair even if not specifically proscribed by some other law." *Feitelberg*, 134 Cal. App. 4th at 1009 (internal quotations omitted). Thus, "[v]irtually any federal, state, or local law can serve as the predicate for a [UCL public-injunction] action." Mathieu Blackston, *California's Unfair Competition Law—Making Sure the Avenger Is Not Guilty of the Greater Crime*, 41 San Diego L. Rev. 1833, 1839 (2004). This means that a UCL public-injunction claim can be easily tacked onto virtually every California consumer complaint. Thus, if the decision below is left uncorrected, it will effectively nullify *Concepcion* and *Epic Systems* within California and bar "traditional individualized arbitration" in consumer cases in California. *Epic Systems*, 138 S. Ct. at 1623.

Worse still, the negative impact of the panel decision is likely to ripple far beyond California. Because many of *amici's* members have national consumer bases, they often employ standardized consumer contracts to facilitate uniform contracting across the country. *Concepcion*, 563 U.S. at 346-47. Being forced to change their consumer agreements in California, the most populous state, thus may force them to change their practices nationwide. Companies may have no choice but to abandon arbitration for all of their customers rather than bear the large expense of maintaining separate contracts for customers in separate states—a task that is even more complicated by the fact that consumers can move across state lines.

On top of that, if *amici's* members are forced to change their consumer contracts to allow for the arbitration of public injunction claims, it may mean the end of arbitration altogether for those

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businesses. The typical consumer agreement for bilateral arbitration includes subsidies for consumers bringing disputes. For example, companies must pay the vast majority of the arbitration provider's and the arbitrator's fees, and many companies simply pay all of the fees in order to make arbitration even more accessible for consumers.¹ Some companies also pay special inducements to consumers who arbitrate. *Concepcion*, 563 U.S. at 351-52. If companies must also face more expansive public injunction claims in court, it makes little sense for them to continue to offer such heavy subsidies for consumer arbitration.

The massive risks of classwide arbitration may also "render arbitration unattractive" and induce businesses to move away from it altogether, instead opting for class litigation. *Id.* at 350-51 n.8. Both companies and consumers would suffer from the loss of bilateral arbitration. Without the efficiencies of bilateral arbitration, most consumer disputes would be priced out of the justice system and left

¹ Under the AAA Consumer Arbitration Rules, the consumer's share of arbitration costs is capped at \$200. AAA, Consumer Arbitration Rules: Costs of Arbitration, at http://www.adr.org/sites/default/files/Consumer_Fee_Schedule_0.pdf (visited Aug. 19, 2019). Many companies also pay the consumer's share. For example, AT&T and Comcast (the parties seeking rehearing), both commit to pay all arbitration costs for claims for up to \$75,000. See http://www.att.com/disputeresolution ¶ 3 (visited Aug. 19, 2019); http://www.xfinity.com/corporate/customers/policies/subscriberagreement ¶ 13(i) (visited Aug. 19, 2019).

unpursued. *Allied-Bruce Terminix Cos. v. Dobson*, 513 U.S. 265, 281 (1995). And the increased cost of dispute resolution for businesses would, by increasing the cost of doing business, inevitably result in higher prices for consumers.

Leaving the panel decision uncorrected thus threatens to undermine the very heart of the FAA and its "liberal federal policy favoring arbitration." *Epic Systems*, 138 S. Ct. at 1622 (quotation omitted). Given the ease of asserting a UCL public injunction claim, the panel decision not only nullifies *Concepcion* and *Epic Systems* within California, it may lead to the wholesale abandonment of consumer arbitration, both in California and nationwide.

II. Section 2 of the Federal Arbitration Act Independently Preempts the *McGill* Rule.

Section 2 is the cornerstone of the Act. It declares that arbitration agreements are "valid, irrevocable, and enforceable" as a matter of federal law, preempting state law to the contrary. Section 2 includes a "savings clause" that preserves state-law defenses that serve as "grounds ... for the revocation of any contract." 9 U.S.C. § 2. The text and structure of the Act make clear that Sections 2's grounds "for the *revocation* of any contract" refer exclusively to defects in formation. Starting with the text, Congress chose to make arbitration agreements "valid, irrevocable, and enforceable," yet "use[d] narrower language encompassing only one of those three concepts ('revocation') when describing the limited instances in which a state-law defense of general application may preclude enforcement." Comcast Pet. at 8. Congress's "use of only 'revocation' and the conspicuous omission of 'invalidation' and 'nonenforcement' suggest that the exception does not include all defenses applicable to any contract but rather some subset of those defenses." *Concepcion*, 563 U.S. at 354 (Thomas, J.).

The Act does not specifically define "revocation," but as Comcast explains, "the common-law contract principles against which Congress was legislating make the meaning clear." Comcast Pet. at 9. At the time of enactment, the term "revocability" had two distinct meanings. In the context of arbitration agreements, "revocability" referred to a contracting party's ability to repudiate such an agreement at will. Wesley A. Sturges, *A Treatise on Commercial Arbitrations and Awards* § 15, at 45 (1930).

Outside the arbitration context, "revocability" referred to the more limited ability of a party to nullify a contract based on formation defects. *Id.* at 47 (explaining that it would be appropriate to refuse enforcement

if the agreement were obtained "by fraud, or overreaching, or entered into unadvisedly through ignorance, folly or undue pressure") (cleaned up)). In fact, some pre-FAA decisions criticized the special rule of "revocability" in the arbitration context and argued that arbitration agreements should be revocable only for the typical reasons applicable to any contract:

An agreement [to arbitrate] induced by fraud, or overreaching, or entered into unadvisedly through ignorance, folly or undue pressure, might well be ... disregarded But when the parties stand upon an equal footing, and intelligently and deliberately ... provide for an amicable adjustment of any difference that may arise, either by arbitration or otherwise, it is not easy to assign at this day any good reason why the contract should not stand, and the parties made to abide by it, and the judgment of the tribunal of their choice.

Del. & H. Canal Co. v. Pa. Coal Co., 50 N.Y. 250, 258 (1872); Henry v. Lehigh Valley Coal Co., 64 A. 635, 636 (Pa. 1906).

In declaring arbitration agreements *irrevocable* but still subjecting them to challenges based on "grounds ... for the revocation of any contract," Congress discarded the arbitration-specific concept of revocability, preserving the traditional, neutral form of revocability. *Zimmerman v. Cohen*, 236 N.Y. 15, 20 (1923) ("The word 'irrevocable,' ... means that the [arbitration agreement] cannot be revoked at the will of one party to it, but can only be set aside for facts existing at or before the time of its making which would move a court of law or equity to revoke any other contract."); Julius Henry Cohen, *The Law of Commercial Arbitration and the New York Statute*, 31 Yale L.J. 147, 149 (1921) ("The act recognizes that the infirmities, common to all contracts, which furnish ground for revocation at law or in equity, may still exist in cases of arbitration agreements."); *see also* Comcast Pet. at 11-12. Thus, only defects in formation were preserved as defenses to arbitration agreements under Section 2.

This reading of Section 2 is "reinforced by Section 4 of the FAA." Comcast Pet. at 10. Section 4 outlines a procedure for a "party aggrieved by the alleged failure, neglect, or refusal of another to arbitrate under a written agreement for arbitration" to petition a federal district court to compel arbitration. 9 U.S.C. § 4. Section 4 mandates that a court considering such a petition "shall make an order directing the parties to proceed to arbitration in accordance with the terms of the agreement" on the condition that the court is "satisfied that the making of the agreement for arbitration ... is not in issue." *Id.* (emphasis added). As Comcast explains, "[t]he Supreme Court has interpreted Section 4 to permit federal courts to adjudicate only claims—like fraud in the inducementthat 'go[] to the "making" of the agreement to arbitrate." Comcast Pet. at 10 (quoting *Prima Paint Corp. v. Flood & Conklin Mfg. Co.*, 388 U.S. 395, 404 (1967)).

Reading Section 2 and 4 together reveals that Congress "intended that a court's gatekeeping function with respect to arbitration would be limited to ensuring that the parties had, in fact, agreed to arbitrate the dispute." Comcast Pet. at 10-11. In other words, "Congress created an exception to the general rule (that an arbitration clause will be enforced by its terms) only when there is a flaw in the formation of the agreement to arbitrate." *Nat'l R.R. Passenger Corp. v. Consol. Rail Corp.*, 892 F.2d 1066, 1070 (D.C. Cir. 1990); *Supak & Sons Mfr. Co. v. Pervel Indus.*, 593 F.2d 135, 137 (4th Cir. 1979) ("[Although] it does not displace state law on the general principles governing formation of the contract itself ... § 2 is preemptive of conflicting state laws which restrict the validity or enforceability of arbitration agreements.").

Grounds for revoking an arbitration agreement thus include only defects such as fraud, duress, unequal bargaining power, and lack of capacity. *Prima Paint Corp.*, 388 U.S. at 403-04 ("[F]raud in the inducement ... goes to the 'making' of the agreement[] to arbitrate.");

Shearson/Am. Express Inc. v. McMahon, 482 U.S. 220, 226 (1987) (recognizing "fraud or excessive economic power" as "grounds for the revocation of any contract"). Construing "revocation" to refer only to formation defects vindicates the FAA's "basic precept that arbitration is a matter of consent, not coercion." *Stolt-Nielsen, S.A. v. AnimalFeeds International Grp.*, 559 U.S. 662, 681 (2010) (internal quotation omitted); see Concepcion, 563 U.S. at 355 n.* (Thomas, J., concurring) ("Contract formation is based on the consent of the parties.").

Adjudged against this proper understanding of Section 2, the *McGill* rule is not a ground for "revocation of any contract." Indeed, it is not a ground for revocation whatsoever, as it "does not have the effect of revoking an otherwise valid contract." Comcast Pet. at 13.

As the panel put it, "the *McGill* rule derives from a general and long-standing [legislative] prohibition on the private contractual waiver of public rights" that "California courts have repeatedly invoked ... to invalidate waivers" of public rights. Blair Op. 15. In the panel's own words, then, the *McGill* rule is a public-policy proscription designed to invalidate a class of agreements (*i.e.*, those waiving public rights). Because it has nothing to do with contract formation and has no relation

to the "making" of an arbitration agreement, the *McGill* rule is preempted by Section 2 of the Act.

CONCLUSION

The Court should grant the petition.

Respectfully submitted.

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CERTIFICATE OF SERVICE

I hereby certify that on August 19, 2019, I electronically filed the foregoing with the Clerk of the Court for the United States Court of Appeals for the Ninth Circuit by using the appellate CM/ECF system.

I certify that all participants in the case are registered CM/ECF users and that service will be accomplished by the appellate CM/ECF system.

<u>/s/ Thomas R. McCarthy</u> Thomas R. McCarthy *Counsel for Amici Curiae*

CERTIFICATE OF COMPLIANCE

I certify that this brief complies with the type-volume limitation set forth in Circuit Rule 32-1(a) and Federal Rules of Appellate Procedure 32(a)(7)(B)(iii). This brief uses a proportional typeface and 14-point font, and contains 4,160 words.

> <u>/s/ Thomas R. McCarthy</u> Thomas R. McCarthy *Counsel for Amici Curiae*

Dated: August 19, 2019